The ECJ and the ECtHR: The Future Relationship Between the Two European Courts

by Tobias Lock

[7,111 words]

Abstract:
The current relationship between the two European courts has been discussed in some great detail while the future of that relationship has been widely neglected. This is somewhat surprising as the entry into force of the Lisbon Treaty and with it of the EU Charter of Fundamental Rights as well as the EU’s accession to the ECHR are probably going to take place before too long. The article first examines Article 52 (3) of the Charter which prescribes that the ECHR be the minimum standard of human rights in the EU. It is argued that that Art 52 (3) does not entail a reference to the ECtHR’s case law so that the ECJ will not be bound by that case law. After an accession of the EU to the ECHR, it is likely that both courts will assert that they have exclusive jurisdiction over the ECHR in inter-state cases, which creates a jurisdictional conflict for which a solution must be found. In addition, the article explores whether after an accession, the Bosphorus case law will have a future and whether the dictum found in Opinion 1/91 will be applicable, according to which the ECJ is bound by the decisions of courts created by an international agreement to which the EC is a party.

I. INTRODUCTION

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In recent years, the legal relationship between different international courts has attracted more and more scholarly attention. The so-called proliferation of international courts and tribunals has led to a debate about potential jurisdictional overlaps or even conflicts between these courts. The present article will focus on the future relationship between two of the busiest international courts in the world: the Court of Justice of the European Communities (ECJ) and the European Courts of Human Rights (ECtHR). In a preliminary step, the well-known present relationship between these two courts will be briefly examined. On that basis their future relationship will be explored. The two court’s relationship is likely to change in two scenarios: first, once the EU Charter of Fundamental Rights has entered into force and second, after an accession of the European Communities to the European Convention on Human Rights (ECHR).

II. THE PRESENT SITUATION

Presently, the European Community is not a party to the ECHR and therefore not directly bound by it. According to the well-established case law of the
ECJ, which is reflected in Article 6 (2) EU, the ECHR constitutes the minimum standard for human rights in the EU because all EU Member States are also bound by the ECHR. However, this does not imply that the EC itself is bound by the ECHR. As long as the EC itself is not a member to the Convention, the Convention rights have only got an indirect influence on the scope of fundamental rights in the European Community so that the Community itself cannot be held responsible for possible infringements of these rights. The Member States, however, are bound by both: Community law and the ECHR. This means that when implementing Community law, the Member States must generally comply with the ECHR.

On various occasions both the European Commission of Human Rights (ECommHR) and the ECtHR had to decide cases directed against Member States of the EC, concerning actions by Member States that had been determined by Community law. The two most important decisions for the present relationship between Community law and the ECHR are the cases of Matthews and Bosphorus. According to the ECtHR’s decision in Matthews, Member States are responsible if EC primary law (in that case the EC Act on Direct Elections of 1976) violates the Convention. The main reasons for this

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are that although the Member States are not excluded from transferring competences on an international organization, they remain responsible for infringements of the ECHR after such a transfer.\(^8\) Moreover, the respondent United Kingdom had freely agreed to be bound by the act in question and EC primary law cannot be challenged before the ECJ.\(^9\)

In the more recent *Bosphorus* decision, the ECtHR was faced with the question of whether an EU Member State, in this case Ireland, could be held responsible under the Convention for the mere execution of an EC Regulation.\(^{10}\) The ECtHR had to reconcile two basic principles: On the one hand, parties to the Convention are not prevented from transferring powers to an international organization. On the other hand, a party cannot fully escape its responsibilities under the Convention by such a transfer. According to the ECtHR, a Member State remains responsible under Article 1 ECHR for all acts and omissions of its organs regardless of whether they are rooted in

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\(^8\) Matthews (n. 7) para 32.  
\(^9\) Matthews (n. 7) para 33.  
domestic law only or are a consequence of the State’s membership in the EC.\footnote{Bosphorus (n 10) paras 152-153.} An action taken in compliance with obligations arising from the membership in an international organization, however, can be justified as long as that organization protects human rights at least in a manner equivalent to that of the Convention, if the Member State has no discretion in implementing these obligations.\footnote{Bosphorus (n 10) para 155.} Equivalent, according to the ECtHR, means comparable and not identical. If such equivalent protection is found to exist, there will be a presumption that a State has not departed from the requirements of the Convention when it does not more than implement the obligations flowing from its membership in the organization.\footnote{Bosphorus (n 10) para 156.} The presumption, however, is rebutted when the protection offered was ‘manifestly deficient’, which would have to be examined on a case by case basis. The ECtHR went on to conclude that the European Community did in fact afford such a level of protection and that the presumption in that case was not rebutted.\footnote{Bosphorus (n 10) paras 159-166. The Bosphorus decision is reminiscent of the ECommHR’s decision in M & Co. v Germany (App no 13258/87) (1990) D.R. 64, 146 where the ECommHR held that such complaints were inadmissible. A similar line of reasoning, albeit different in detail, can be found in the Solange II-decision by the German Federal Constitutional Court; cf. Alicia Hinarejos Parga ‘Bosphorus v Ireland and the Protection of Fundamental Rights in Europe’ 31 European Law Review (2006), 250, 257-258; Cathryn Costello ‘The Bosphorus Ruling of the European Court of Human Rights: Fundamental Rights and Blurred Boundaries in Europe’ 6 Human Rights Law Review (2006) 87, 104-105; Jean-Paul Jacqué, 41 Revue Trimestrielle de Droit Européen (2005), 749, 763; Stefan Lorenzmeier “Das Verhältnis von europäischem Gemeinschaftsrecht und Europäischer Menschenrechtskonvention” Jura [2007], 370, 373; Gerrit Schohe “Das Urteil Bosphorus: zum Unbehagen gegenüber dem Grundrechtsschutz durch die Gemeinschaft” Europäische Zeitschrift für Wirtschaftsrecht [2006], 33; N. Lavranos “Das So-Lange-Prinzip im Verhältnis von EGMR und EuGH” Europarecht [2006], 79, 86.} Therefore the complaint was held to be unfounded. The ECtHR also made it clear that the presumption in Bosphorus only operates where the Community law at issue could be challenged before the ECJ. Therefore it does not apply
where, as was the case in *Matthews*, the compliance of primary law with the ECHR is at issue.

