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**Self-tuning Bistable Parametric Feedback Oscillator:**

**Near-optimal Amplitude Maximization without Model Information**

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Theory predicts that parametrically excited oscillators, tuned to operate under resonant condition, are capable of large amplitude oscillation useful in diverse application; signal amplification, communication, analogue computation. However, due to amplitude saturation caused by non-linearity, lack of robustness to model-uncertainty and limited sensitivity to parameter modulation, these oscillators require fine-tuning and strong modulation to generate robust large amplitude oscillation. Here we present a principle to self-tuning parametric feedback excitation that alleviates the above mentioned limitations. This is achieved using a minimalistic control implementation that performs i) self-tuning – slow parameter adaptation – and ii) feedback pumping – fast parameter modulation, without sophisticated signal processing from past observations. The proposed approach provides near-optimal amplitude maximization without requiring model-based control computation, previously perceived inevitable to implement optimal control principles in practical application. Experimental implementation of the theory shows that the oscillator self-tunes itself near to the onset of dynamic bifurcation to achieve extreme sensitivity to small resonant parametric perturbations. As a result, it achieves large amplitude oscillations by capitalizing on the effect of non-linearity, despite substantial model uncertainties and strong unforeseen external perturbations. We envision the present finding to provide an effective and robust approach to parametric excitation, when it comes to real-world application.

Parametric excitation is a way to set oscillators in motion by modulating their physical parameters. There is a characteristic instability effect – known as principal parametric resonance – where oscillations are achieved by parameter modulation that has twice the natural frequency of the oscillator [1, 2]; a phenomena quite different from the one associated with resonance by direct excitation. The first well documented example of a parametrically excited system is the O Botafumeiro, a censer suspended by a long rope in the Cathedral of Santiago de Compostela in north-west region of Spain, which dates back to the 14th century [3]. This giant variable length pendulum was set into motion by a squat of priests who pulled the rope to cyclically decrease and increase its length at the lowest and highest points of the oscillation until they get the censer to the vaults. The principle of parametric excitation was found useful in different physical domains and various applications [4]; mechanical domain signal amplification [5], particle traps enabling atomic level measurements [6], signal amplifiers revealing quantum information [7], nanoelectromechanical oscillators challenging current standard quartz-crystal clocks in timing applications [8], optical calculators performing difficult mathematical calculations [9], as well as networks of electromechanical oscillators promising energy efficient analogue computation [10, 11].

An ideal parametrically excited oscillator is operated in the linear regime using time dependent parameter modulation. The model of such oscillator is given by Mathieu’s equation [12] which predicts an infinite sequence of instability regions [13] defined by the amplitude and frequency of the excitation [14]. According to the theory, the presence of weak dissipation may not limit the amplitude of the motion [15], while addition of parasitic non-linearity leads to finite amplitude oscillations. This reflects, more closely, the real world observation [16].

The effect of amplitude saturation – inherent to non-linear vibrations, the sensitivity to model uncertainty – inevitably present in real-world applications, and the limited sensitivity to small parametric perturbations – apparent on most typical monostable oscillators [17], have been long perceived to limit technological promises of actuation principles that employ system parameter modulation.

In this paper we present a principle to self-tuning feedback parameter modulation that is immune to the above mentioned limitations. Instead of the classical time dependent parametric excitation, or more recent time-delay feedback based control implementations [8, 18–20], we combine minimalistic statistical information with optimal state feedback control perturbations to realize self-tuning – slow autonomous parameter adaptation – and feedback pumping – fast state-dependent parameter modulation – without model information, delicate control computation or sophisticated signal processing from past observations.

Similar to most typical parametric feedback excitation schemes, this approach leads to a self-sustained oscillator [21] capable of large amplitude oscillations. However, unlike alternatives means to parameter modulation, it 1) exploits the extreme sensitivity of bistable oscillators near to the onset of their dynamic bifurcation [22] and 2) uses optimal feedback control perturbations [23]. In turn, the propose approach leads to near-optimal para-

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metric excitation without sophisticated model-based control computation previously perceived inevitable to implement optimal control principles in practical application. We present the first experimental demonstration of this principle, which is shown to provide unprecedentedly robust amplitude maximization despite weak parametric excitation, substantial model variation and strong unforeseen external perturbations.

