"Coherence-Driven Expectations in Discourse and Dialog"

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**Abstract**

Problems: Different models have been proposed to capture the relationships that underlie a coherent discourse, with some models applied to monologue (Hobbs 1979, Kehler 2002) and some to dialog (Roberts 1996). This work considers whether the coherence relations that have been posited to implicitly structure a monologue can be linked to the questions-under-discussion (QUDs) that have been posited to explicitly structure a dialog.

Proposals: Using story and dialog continuations, we test whether people write story continuations that answer the questions that they are likely to pose for similar contexts in dialog continuations. The results suggest that paraisms can be drawn between two different types of discourse models and between the continuations in a single-speaker passage and the discourse moves in a dialog.

1. Goals
   - To draw a parallel between coherence-driven and question-under-discussion (QUD) models of discourse
   - To test whether people’s expectations about upcoming story continuations match their expectations about upcoming questions.

2. Two Related Models: Coherence & QUD
   - Coherence Model: adjacent sentences or discourse segments are related by coherence relations (Hobbs 1979, Kehler 2002)
   - QUD Model: discourses are structured with answers to overt or inferrable questions (Roberts 1996, Buring 2003)

   (1) Floyd took a train to North Carolina.
   - He wanted to get away from some of his colleagues.

   Coherence: (1) is analyzed as an Explanation relation
   - QUD arguably more general: used to analyze question-answer pairs in dialog and implicit questions in monologue

3. Previous Work: Effects of Discourse on Pronouns
   - The likelihood of upcoming coherence relations has been shown to influence patterns of pronoun interpretation (Rohde, Kehler, & Elman 2007)
   - (2) John handed a book to Bob. He __________ .

   Story continuation results:
   - Instructions to “answer why?” yield bias to interpret ‘He’ as ‘John’
   - Instructions to “answer what next?” yield bias to interpret ‘He’ as ‘Bob’
   - Argued for COHERENCE-driven biases using QUESTIONS

4. Story/Dialog Continuation Experiments
   - Biases toward particular questions in dialog continuations correlate with biases for particular coherence relations in story continuations
   - Prediction: People answer in story continuations the questions that they are likely to pose in dialog continuations
   - Methodology: participants were instructed to imagine a conversation with a friend and write continuations for either:
     - Story continuation: the friend was likely to say next
     - Dialog continuation: question they would be likely to pose
   - Evaluation: judges annotate responses for coherence & QUD

5. Study 1: Explanation ~ Why
   - Implicit causality (IC) manipulation: verb class (IC / Non-IC) (Garvey et al. 1974, inter alia)
   - Hypothesis: more Explanation coherence relations and more Why? questions following IC verbs than Non-IC verbs

   (3) Story Continuation
   - Friend: John scolded Mary. __________ ?
   - (4) Dialog Continuation
   - Friend: John scolded Mary. You: __________ ?

   As predicted, % ‘Why?’/ ‘What next’/ ‘How type questions were significantly correlated with % Explanations [F(1,94)=43.6, p<0.001], Occasions [F(1,94)=4.352, p<0.04], and Elaborations [F(1,94)=11.31, p<0.002].

6. Study 2: Explanation ~ Why
   - Verbal aspect manipulation: transfer verbs (perfective / imperfective)
   - Hypothesis: different coherence/question distributions with perf / imp

   (5) Story Continuation
   - Friend: John handed was handing a book to Bob. _____ .
   - (6) Dialog Continuation
   - Friend: John handed was handing a book to Bob. You: _____ ?

   ➔ As predicted, % ‘Why?’/ ‘What next’/ ‘How’ type questions were significantly correlated with % Explanations [F(1,94)=43.6, p<0.001], Occasions [F(1,94)=4.352, p<0.04], and Elaborations [F(1,94)=11.31, p<0.002], respectively.

7. Conclusions
   - We find that people write story continuations that answer the questions that they are likely to pose for similar contexts in dialog continuations.
   - The results suggest that paraisms can be drawn between two different types of discourse models and between continuations in a single-speaker passage and discourse moves in a dialog.

References

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