The *Bosphorus* decision further clarifies that the presumption only applies where the Member State had no discretion in implementing Community law.\(^{15}\) Where the Member State had some degree of discretion, its responsibility will be the same as if a purely domestic act had been at issue.\(^{16}\) One of the questions left open by the ECtHR is whether the presumption also applies in cases where there has been no national act executing Community law. Such a case could for instance arise, where an applicant directly challenges a decision rendered by the Commission and confirmed by the ECJ before the ECtHR. *Bosphorus* is based on the presumption that the protection of human rights in Community law is equivalent to that under the Convention. Therefore, the ECtHR presumes that in cases where the Community’s Member States had no discretion when implementing secondary Community legislation that the Member States complied with the requirements of the Convention. Therefore, *Bosphorus* privileges secondary Community law as such. Thus the presumption formulated by the ECtHR in *Bosphorus* must also be applicable in cases where there was no implementing action by Member States.\(^{17}\)

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\(^{15}\) The ECtHR therefore did not deviate from its previous Cantoni decision, *Cantoni v France* (App no 17862/91) ECHR 1996-V, where it held France responsible for the implementation of an EC directive.


\(^{17}\) This view is shared by: Nikolaus Marsch and Anna-Catharina Sanders “Gibt es ein Recht der Parteien auf Stellungnahme zu den Schlussanträgen des Generalanwalts? Zur Vereinbarkeit des Verfahrens vor dem EuGH mit Art. 6 EMRK” Europarecht [2008], 345, 361-362; Sebastian Winkler “Die Vermutung des „äquivalenten“ Grundrechtsschutzes um Gemeinschaftsrecht nach dem Bosphorus-Urteil des EGMR” Europäische Grundrechte Zeitschrift [2007], 641, 643; a more cautious approach is adopted by: C. Eckes “Does the
While the general tenor of the ECtHR’s case law is that Member States cannot escape their obligations under the Convention, the Bosphorus decision must be regarded as proof of the continued silent cooperation and mutual respect between the ECtHR and the ECJ. The ECJ regularly refers to the ECHR and the ECtHR’s case law when adjudicating on fundamental rights in Community law, for which one of the main sources of inspiration is the ECHR.\(^\text{18}\) Therefore, the ECJ’s interpretation of the fundamental rights in Community law will usually be parallel to that of a similar Convention right by the ECtHR.\(^\text{19}\) Arguably, the quality of the ECJ’s case law regarding fundamental rights has profited to a great extent from this parallelism in interpretation.\(^\text{20}\) The ECtHR, too, increasingly refers to the ECJ’s case law, which helps to create a uniform human rights standard in Europe.\(^\text{21}\)

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\(^{21}\) E.g. in Pellegrin v France (App no 28541/95) ECHR 1999-VIII 207, para 66 and Goodwin v United Kingdom (App no 28957/95) ECHR 2002-VI. The ECtHR also helped to enforce Community law, e.g. Hornsby v Greece (App no 18357/91) ECHR 1997-II; S. A. Dangeville v France (App no 36677/97) ECHR 2002-III; cf. Dean Spielmann “La constitution économique de l’union européenne et les droits de l’homme” in O. Debarge et al. (eds), La constitution économique de l’union européenne (Bruylant, Bruxelles 2008), 297, 311-316.
cooperation, however, is not based on a legal duty to cooperate, but merely on comity. That means that either court can unilaterally end this cooperation at any moment. This is one of the reasons why an accession of the European Community to the ECHR should be welcomed as an accession would provide for a clear legal basis for the relationship between Strasbourg and Luxembourg.

II. THE FUTURE PART I: ENTRY INTO FORCE OF THE EU CHARTER OF FUNDAMENTAL RIGHTS

But before an accession of the EU to the ECHR will take place, it is likely that the EU Charter of Fundamental Rights will enter into force. The European Parliament, the Council and the Commission solemnly proclaimed the EU Charter of Fundamental Rights at the Nice summit on 7 December 2000. As a consequence, the Charter is presently not binding. However, both the ECJ and the CFI increasingly refer to its provisions as a confirmation for their findings regarding the Community’s fundamental rights. The reformed Article 6(1) TEU (Treaty of Lisbon) provides that the Charter of Fundamental Rights will have the same value as the Treaties. This means that the Charter will enter into force at the same time as the Treaty of Lisbon. Similarly, the failed European Constitution contained the Charter as its Part II. Even if the

23 2000 OJ, C364/1; the amended version of the Charter to become binding according to the Lisbon Treaty can be found at: 2007 OJ, C303/1.
Treaty of Lisbon shares the fate of the Constitution, it is very likely that a new reform treaty will again provide for the Charter eventually entering into force. Thus it seems justified to explore the relationship between the Charter and the ECHR after the Charter has become binding, and its influence on the relationship between the two European courts.

**A. The ECHR as a Minimum Standard**

Article 52 (3) of the Charter defines the relationship between the rights contained in the Charter and the ECHR:

> In so far as this Charter contains rights which correspond to rights guaranteed by the Convention for the Protection of Human Rights and Fundamental Freedoms, the meaning and scope of those rights shall be the same as those laid down by the said Convention. This provision shall not prevent Union law providing more extensive protection.