I. OPTIMAL PARAMETRIC EXCITATION

A minimalistic model of a nonlinear parametric oscillator is given by:

$$\ddot{q} + \gamma \dot{q} + k q + k_3 q^3 = 0$$

(1)

where $q$ denotes the displacement of the oscillator, $\gamma$ characterizes viscous dissipation, $k$ is the stiffness of the oscillator while the last term, where $k_3 > 0$, represents Duffing-type nonlinearity [24]. The stiffness of the oscillator can be decomposed to:

$$k = k_0 + k_p(t)$$

(2)

where the first term is the static stiffness while the second term is the dynamic stiffness. When the parameter of the oscillator is not subject to modulation $k_p(t) = 0$, the oscillator can be monostable $k_0 > 0$ (characterized with single-well static potential) or bistable $k_0 < 0$ (characterized with double-well static potential).

The oscillator (1) can be set into motion by stiffness modulation i.e., by changing $k_p(t) \in [k_{p,\text{min}}, k_{p,\text{max}}]$. For an a linear oscillator this can be done using e.g., square-wave modulation [25], which has twice the frequency of the oscillator, and which has an amplitude that exceeds the threshold $k_{p,\text{max}} - k_{p,\text{min}} > \pi \sqrt{k_0 \gamma}$ defined by the static stiffness and the coefficient of viscous dissipation. This kind of limitation is fundamental to the principle of parametric excitation. It indicates that, in order to generate oscillations, the energy injected through parameter modulation must exceed, or be equal to, the energy lost due to dissipation.

Figure 1a shows a typical long term behavior of a nonlinear oscillator (1) under optimal dynamic stiffness modulation $k_p = k_p^{\text{opt}}(t) \in [k_{p,\text{min}}, k_{p,\text{max}}]$ and different static stiffness $k_0$ settings. The implemented time dependent modulation maximizes the amplitude of the oscillator at every oscillation. This modulation is the most effective among all modulations subject to the same stiffness range limitation. Despite this, we observe that when the oscillator operates in the monostable regime its amplitude and sensitivity to parameter modulation is limited compared to that observed just before the onset of the dynamic bifurcation (gray area). Further to this, the implemented time dependent excitation lacks robustness and the capacity of adaptation vital for robust practical implementation. This is why the benefit offered by model-based optimization diminishes when it comes to real-world implementation.

II. NEAR-OPTIMAL ADAPTIVE FEEDBACK PARAMETRIC EXCITATION

Ideally, we wish to realize effective amplitude maximization that is inherently robust under uncertainties in model information and unforeseen external perturbations inevitably present in real-world implementation. While
using a model-based time dependent (feed-forward) controller this does not seem to be viable, here we propose a minimalistic adaptive feedback controller that implements self-tuning – long-term parameter adaptation – and near-optimal feedback pumping – short-term parameter modulation – without sophisticated model-based control computation. The principles that underly this controller are summarized in the following two observations:

1) The amplitude of the oscillator is maximized near to the onset of dynamic bifurcation (Fig.1a gray area) where it displays extreme sensitivity to resonant perturbations [22]. Based on this observation, we aim to adaptively change the stiffness of the oscillator $k_o$, in order to tune the system near to the onset of its dynamic bifurcation (Fig.1a yellow area). This is implemented using simple statistical information – $N$-period mean position $\bar{q}_N$ and mean amplitude $\bar{A}_N$ of the oscillator – using minimalistic on-line computation and without model information, (see Fig.1b):

$$k_o = k_o(\bar{q}_N, \bar{A}_N). \tag{3}$$

According to this implementation, adaptation is achieved by first reducing stiffness (Fig.1b state 1), in order to induce off-centered motion, and then increasing stiffness (Fig.1b state 2), until the emergence of centered large-amplitude oscillations (Figs.1a,b state 3, yellow area). There are three important features of this tuning approach: first it is easy to implement – does not require extensive computation, second it is model-free – does not require system parameter identification and third it is robust – relies on generic features of bi-stable oscillators [27]. We now turn to the second observation.

