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Citation for published version:

Link:
Link to publication record in Edinburgh Research Explorer

Document Version:
Peer reviewed version

Published In:
Notre Dame Journal of Formal Logic

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A NOTE ON OMITTING THE REPLACEMENT SCHEMA

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In [1] Heath considers a formalisation of primitive recursive arithmetic similar to that given in Goodstein [2], in which the replacement schema (Goodstein's $Sb_2$) is deduced from special cases of itself, using a double recursive uniqueness rule. The deduction of $Sb_2$ given in [1] is, however, incomplete. This is rectified in the present note. The special cases of $Sb_2$ taken by Heath are:

(i) $A = B \vdash SA = SB$
(ii) $A = B \vdash x + A = x + B$
(iii) $A = B \vdash A + x = B + x$
(iv) $A = B \vdash \chi - A = x - B$
(v) $A = B \vdash \chi A = \chi B$

Remark In fact either (ii) or (iii) can be omitted since $x + y = y + x$ can be proved without using (ii) or (iii) and then one can be derived from the other.

In order to derive the full $Sb_2$, i.e., $A = B \vdash f(A) = f(B)$, for any primitive recursive function $f$, it is necessary to show that the substitution theorem, $x = y \rightarrow f(x) = f(y)$, persists under definition by a primitive recursive schema. Heath shows that it persists under the recursion without parameter, which I shall call $R$,

$$f(0) = 0, \quad f(Sx) = g(x, f(x)),$$

i.e., that from $x = y \& w = z \rightarrow g(x, w) = g(y, z)$ we can deduce $x = y \rightarrow f(x) = f(y)$. He then quotes a theorem of R. M. Robinson that all primitive recursive functions are generated from $0, x, Sx, x + y$ and $x \times y$ by substitution and the recursion $R$. To complete the proof it would be sufficient to show that Robinson's reduction of primitive recursion can be carried out in the restricted primitive recursive arithmetic (i.e., without full $Sb_2$). This would involve defining the pairing functions $I(x, y), K(x)$ and $L(x)$ given by Robinson, deriving their main properties, e.g. $L(Sx) \neq 0 \rightarrow K(Sx) = K(x) \& L(Sx) = S(L(x))$, and checking that the substitution theorem is satisfied by them. This part was omitted by Heath, and it is not clear that this programme could be carried out.

Received October 7, 1971
However it is fairly easy to check that the substitution theorem persists under full recursion, by a simple adaptation of Heath's proof for the recursion scheme R, as the following theorem shows.

Theorem Suppose $f$ is defined by primitive recursion from $h$ and $g$, i.e.,

\[
\begin{align*}
  f(u_0, \ldots, u_n, 0) &= h(u_0, \ldots, u_n) \\
  f(u_0, \ldots, u_n, Sx) &= g(u_0, \ldots, u_n, x, f(u_0, \ldots, u_n, x))
\end{align*}
\]

and the substitution theorem has already been proved for $h$ and $g$, i.e.,

\[
\begin{align*}
  u_0 = v_0 & \quad \ldots \quad u_n = v_n \rightarrow h(u_0, \ldots, u_n) = h(v_0, \ldots, v_n) \\
  u_0 = v_0 & \quad \ldots \quad u_{n+2} = v_{n+2} \rightarrow \ Sx
\end{align*}
\]

Then the substitution theorem holds for $f$, i.e.,

\[
\begin{align*}
  u_0 = v_0 & \quad \ldots \quad u_n = v_n \rightarrow f(u_0, \ldots, u_n, 0) = f(v_0, \ldots, v_n, 0) \\
  u_0 = v_0 & \quad \ldots \quad u_{n+1} = v_{n+1} \rightarrow f(u_0, \ldots, u_{n+1}) = f(v_0, \ldots, v_{n+1})
\end{align*}
\]

Proof

Lemma I $u_0 = v_0 \quad \ldots \quad u_n = v_n \rightarrow f(u_0, \ldots, u_n, x) = f(v_0, \ldots, v_n, x)$

By induction on $x$, prove the basis

\[
\begin{align*}
  u_0 = v_0 & \quad \ldots \quad u_n = v_n \rightarrow f(u_0, \ldots, u_n, 0) = f(v_0, \ldots, v_n, 0)
\end{align*}
\]

by hypotheses (a) and (c) and the step

\[
\begin{align*}
  u_0 = v_0 & \quad \ldots \quad u_n = v_n \quad \text{and} \quad (u_0 = v_0 \quad \ldots \quad u_n = v_n) \rightarrow f(u_0, \ldots, u_n, x) = f(v_0, \ldots, v_n, x) \rightarrow f(u_0, \ldots, u_n, Sx) = f(v_0, \ldots, v_n, Sx)
\end{align*}
\]

by hypotheses (b) and (d).

Lemma II $x = y \rightarrow f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, y)$

By double induction on $x$ and $y$, prove

\[
\begin{align*}
  x = 0 & \rightarrow f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, 0)
\end{align*}
\]

and

\[
\begin{align*}
  0 = y & \rightarrow f(u_0, \ldots, u_n, 0) = f(u_0, \ldots, u_n, y)
\end{align*}
\]

by schema F on $x$ and $y$ respectively. Then use the deduction theorem to prove

\[
\begin{align*}
  (x = y & \rightarrow f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, y)) \rightarrow \\
  (Sx = Sy & \rightarrow f(u_0, \ldots, u_n, Sx) = f(u_0, \ldots, u_n, Sy))
\end{align*}
\]

Assume $x = y \rightarrow f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, y)$ and $Sx = Sy$ and without using $Sb_1$ on any of the variables $u_0, \ldots, u_n, x, y$, deduce, in turn,

\[
\begin{align*}
  x = y & \\
  f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, y) & \quad \text{by modus ponens} \\
  g(u_0, \ldots, u_n, x, f(u_0, \ldots, u_n, x)) = g(u_0, \ldots, u_n, y, f(u_0, \ldots, u_n, y)) & \quad \text{by hypothesis (d).}
\end{align*}
\]
Therefore
\[ f(u_0, \ldots, u_n, Sx) = f(u_0, \ldots, u_n, Sy) \]
by hypothesis (b).

The theorem follows from Lemmas I and II.

REFERENCES


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