This article aims to prevent that the human rights standard set by the Charter being lower than that of the ECHR. Article 52 of the Charter deals with the scope of the rights guaranteed. Therefore, a restriction of a fundamental right that is also guaranteed by the ECHR can only be justified, if that restriction would also be permissible under the ECHR. Therefore, Article 52 (3) provides for the ECHR as a minimum standard of human rights in the EU. Article 52 (3) thus leads the EU to be indirectly bound by the ECHR as it must always be obeyed when restricting fundamental rights in the EU. The aim of Article 52 (3) is to prevent Member States from being subjected to two different standards of human rights protection when implementing EU law. Therefore
Article 52 (3) not only protects the status quo of the ECHR, but must also be read as a dynamic reference to the ECHR and its additional protocols. Should the ECHR be substantively amended in the future, these amendments will automatically become the new minimum standard of human rights protection in the EU.\footnote{Kolja Naumann “Art. 52 Abs. 3 GrCh zwischen Kohärenz des europäischen Grundrechtsschutzes und Autonomie des Unionsrechts” Europarecht [2008], 424, 426; Julia Molthagen Das Verhältnis der EU-Grundrechte zur EMRK, PhD thesis Hamburg 2003, <http://www.sub.uni-hamburg.de/opus/volltexte/2003/967/>, 23 April 2009, at p. 89; Marc Fischbach “Grundrechte-Charta und Menschenrechtskonvention” in W. Heusel (ed.), Grundrechtecharta und Verfassungsentwicklung in der EU (Bundesanzeiger-Verlag, Köln 2002), 125, 126.} A list of corresponding rights can be found in the official explanations relating to the Charter.\footnote{Explanations relating to the Charter of Fundamental Rights, OJ 2007, C 302/33-34; that list, however, is not exhaustive: Yvonne Dorf “Zur Interpretation der Grundrechtecharta” Juristenzeitung [2005], 126, 129; Molthagen (n 25); Nina Philippi Die Charta der Grundrechte der Europäischen Union (Nomos, Baden-Baden 2002), 44-45; Thomas von Danwitz “Art 52 Grundrechtecharta” in P. J. Tettinger; K. Stern (eds.), Kölner Gemeinschaftskommentar zur Europäischen grundrechte-Charta (C.H. Beck, München 2006) para 55.} According to Article 52 (7) of the Charter, these explanations ‘shall be given due regard by the Courts of the Union and the Member States’ when interpreting the Charter. Considering that Article 52 (7) does not provide for the explanations to be binding but merely postulates a duty to duly regard them, it cannot be excluded that future case law will add other rights to that list.

**B. Does Article 52 (3) make ECtHR case law binding?**

Having established that Article 52 (3) of the Charter makes the ECHR the minimum standard when interpreting provisions of the Charter that correspond to those of the ECHR, the question arises whether the interpreter of such provisions is also bound by the ECtHR’s case law regarding those rights. The fact that only the ECtHR’s (dynamic) interpretation shaped the rights...
contained in the ECHR and made the ECHR probably the most successful international human rights instrument, might suggest that that should be the case. Lenaerts and de Smijter contend that because the ECHR establishes the ECtHR and because the ECtHR interprets the rights laid down in the ECHR *ex tunc* it had to be assumed that the case law of the ECtHR formed an integral part of the meaning and scope of those rights.\(^{27}\) Considering that the ECJ will become the main interpreter of the Charter, such a result would lead to the ECJ being bound by the decisions of the ECtHR when interpreting the Charter. Regarding those rights, this would lead to a hierarchy of the two Courts with the ECtHR being at the top of that hierarchy.

When we look at the wording of Article 52 (3), however, we cannot find any express reference to the ECtHR’s case law. Only the ECHR itself is mentioned. The question is therefore, whether Article 52 (3) can nonetheless be interpreted as containing such a reference. On the one hand, it is unlikely that the drafters of Article 52 (3) wanted a mere reference to the 50 year old text of the ECHR, especially considering that the ECHR has for a long time been dynamically interpreted as a ‘living instrument’ by the ECtHR and thus been rendered a great deal more precisely.\(^{28}\) On the other hand, if one were to accept that the case law of the ECtHR will bind the interpreters of the


Charter, this would mean that every further step in the development of human rights protection by the ECtHR would automatically become part of EU law.\textsuperscript{29} The official explanations regarding Art 52 (3) explicitly mention the case law. They state that ‘[t]he meaning and scope of the guaranteed rights are determined not only by the text of those instruments, but also by the case law of the European Court of Human Rights and by the Court of Justice of the European Union’.\textsuperscript{30} This certainly means that the case law of the ECtHR will be of great relevance when interpreting the corresponding rights in the EU-Charter. The preamble to the EU-Charter is phrased in a similar manner in that it also refers to the case law of the ECtHR and the ECJ. However, Article 52 (7) only postulates a duty to \textit{duly regard} these explanations and thus merely a duty to duly regard the ECtHR’s case law. This does not imply that the interpreter of the EU-Charter must strictly follow that case law. Therefore, these explanations alone cannot provide a sufficient basis for the assumption that the ECJ would be bound by the ECtHR’s case law.

However, a duty to follow the case law could follow from the object and purpose of Art 52 (3), which aims at a parallel interpretation of both the ECHR and the EU-Charter in order to avoid that the ECtHR might regard an act of EU law, which had previously been sanctioned by the ECJ, to infringe the ECHR. After all, the most effective way of avoiding such a situation would be a strict duty to follow the ECtHR’s case law.

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\textit{Grundrechte der Europäischen Union} (2nd edn, Nomos-Verlag, Baden-Baden 2006) para 37; Philippi (n 26), 45; Dorf (n 26), 128.


\textsuperscript{30} OJ 2007, C 302/33 [emphasis added].