2) In order to achieve amplitude maximization, the optimal parametric pump implements the following simple actions: every time the system passes through its trivial equilibrium stiffness is reduced while every time the system reaches its maximum amplitude stiffness is increased [28] (Fig.1c):

$$k_p(q, \dot{q}) \in \begin{cases} k_{p, \text{max}} & \text{if } q\dot{q} \leq 0 \\ k_{p, \text{min}} & \text{if } q\dot{q} > 0. \end{cases} \tag{4}$$

We have recently shown [23] that this feedback controller delivers the same amplification effect as the corresponding model based optimal excitation which maximizes the amplitude of the oscillator at every oscillation. Importantly, this holds not only for linear oscillators but for large class of essentially non-linear oscillators, including bistable oscillators. Further to this, this controller does not require model information or control computation, and similar to other feedback based excitation schemes [8, 18–20, 29], it is inherently robust compared to time-dependent parametric excitation. These features make the above controller desirable when it comes to real-world implementation.

When applied to a prototypical bistable oscillator (1), the obtained composite controller:

$$k = k_o(\bar{q}_N, \bar{A}_N) + k_p(q, \dot{q}) \tag{5}$$

adaptively tunes the system to the onset of its dynamic bifurcation where it achieves large amplitude parametric oscillations (see Fig.1). In general, however, application of this controller on more complex oscillators appears to be hindered by two fundamental limitations. This is because the stiffness parameter associated with a real physical systems is, in general, not directly controllable [30], but also because the above controller (5) requires stiffness modulation in two fundamentally different spatial and temporal scales i.e., while the first term $k_o$ requires large-range and slow cycle-to-cycle adaptation, the second term $k_p$ is designated to small-range but fast stiffness modulation. Due to these reasons, it is difficult to provide a generalization of the above controller that i) remains effective in amplitude maximization, ii) remains robust to model variations and iii) enables low energy cost practical implementation.

III. GENERALIZATION AND PRACTICAL IMPLEMENTATION

In this section we present a practical realization of a novel self-tuning bistable parametric oscillator (Fig.2a-c) which is not affected by the above mentioned limitations. Using this oscillator, we aim to outline general design features that enable effective implementation of the proposed control scheme for parametric excitation.

The behavior of the oscillator is captured by a three-degree-of-freedom model (Fig.2d):

$$\ddot{q} + \gamma \dot{q} + k(q, x)\dot{q} = 0 \tag{6}$$

$$\ddot{x} + \alpha \dot{x} + \alpha^2 x = \alpha^2 u \tag{7}$$

composed by a non-linear oscillator (6) coupled to a two-degree of freedom actuating subsystem (7). In this model $k(q, x)$ denotes the state dependent stiffness of the oscillator, $x = [x_1, x_2]^\top$ is the displacement of the position controlled actuators, $\alpha = \text{diag}(\alpha_1, \alpha_2)$ quantifies the speed (closed-loop bandwidth) of the actuators while $u = [u_1, u_2]^\top \in [u_{\text{min}}, u_{\text{max}}]$ defines the control inputs. The state dependent stiffness of this device has additive structure:

$$k(q, x) = k_1(q, x_1) + k_{11}(q, x_2) + k_{111}(q) \tag{8}$$

owing to the parallel coupling of the three compliant subsystems (Fig.2a-d I-III). The first two terms in the above relation are associated with the two leaf-spring mechanisms (Fig.2a-d I-II). The stiffness provided by these mechanisms $-k_{111}(q, x_3) \propto (\delta_s + x_{s, \text{max}} - x_3)^{-3}[1 - O(q^2)]$ (where $\delta_s$ defines the largest achievable stiffness) [31] – can be changed by controlling the effective length of the leaf springs. In this way the two actuators are used to change $k_{11}$ from near-zero ($x_3 \approx x_{s, \text{min}}$, long spring) to high positive values ($x_3 \approx x_{s, \text{max}}$, short spring). The additional extension spring mechanism is shown in Fig.2d III. This mechanism is pre-extended in order to pull the oscillator away from its equilibrium configuration ($q = 0$).
This effect leads to the third term $k_{II}(q) \propto -1 + O(q^2)$ in (8). This term is negative.

The redundancy in actuation (i.e., two independent inputs $u \in \mathbb{R}^2$ are used to change the stiffness $k \in \mathbb{R}$ of the oscillator), the inverse relation between $k_{II}$ and the positions of the actuators $x$, and the instability of the static equilibrium position caused by the negative stiffness element in the oscillator $k_{II}<0$, are the three design features that make this oscillator: wide range tunable – tunable over monostable to strongly non-linear bistable regimes (shown in Fig.2a and Fig.4) – and well suited to low power cost stiffness modulation (see Fig.2g,i, Fig.3).

The model presented above (6)–(8) will be subsequently used to explain the working principle of the oscillator. It is however important to note that this model is not general enough to perform model-based optimization, and as such, it has not been used to find the inputs $u$ to control the oscillator [32].

Using the actuators (7), we modify the internal geometry of the device to implement redundant parametric excitation. Specifically, we employ a slow actuator $u_1$ to modulate the effective length of the first leaf spring $x_1$ (Fig.2a,d) to realize stiffness adaptation (Fig.2f) and a fast actuator $u_2$ to change the effective length of the second leaf spring $x_2$ (Fig.2a,d) and as such implement stiffness pumping i.e., fast modulation (Fig.2h). Unlike in the minimalistic model (1), the stiffness of this real system (8) is not directly controllable, and as such our previously derived composite controller (5) is not directly applicable. In general, finding the optimal control inputs $u$ to realize a desired stiffness modulation, under realistic actuation [i.e., (7) and (8)], requires model based computation [30]. However, if the oscillator’s restoring force [i.e., (6); $-k(q, \dot{x})q$] is strictly monotonic with respect to the control inputs, one can formally replace the stiffness in (5) (Fig.1b,c) with the control inputs in (7) to define a more general control law for nonlinear parametric excitation [23]:

$$u = [u_o(q, \dot{A}_N), u_p(q, \dot{q})] \in [u_{min}, u_{max}]$$

(9)

The design condition enabling this model and computation free generalization is satisfied on our device under static condition – due to the monotonic stiffness motor position relation i.e., $u \approx x$, $\partial k/\partial x_1 > 0$ and $\partial k/\partial x_2 > 0$. This is sufficient to implement near-optimal parametric excitation using real (bandwidth limited) actuators. This holds not only for slow adaptation $u_o$, but also for switching-like parameter modulation $u_p$, provided the oscillator is slow compared to the actuator performing the fast parameter modulation (i.e., the frequency of oscillations is an order of magnitude below the bandwidth of the fast stiffness modulating actuator [33]).

There are three important features that set this redundantly-actuated self-tuning bistable parametric feedback oscillator apart from more conventionally actuated monostable oscillators:

- First, instead of utilizing one actuation mechanism to realize fast parameter modulation in large stiffness
range, the proposed device employs two actuated compliant mechanisms; one to enable slow temporal modulation in large stiffness range, while another to realize fast temporal modulation in small stiffness range. This redundancy in the actuation, directly allows the oscillator to exploit the physical differences inherent to the tuning and pumping controllers respectively. In particular, this enables low power practical implementation of the proposed composite controller (9), see Fig.2g,i and Fig.3.

- Second, in our device, stiffness modulation is realized with variable-length leaf-spring mechanisms which largely decouple the external load from the stiffness adjusting actuators. By doing so, the actuators do not need to work heavily against the load when changing stiffness, and at the same time they require little power to maintain stiffness [31]. This actuation principle exemplifies a practical means to realize parametric excitation with low actuation power and energy cost, see Fig.2g,i and Fig.3.

- Third, our device incorporates a negative stiffness mechanism that extends its mono-stable operation regime to strongly non-linear bi-stable regimes (Fig.2e and Fig.4). Instead of realizing adaptable positive feedback using energetically expensive active control [e.g., by changing the length of the large extension springs (Fig.2c III) with a strong actuator], our implementation does not require any energy input to generate the positive feedback effect during the oscillations. Unlike time dependent parametric excitation of mono-stable oscillators, this adaptive feedback controlled negative stiffness system enables self initialization (Fig.2e,f-B1) and large amplitude resonant vibrations (Fig.2e,f-B2) using small control perturbations (Fig.2e,h).