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Yet such an explicit duty is nowhere to be found in the EU-Charter. Moreover, there had been various attempts to include an explicit reference to the ECtHR’s case law during the Convention, which was responsible for drafting the Charter.\textsuperscript{31} Yet the Convention found it impossible to agree upon such a reference.\textsuperscript{32} Thus both the wording and the drafting history of the Charter do not support a strict bindingness of the ECtHR ‘s case law on the ECJ when interpreting the EU-Charter.

There is also a further, more general argument against the assumption that the ECtHR’s case law should be binding on the ECJ: such a duty would be alien to European Union law. Court decisions under EU law are only binding \textit{inter partes}. A duty to generally follow the case law of the ECtHR would implicate a great change in EU law, as it would basically introduce a doctrine of \textit{stare decisis} as is typical for the common law. Were the ECJ to follow the case law of the ECtHR, the doctrine would go even further than normal as it would mean that a court of one legal order (the ECJ) would be bound by the decisions of a court of another legal order (the ECtHR). In addition, a doctrine of \textit{stare decisis} only makes sense where there is a clear hierarchy of courts, including the possibility to file an appeal against decisions by the inferior court(s). An appeal gives the higher court an opportunity to review its own case law and adjust it. Therefore it would be necessary to introduce a

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\textsuperscript{31} CHARTE 4372/00 (CONVENT 39) containing proposals by the following members of the Convention: J. Meyer (p. 282); J.-P. Bonde (p. 487); J. Voggenhuber and K. Buitenweg (p. 560); D. Tarschys (p. 562); in addition, one of the observers of the Council of Europe argued in favour of such a reference: Marc Fischbach “Le Conseil de l’Europe et la Charte des droits fondamentaux de l’union européenne” Revue Universelle des Droits de l’Homme [2000] 7, 8.
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\textsuperscript{32} Regarding the discussions in the Convention: Jonas Bering Liisberg “Does the EU Charter of Fundamental Rights Threaten the Supremacy of Community Law?” 38 Common Market Law Review (2001), 1171, 1172; Molthagen (n 25); Margit Bühler Einschränkung von Grundrechten nach der Europäischen Grundrechtecharta (Duncker&Humblodt, Berlin 2005), 320-321.
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procedural means that would either enable a party to appeal to the ECtHR or
that would allow the ECJ to make a preliminary reference to the ECtHR, in
order to get guidance on the interpretation of the ECHR. That, however, is
not the case. Moreover, the present right to file an individual complaint under
Article 34 ECHR cannot be regarded as a sufficient alternative to a formal
appeal to the ECtHR for two reasons: Firstly, as long as the EU is not a party
to the ECHR, such an individual complaint could only be directed against a
Member State. Secondly, according to the Bosphorus presumption such a
complaint would almost always be unsuccessful. It would not constitute a
viable tool for an exchange between the two courts.

The foregoing arguments show that making the ECtHR’s decisions binding on
the ECJ would mean a paradigm shift in EU law. Had such a shift been
wanted, an express provision would surely have been included in the EU
Charter. Moreover, the ECtHR itself is not bound by its own decisions, nor
does the ECHR provide that the national courts of the parties to the
Convention be bound by its rulings. Article 46 ECHR only stipulates for a
decision being binding *inter partes.*

Considering in addition, that both the preamble and the explanations to
Article 52 (3) of the EU Charter mention the ECJ alongside the ECtHR
suggests that neither Court is to be regarded superior to the other, but rather
that both courts are regarded to co-exist as equals. For if one argues on
that basis, that an interpreter of corresponding rights of the Charter and the
ECHR should be bound by the case law of the ECtHR, one must also argue

33 Stefan Lorenzmeier "Das Verhältnis von Europäischem Gerichtshof und
Europäischem Gerichtshof für Menschenrechte – Konflikt oder Kooperation?" in J. Bast et al
(eds.), *Die Europäische Verfassung - Verfassungen in Europa* (Nomos, Baden-Baden 2005),
209, 223.
that such an interpreter is bound by the case law of the ECJ. Considering that
the ECJ will be the final interpreter of the EU Charter, this would result in the
ECJ being bound not only by the case law of the ECtHR, but also by its own
case law. In a scenario where the case law of both courts is contradictory, the
ECJ would thus be bound by both its own case law and that of the ECtHR.
For the ECJ this would result in a conflict between the duty to follow the
ECtHR’s case law on the one hand, and the duty to follow its own case law on
the other. This shows that in such a case the purpose of Article 52 (3), which
is to create coherence and consistency in European human rights law, would
not be better served if there was no legal duty to follow either case law.
Moreover, the mentioning of the ECtHR’s case law in the preamble of the EU
Charter occurs in the context of the sources of the Charter rights and not in
the context of the relationship between the EU Charter and the ECHR.34 The
preamble therefore suggests that the ECtHR’s case law is merely one of
several aids to interpreting the EU Charter. Therefore, it follows neither from
Article 52 (7) nor from the preamble that the case law of the ECtHR is binding.
The explanations to Article 52 themselves confirm this result in that they
stress that the autonomy of EU law and the ECJ must not be affected by
Article 52 (3).35
In conclusion, one cannot assume that once the EU Charter has entered into
force, the ECJ will be bound by the case law of the ECtHR when interpreting
rights that correspond to those of the ECHR.

34 Molthagen (n 25) p. 126.
35 OJ 2007, C 302/33.
IV. THE FUTURE PART II: ACCESSION TO THE ECHR

Under the Lisbon Treaty, the entry into force of the EU Charter of Fundamental Rights will not be the final step in the development of human rights in the EU. Article 6 (2) of the new TEU provides that ‘the Union shall accede to the European Convention for the Protection of Human Rights and Fundamental Freedoms’.\(^{36}\) The inclusion of that provision was necessary as the ECJ decided in Opinion 2/94, that the European Community could not accede to the ECHR for lack of competence.\(^{37}\) Regarding the Convention, a new Article 59 (2), introduced by Protocol 14 to the ECHR, will provide for a possibility of accession for the EU.\(^{38}\) Once the EU has become a party to the ECHR, further questions regarding the relationship between the two European courts will arise: First, the question of the exclusive jurisdiction of the ECJ to interpret agreements concluded by the EU; second, the question of the future of the Bosphorus presumption and third whether according to the obiter dictum in Opinion 1/91, the ECJ will be bound by the decisions of the ECtHR.

A. The exclusive jurisdiction of the ECJ after an accession

1. The exclusive jurisdiction of the ECJ

In order to accede to the ECHR, the EU\(^{39}\) will conclude an agreement of accession according to the procedure laid down in Article 218 (8) of the Treaty

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\(^{36}\) Art. I-9 of the failed European Constitution contained an identically worded provision.

\(^{37}\) Opinion 2/94 Accession to the ECHR [1996] ECR I-1759; this opinion had been requested following a long academic and political discussion; see e.g. Brid Moriarty, EC Accession to the ECHR Hibernian Law Journal [2001], 13, 15.

\(^{38}\) Art. 17 of Protocol No. 14 to the ECHR, CETS No 194; that Protocol has so far been ratified by all members of the Council of Europe save Russia.

\(^{39}\) For the purpose of this chapter, I will refer to the ‘EU’ as a future party considering that the ‘Community’ as a separate organization will cease to exist with the Treaty of Lisbon. For the readers’ convenience I will refer to the provisions of the EC Treaty as they presently stand.
of the Functioning of the European Union. According to Article 216 (2) of that Treaty (the present Article 300 (7) EC Treaty), the agreements concluded by the EU are binding on the EU and its Member States. Since its decision in the *Haegeman* case the ECJ has consistently held that the provisions of an agreement concluded by the Community form an integral part of Community law. An agreement of the Community can be regarded as an act of one of the institutions of the Community within the meaning of Article 234 (1) (b) EC Treaty, so that the ECJ has jurisdiction to interpret it without there being a piece of Community legislation implementing the agreement in Community law.

In *Haegeman*, which dealt with the provisions of a mixed agreement, the ECJ did not yet draw a distinction between agreements concluded by the Community alone and mixed agreements. That distinction was made by the Court in *Demirel*, where the ECJ distinguished between those provisions of a mixed agreement that fell into the jurisdiction of the Community and those that fell into the exclusive jurisdiction of the Member States. Only in the latter case does the ECJ not have jurisdiction. This case law has since been confirmed.

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41 Case 12/86 *Demirel v Stadt Schwäbisch Gmünd* [1987] ECR 3719 para 9; a detailed analysis of the ECJ’s case law can be found in: Koutrakos (n 2) pp. 192-205.
It follows from Article 220 and 292 EC Treaty that the jurisdiction of the ECJ to interpret such agreements is exclusive. Article 292 EC Treaty reads:

Member States undertake not to submit a dispute concerning the interpretation or application of this Treaty to any method of settlement other than those provided for therein.\(^{44}\)

The object and purpose of that provision is to ensure that Community law is interpreted in a consistent manner, which can most efficiently be attained by making the Community courts the only courts deciding issues of Community law. The reference to ‘this Treaty’ in Article 292 EC Treaty not only refers to the EC Treaty as such, but also to secondary legislation.\(^{45}\) The exclusive jurisdiction of the ECJ was the reason why the ECJ in Opinion 1/91 held the draft agreement on the European Economic Area to be incompatible with the EC Treaty.\(^{46}\) That agreement provided for the establishment of an EEA Court, which was to decide about disputes between the ‘contracting parties of the agreement’, i.e. the EC, its Member States and the EFTA States. Depending on the case in question, a ‘contracting party’ could either mean the EC, a Member State or the EC and its Member States together depending on the distribution of competences under Community law. In case of a dispute, the EEA Court would have been forced to decide which party was internally competent under Community law, in order to decide who was to be regarded

\(^{44}\) Art. 193 of the Euratom Treaty is worded in a similar manner.
as the ‘contracting party’ for the dispute.\textsuperscript{47} The ECJ regarded that power conferred to the EEA Court to be incompatible with Community law as that power was exclusively vested in the ECJ according to Article 220 EC Treaty, a finding which was confirmed by Article 292 EC Treaty.\textsuperscript{48} Opinion 1/91 shows that the exclusive jurisdiction of the ECJ to interpret Community law not only flows from the express provision of Article 292 EC Treaty, but is inherent in the Community legal system.

However, the exclusive jurisdiction of the ECJ to interpret Community law does not end here. It also extends to the interpretation of agreements concluded by the Community. In the \textit{Mox Plant}-Case, for instance, a mixed agreement, the United Nations Convention on the Law of the Sea (UNCLOS) was at issue. Here the Commission alleged that Ireland had breached the EC Treaty by submitting a dispute with the United Kingdom to the dispute settlement mechanism under UNCLOS.\textsuperscript{49} UNCLOS had been concluded by the Community as a mixed agreement. The ECJ held that Ireland had in fact breached its obligations under the EC Treaty by submitting a dispute regarding provisions of the UNCLOS to a forum other than the ECJ, as the Community had exercised its competence regarding the provisions in question.\textsuperscript{50} Therefore these provisions had to be regarded as an integral part

\begin{itemize}
\item \textsuperscript{47} Opinion 1/91 [1991] ECR I-6079 para 34.
\item \textsuperscript{48} Opinion 1/91 [1991] ECR I-6079 para 35.
\item \textsuperscript{49} Case C-459/03 \textit{Commission v Ireland} [2006] ECR I-4635.
\end{itemize}
of Community law for the interpretation of which the ECJ had exclusive jurisdiction.