FIG. 3: Power drained for stiffness modulation. a) Position of the oscillator ($q = 0$ (rad)) indicates non-deflected configuration while $q = 1$ (rad) indicates the maximally deflected configuration during the experiments]. b) Motion of the stiffness tuning actuator $x_1$ (solid black lines) and the corresponding square-wave input motor command $u_1$ – chirp signal with frequency $[0.01, 0.08]$Hz (dashed gray lines). c) Average power drained by the stiffness tuning actuator (computed using a 2.5s moving average filter). The plot shows no essential difference between the electrical motor power in the case when the oscillator was not deflected compared to the case when it was maximally deflected from its equilibrium configuration. This can be clearly seen in the gray areas on the left. d) Motion of the stiffness pumping actuator $x_2$ (solid black lines) given the square wave input command $u_2$ – chirp signal with frequency $[0.06, 0.2]$Hz. e) Average power drained by the stiffness pumping actuator (computed using a 0.25s moving average filter). The plot shows small variation between the motor power in the case when the oscillator was not deflected compared to the case when it was maximally deflected from its equilibrium configuration. In both power plots there is a consistent up shift of the baseline motor power due to the increased frequency of the excitation.

FIG. 4: Equilibrium angle of the oscillator as a function of its static stiffness setting. The gray (black) lines denote the equilibrium positions of the oscillator under quasi-static forward (and backward) sweep of the stiffness. During these experiments three different weights i.e. $m = \{0, 5, 10\}$kg were attached to the oscillator. The error bars on this plot denote two standard deviations. We observe that when the stiffness is tuned to its minimum value ($x_1 \approx x_{1\text{min}}$) the trivial equilibrium of the system is unstable, the oscillator is bi-stable. When the stiffness is tuned to its maximum value ($x_1 \approx x_{1\text{max}}$) the trivial equilibrium is stable, the oscillator is mono-stable. In the mono-stable case, the oscillator has non-zero static deflection. This is due to symmetry breaking terms in real world implementation. The bifurcation phenomenon seen in this plot is enabled by the positive feedback (negative stiffness) extension spring mechanism in our device (Fig.2c,d III).
FIG. 5: Self-tuning parametric feedback oscillator. The first three pictures show the experimental setup. The color code on the top of the plot shows the mass and length of the equivalent mathematical pendulum [from left to right: $L_{eq} = \{0.51, 0.51, 0.39\}$ m and $m_{eq} = \{6.56, 11.52, 11.57\}$ kg respectively], and the duration of the perturbations imposed during the experiment. a,b) Response of the oscillator under model variation and imposed perturbations. The six insets (A)-(F) exemplify different modes of self-tuning. c) Self-tuning – motion of the stiffness tuning actuator. d) Power drained by the stiffness tuning actuator. e) Motion of the actuator performing the fast feedback based stiffness modulation. f) Power drained by the actuator implementing the fast stiffness modulation. The operation of the actuators and the motion of the oscillator (between the dashed yellow lines) are shown in SM Movie 1 and Movie 2. Additional experimental results are provided in the Appendix Fig.7.

IV. DISCUSSION AND CONCLUDING REMARKS

A typical operation of the oscillator is shown in Fig.5a,b (see also Movie 1 and Movie 2 provided in the Supplemental Material [34]). The effect of the tuning (Fig.5c) can be identified by long-term transient response that leads to large amplitude vibrations (Fig.5b). The concurrent fast stiffness pumping (Fig.5e) provides the energy input for sustained vibrations. The experiment shows unprecedented level of robustness i.e., 1) self-tuning (Fig.5a,b(A)), 2) quick recovery under large short-term perturbations (Fig.5a,b(B)), 3) re-adaptation under long-term perturbations (Fig.5a,b(F)) and 4) consistent re-tuning under significant modification of the parameters of the oscillator (Fig.5a,b(C-D)). None of these behaviors are pre-programmed i.e., neither the model of the system nor the perturbations (provided by the experimenter) are used in any way to control the oscillator. The adaptation process was also tested by applying heavy damping and strong time dependent external driving to the oscillator (Fig.6). Despite these effects, the oscillator demonstrates robust re-adaptation (Fig.6b,c) without model information or knowledge of the non-stationary ex-
The operation of the oscillator can be decomposed to a slow long time-scale adaptation (Fig. 5c and Fig. 6c) and a fast but short time-scale feed-back modulation (Fig. 5e and Fig. 6e). The adaptation process is intermittent if there are no perturbations (Fig. 5c) and under stationary excitation (Fig. 6c). Further to this, once adapted, the oscillator can operate with low power to hold its stiffness setting (Fig. 5d and Fig. 6d). As opposed to stiffness tuning, the concurrent fast parametric feedback pump is triggered twice per every oscillation cycle. This excitation is effective despite the small range of the corresponding position modulation $x_2$. This is because: i) the oscillator is tuned to operate at the vicinity of its dynamic bifurcation (where it displays extreme sensitivity to parameter perturbations), but also because ii) it employs near-optimal resonance perturbations. These effects can dramatically enhance the effect of the parameter modulation (i.e., which in turn reduces the power required to maintain sustained oscillations; Fig. 5d,f, Fig. 6d,f).