Regarding the ECHR, the question is whether the ECJ will also claim to be (solely) competent to interpret its provisions as far as Community law is concerned. The ECHR will be acceded by the EU alone because the Member States are already parties to it. Nonetheless it will have to be qualified as a mixed agreement, as both the EU and the Member States will be parties to it. According to the ECJ’s *Haegeman* case law, the ECHR will thus become an integral part of EU law and the ECJ will have exclusive jurisdiction to interpret it. The question of course is whether that will generally be the case or only in certain circumstances. The difference to a ‘normal’ mixed agreement will be that the reason for a membership of both the EU and its Member States differs from the normal situation, where neither could alone be a member of the whole agreement due to a division of competences between the EU and its Member States. That would not be the case here as the EU does not have the competence regarding certain human rights while the Member States are competent regarding certain other human rights. Therefore the decisive factor for the ECJ’s jurisdiction regarding the ECHR cannot be whether the relevant provision of the ECHR falls in the exclusive jurisdiction of the Member States. It is rather suggested that only if EU law was applicable in the case at question, the ECJ will have jurisdiction to interpret it. This means that the

ECJ will not be able to claim jurisdiction in cases concerning wholly internal situations such as criminal law.\(^{51}\)

2. The Conflict With the ECtHR’s Exclusive Jurisdiction

The ECJ’s jurisprudence on its own exclusive jurisdiction to interpret agreements concluded by the EU, might, however, clash with the ECtHR’s exclusive jurisdiction in inter-state disputes according to Article 55 ECHR. Article 55 ECHR reads:

The High Contracting Parties agree that, except by special agreement, they will not avail themselves of treaties, conventions or declarations in force between them for the purpose of submitting, by way of petition, a dispute arising out of the interpretation or application of this Convention to a means of settlement other than those provided for in this Convention.

This provision leads to an exclusive jurisdiction of the ECtHR over disputes between the parties to the Convention under Article 33 ECHR. After the EU has acceded to the ECHR, cases between its Member States or between the EU and a Member State could potentially be adjudicated by the ECJ (Article 226 and 227 EC Treaty) and the ECtHR. Considering that both courts would regard their jurisdiction as exclusive, one must ask which court would be competent to adjudicate such cases. A conflict of jurisdiction could thus arise.

In contrast to that of the ECJ, the exclusive competence of the ECtHR is not an absolute one as it allows for special agreements between the parties to the Convention regarding their disputes. One possibility to solve this jurisdictional

\(^{51}\) In contrast to this, A. G. Toth “The European Union and Human Rights: The Way Forward” 34 Common Market Law Review [1997], 491, 509, argues that the ECJ would have jurisdiction over any provision of the ECHR irrespective of whether the matter falls within the competence of the EC or the Member States.
conflict would be to regard Article 220 and 292 EC as a ‘special agreement’ between the Member States and the EU. The question is, however, whether it is possible to regard these articles as such an agreement. Firstly, it could be argued that Article 55 ECHR requires that the special agreement be concluded between all the parties to the Convention. And secondly, one could contend that Article 55 ECHR demands that the special agreement must specifically refer to the ECHR. Neither condition would be fulfilled by Article 220 and 292 EC as the EC Treaty is an agreement only between some of the parties to the Convention and it is phrased in a general manner.

(a) Agreement Only Between the EU and its Member States

Addressing the first issue whether an agreement only between the EU and its Member States is sufficient to satisfy Article 55 ECHR one first has to concede that the wording of Article 55 ECHR is not clear in this respect. The ECommHR argued that it was enough to satisfy the requirements of ex Art 62 ECHR, which was phrased in exactly the same manner as Article 55 ECHR, if both parties to the dispute have agreed upon another procedure than that before the ECommHR. The ECommHR’s interpretation in this decision is supported by the travaux préparatoires. The Swedish proposal regarding that article expressly stated that ‘the parties concerned’ could decide to submit the dispute in question to another forum.

52 Some commentators contend that the agreement has to be concluded between all the parties to the ECHR: Joachim A. Frowein and Wolfgang Peukert EMRK-Kommentar Art. 62 (2nd edn, N. P. Engel Verlag, Kehl 1996); Wilhelm H. Wilting Vertragskonkurrenz im Völkerrecht (Carl Heymanns Verlag, Köln 1996), 223.
53 Cyprus v Turkey (App No 25781/94) ECommHR 28 June 1996.
54 Collected Edition of the ‘Travaux Préparatoires’ of the European Convention on Human Rights, Volume 5 (Martinus Nijhoff Publishers, The Hague 1979), 58; the proposal was phrased: ‘The Commission and the European Court having been created to settle disputes relating to the interpretation and the application of this Convention, such disputes shall not be submitted to other judicial or arbitral tribunals established by treaties or
was not rejected during the drafting process of the ECHR. Only the wording was slightly changed so that one can infer that the drafters did not want to substantially change the Swedish proposal. Such an interpretation is supported by the nature of the ECHR compared to that of the EU. The latter is an autonomous legal order, which avails of a Court of Justice with an exclusive jurisdiction, in order to ensure a coherent interpretation of EU law. In contrast to that, the ECHR cannot be regarded as an autonomous legal order, as it aims at protecting universal human rights. Therefore the exclusive jurisdiction given to the ECtHR by Article 55 ECHR does not seem to serve the purpose of protecting the ECHR from being interpreted by another forum as was argued by Shany.\(^55\) Rather it was the aim of Article 55 ECHR to prevent parties to the Convention being subjected to international adjudication against their will. This can only be understood before the background of the original version of the ECHR. The original legal situation was comparable to that before the ICJ, in that the parties had to agree to the jurisdiction of the ECtHR.\(^56\) Therefore, Article 55 ECHR was mainly designed to prevent that a party to the Convention would be forced to respond to a dispute concerning the ECHR before another court, if that other court could claim jurisdiction over disputes between the parties. Thus there is neither any evidence from the drafting process, nor from the object and purpose of Article 55 ECHR, that the special agreement under Article 55 ECHR would have to be concluded between all the parties to the ECHR. It follows that a special agreement between some of the parties to the ECHR suffices.

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55 Shany (n 1), 191.
56 Ex Art. 48 ECHR.
(b) Specific reference to ECHR in the special agreement

The second question is whether a 'special agreement' pursuant to Article 55 ECHR has to refer specifically to the ECHR or whether it is sufficient if that agreement simply confers jurisdiction over a certain type of disputes over Convention rights, e.g. where both parties to a dispute before the ICJ generally accepted its jurisdiction in accordance with Article 36 (2) of the ICJ Statute. Only if that were the case, would Article 220, 292 EC Treaty qualify as such a special agreement. The intention of the drafters of the ECHR was to avoid that parties to the Convention would be subjected to court proceedings on the basis of general jurisdiction clauses. Article 55 ECHR (ex Article 62) was especially aimed at declarations according to Article 36 (2) of the ICJ Statute, with which a State can declare that it generally accepts the jurisdiction of the ICJ. In such a case, Article 55 ECHR was supposed to prevent such a dispute, by prescribing the exclusive jurisdiction of the ECtHR. Therefore the drafting history suggests that a general clause such as Article 220, 292 EC would not be sufficient.

However, it seems that the original idea behind Article 55 ECHR is no longer applicable as the jurisdiction of the ECtHR has become obligatory after Protocol 11 entered into force on 1 November 1998. Therefore, parties to the Convention no longer need to be protected from being subjected to court proceedings alleging an infringement of the ECHR as they now must answer these cases in any event. Nonetheless, Article 55 (ex Article 62) ECHR remained part of the Convention. Therefore one may wonder which function that provision now has, as the parties to the Convention can no longer escape their responsibilities thereunder. It is unlikely that the drafters of Protocol 11
merely overlooked Article 55 and forgot to remove it from the Convention, because they changed its numbering. Thus one can conclude that Article 55 ECHR has a function that differs from that of ex Article 62 ECHR. Being an exclusive jurisdiction clause, the function of Article 55 ECHR can now only be to generally exclude other courts and tribunals from deciding cases based on the ECHR, in order to ensure its consistent interpretation by the ECtHR. Considering that the ECtHR is the only court deciding on (the far more frequent) applications by individuals according to Article 34 ECHR, it makes sense to confer a similar exclusivity to the ECtHR regarding inter-state cases. The question then is, why Article 55 ECHR still provides for a possibility to present the dispute to another forum. That can be explained by the fact that the ECHR, in contrast to the EU, is not an autonomous and self-contained legal order. Therefore the parties should be given the opportunity to have the dispute decided by another forum if they expressly wish to do so. Thus Article 55 ECHR creates a default rule that the ECtHR is competent to adjudicate inter-state disputes. Bearing in mind that exceptions to the rule must be construed narrowly, an agreement transferring jurisdiction to another forum will have to specifically relate to the ECHR. Therefore the general exclusive competence of the ECJ according to Article 220 and 292 EC Treaty does not satisfy the requirements of Article 55 ECHR. Thus both the ECJ and ECHR will be competent to adjudicate such inter-state disputes. Therefore, a conflict of jurisdictions arises.

In order to solve that conflict, the EU and its Member States would have to conclude a special agreement explicitly referring to the ECHR stating that the

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57 The ECJ recently confirmed that autonomy in its Kadi judgment, cf. Joined Cases
Convention will be interpreted by the ECJ in cases between the Member States or between a Member State and the EU.\textsuperscript{58} Such an agreement will preserve the exclusive jurisdiction of the ECJ and will at the same time be in accordance with the requirements of the ECHR.

\textit{B. The new relationship between the ECJ and the ECtHR}

1. The Future of the Bosphorus presumption

As already mentioned, the present relationship between the ECJ and the ECtHR is characterized by a mutual exercise of comity in that both courts respect the work of the other. In \textit{Bosphorus}, the ECtHR showed a great degree of deference towards the ECJ, in that it is presumed that the human rights protection under Community law is equivalent to that required by the ECHR. However, it is doubtful whether that presumption will still be justifiable after an accession. An accession of the EU to the ECHR will provide for a solid legal basis for a review of alleged human rights violations committed by the organs of the EU. That review will also include decisions of the ECJ. As previously mentioned, the \textit{Bosphorus} presumption should be applied in cases where only the Community acted. It would hardly be justifiable if that presumption were to be retained in such cases, because it would deprive the ECtHR of a great deal of cases arising within the EU. It would moreover lead to an unequal treatment of the different parties to the ECHR, in that the

\textsuperscript{58} Others have argued that it would become necessary to make a reservation (Art. 57 ECHR) or even completely exclude the possibility of an inter-state dispute for all Member States and the EU: European Commission, Memorandum on the accession of the European Communities to the Convention for the Protection of Human Rights and Fundamental Freedoms, Bulletin of the European Commission, Supplement 2/79, para 27; pleadings by the Spanish government regarding Opinion 2/94 \textit{Accession to the ECHR} [1996] ECR I-1759; Molthagen (n 25) p. 195; Gerhard Baumgartner “EMKR und Gemeinschaftsrecht” Zeitschrift
presumption would privilege the EU.\textsuperscript{59} Considering that the ECtHR does not grant such a privilege to any of the highest national courts of the parties to the ECHR, such a privilege for the ECJ is hardly justifiable.\textsuperscript{60} This argument is reinforced by the fact that such a privilege is even denied to those national courts that provide for a more effective protection of human rights than the ECJ, e.g. by granting easier access. In addition, after an accession to the ECHR, the need for the ECtHR to exercise comity will have ended. The justification for the exercise of comity was that the relationship between the two European courts is presently not fully clear. After an accession that will no longer be the case. Therefore, it is to be expected that the ECtHR will give up its \textit{Bosphorus} jurisprudence after an accession.\textsuperscript{61}