The ability of the oscillator to increase its sensitivity by self-tuning to the onset of bifurcation, is vital to realize large amplitude motion with weak parameter modulation. However, self-tuning to critical transition is an effective means of signal amplification even without fast parameter modulation. Due to the generality, robustness and minimal implementation requirement, the present tuning approach may be used to design active and adaptive sensors that, similar to the human ear [35], could achieve unprecedented bandwidth and sensitivity in practical applications. Further to this, we posit that efficient implementation of wide range self-tuning,
as the one demonstrated on our electro-mechanical oscillator, could enable adaptive realization of the celebrated stochastic resonance phenomenon [36] useful not only for signal amplification [37] but variety of different applications, including adaptive energy harvesting from random natural vibrations [38].

Parametric excitation has also been widely used for signal amplification [39] and frequency stabilization [8] in micro and nanoelectromechanical oscillators. The high quality factor (low dissipation) makes these devices inherently sensitive to parametric excitation, and parametric actuation can be realized using a universal transduction scheme for nanomechanical oscillators [40]. It has been also recognized, however, that the effective use of micro and nanodevices is in strongly non-linear, large amplitude, regimes where the classical principle of time dependent parametric excitation suffers from frequency detuning and amplitude saturation even under no dissipation.

The present finding provides robust, model-free and near-optimal parametric excitation using judiciously chosen feedback perturbations. Application of this principle could lead to new generation self-tuning bistable parametric oscillators that provide near-optimal amplitude maximization, robust to variability in fabrication, non-linearity in operation, imperfections in actuation, and uncertain external effects inevitably present in real-world implementation.

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V. APPENDIX:

[26] The three parameters of the tuning controller provide the means to increase the robustness of the excitement. However, there is a trade-off between amplitude maximization and the robustness of the tuned oscillator i.e., closer the system is to bifurcation, higher is the amplitude of the oscillations, but the appearance of irregular oscillations (switching between off-centered small amplitude oscillations and centered large amplitude oscillations) is more likely, in this case, under external perturbations.
[32] Due to real-world effects, including friction and non-linearities in the actuation, identifying a model that can
FIG. 7: Self-tuning parametric feedback oscillator. a-f) The plots show the structural changes imposed to the oscillator during the experiment. The parameters, length $L_{eq}$ and mass $m_{eq}$, of the equivalent mathematical pendulum, representing this system, are given by: a) $L_{eq} = 0.51m$, $m_{eq} = 6.56kg$, b) $L_{eq} = 0.51m$, $m_{eq} = 11.52kg$, c) $L_{eq} = 0.51m$, $m_{eq} = 16.46kg$, d) $L_{eq} = 0.21m$, $m_{eq} = 12.21kg$, e) $L_{eq} = 0.22m$, $m_{eq} = 7.79kg$ and f) $L_{eq} = 0.27m$, $m_{eq} = 4.25kg$ respectively. The changes in the system parameters are indicated by the red-blue color code. g,h) Response of the oscillator under model variation and the imposed perturbations described in the event code on the top of the plot. The six insets (A)-(F) exemplify different means of self-tuning. Notably, insets (B) and (D) show self-initialization of the oscillations. This is shown in Movie 3 which demonstrates the motion of the oscillator between the dashed yellow lines. i,j) Self-tuning – motion and power drained by the stiffness tuning actuator. k,l) Stiffness pumping – motion and power drained due to the fast stiffness modulation. The plots show unprecedented level of robustness under significant changes of the mechanical properties of the oscillator.
parametric feedback excitation.

[34] See the Supplemental Movies at [URL].