2. Binding Effect of ECtHR decisions on the ECJ according to Opinion 1/91?

A further question is whether an accession by the EU to the ECHR could lead the ECJ to apply its famous dictum in Opinion 1/91 for the first time, thus making the ECtHR's case law binding for the ECJ. It reads:

\begin{footnotesize}
\begin{enumerate}
\item\textsuperscript{59} Even regarding the present relationship between the two courts an alleged double-standard has been criticized, cf. the joint concurring opinion by Judges Rozakis, Tulkens, Traja, Botoucharova, Zagrebelsky and Garlicki in the Bosphorus case, para. 4; Eckes (n 17), 65.
\item\textsuperscript{60} After an accession, the proceedings before the ECJ will can no longer be regarded as 'another procedure of international investigation or settlement' according to Art. 35 ECHR as the ECJ will have to be treated like any highest court of a party to the Convention: Steering Committee for Human Rights CDDH (2002)010 Addendum 2, para 48-49; Leo Zwaak in P. Van Dijk et al (eds.), \textit{Theory and Practice of the European Convention on Human Rights} (4th edn, Intersentia, Antwerp 2006), 183.
\item\textsuperscript{61} Laurent Scheeck "The relationship Between the European Courts and Integration through Human Rights" 65 Zeitschrift für ausländisches öffentliches Recht und Völkerrecht (2005), 837, 862; Douglas-Scott (n 10), 243, 252; Andreas Haratsch "Die \textit{Solange}-Rechtsprechung des Europäischen Gerichtshofs für Menschenrechte" 66 Zeitschrift für ausländisches öffentliches Recht und Völkerrecht (2006), 927, 945.
\end{enumerate}
\end{footnotesize}
Where, however, an international agreement provides for its own system of courts, including a court with jurisdiction to settle disputes between the Contracting Parties to the agreement, and, as a result to interpret its provisions, the decisions of that court will be binding on the Community institutions, including the Court of Justice. Those decisions will also be binding in the event that the Court of Justice is called upon to rule, by way of preliminary ruling or in a direct action, on the interpretation of the international agreement, in so far as that agreement is an integral part of the Community legal order.62

As the ECHR constitutes an international agreement with its own court, the dictum seems to be applicable. The rationale behind the binding effect of these decisions on the organs of the Community, which includes the ECJ, is that the EU as a party to an agreement, is bound by that agreement. If that agreement provides that the parties to it are bound by the decisions of the Court established to interpret the agreement, the EU, and therefore its organs (including the ECJ), are bound by these decisions. It is, however, not clear from the above quote how far that binding effect of decisions goes. It is rather unlikely that the ECJ intended to introduce a doctrine of stare decisis through the back door as such a doctrine does not exist anywhere in Community or international law. Moreover, under international law only the decisions rendered in proceedings to which the EU was a party, are binding on it. Considering the rationale behind the dictum in Opinion 1/91 is to be found in international law, the ECJ is only bound by an interpretation of an international agreement rendered in cases where the EU was a party to the proceedings. Regarding the ECHR, this is evidenced by Article 46 ECHR, which shows that the decisions of the ECtHR are only binding inter partes. Therefore, the ECJ

is only bound by those decisions to which the EU was a party. It follows that where the ECtHR finds that the EU has violated the rights guaranteed in the ECHR, the ECJ will be bound by that decision when interpreting provisions of the ECHR in a subsequent case dealing with the same issue. Such a situation might, for example, arise where the applicant has suffered a damage due to the EU’s human rights violation and then sues the EU according to the present Article 288 (2) EC Treaty.\textsuperscript{63} The ECJ will in such a case be required to decide whether there has been a violation of the ECHR. Where the applicant has already obtained a judgment by the ECtHR finding an infringement of the ECHR regarding the same matter, the ECJ is bound to follow that judgment.

V. CONCLUSION

The relationship between the two European courts is likely to undergo significant changes in the future. Presently, the ECtHR puts the ECJ in a privileged position as the ECtHR will generally presume that the ECHR was not violated in cases where there was a possibility of judicial review by the ECJ and where the Member State held responsible for an alleged violation of the ECHR did not have discretion in implementing Community law. This approach is justified by the fact that the ECJ usually follows the ECtHR’s interpretation of the ECHR and thereby helps to maintain a relatively high human standard in the European Community. This situation will not significantly change once the EU Charter of Fundamental Rights has entered into force. The ECHR will remain the minimum human rights standard in the

\textsuperscript{63} Regarding the decisions of the WTO Dispute Settlement Body the ECJ has been unwilling recognize them as binding, mainly due to the peculiarities of WTO law: Case C-377/02 Van Parys v BIRB [2005] ECR I-1465; Confirmed in: Case 351/04 Ikea Wholesale Ltd. v Commissioner of Customs & Excise [2007] ECR I-7723.
EU. The ECJ will be bound to interpret the ECHR but will not be bound to follow the ECtHR’s case law. It can, however, be expected that the cooperation between the two European courts will increase somewhat further. After the EU’s accession to the ECHR, the present coexistence of the two European courts will change. The ECJ’s decisions will become directly reviewable by the ECtHR. In addition, the reason for the Bosphorus jurisprudence, which puts the ECJ in a privileged position relative to national courts, will disappear and that jurisprudence will probably be given up. Moreover, the ECJ will be bound to follow the ECtHR’s decisions, where the EU was a party to previous proceedings in the same matter.