Propagating functional dependencies with conditions

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Propagating Functional Dependencies with Conditions

Wenfei Fan\textsuperscript{1,2,3} Shuai Ma\textsuperscript{1} Yanli Hu\textsuperscript{1,5} Jie Liu\textsuperscript{4} Yinhui Wu\textsuperscript{1}  
\textsuperscript{1}University of Edinburgh \textsuperscript{2}Bell Laboratories \textsuperscript{3}Harbin Institute of Technologies  
\textsuperscript{4}Chinese Academy of Sciences \textsuperscript{5}National University of Defense Technology  
\{wenfei@inf,smal@inf,yanli@sms,yinhui@sms\}.ed.ac.uk 1j0kg.ict.ac.cn

Abstract
The dependency propagation problem is to determine, given a view defined on data sources and a set of dependencies on the sources, whether another dependency is guaranteed to hold on the view. This paper investigates dependency propagation for recently proposed conditional functional dependencies (CFDs). The need for this study is evident in data integration, exchange and cleaning since dependencies on data sources often only hold conditionally on the view. We investigate dependency propagation for views defined in various fragments of relational algebra, CFDs as view dependencies, and for source dependencies given as either CFDs or traditional functional dependencies (FDs). (a) We establish lower and upper bounds, all matching, ranging from PTIME to undecidable. These not only provide the first results for CFD propagation, but also extend the classical work of FD propagation by giving new complexity bounds in the presence of finite domains. (b) We provide the first algorithm for computing a minimal cover of all CFDs propagated via SPC views; the algorithm has the same complexity as one of the most efficient algorithms for computing a cover of FDs propagated via a projection view, despite the increased expressive power of CFDs and SPC views. (c) We experimentally verify that the algorithm is efficient.

1. Introduction
The prevalent use of the Web has made it possible to exchange and integrate data on an unprecedented scale. A natural question in connection with data exchange and integration concerns whether dependencies that hold on data sources still hold on the target data (i.e., data transformed via mapping from the sources). As dependencies (a.k.a. integrity constraints) specify a fundamental part of the semantics of the data, one wants to know whether or not the dependencies are propagated from the sources via the mapping, i.e., whether the mapping preserves information.
This is one of the classical problems in database research, referred to as the dependency propagation problem. It is to determine, given a view (mapping) defined on data sources and dependencies that hold on the sources, whether or not another dependency is guaranteed to hold on the view? We refer to the dependencies defined on the sources as source dependencies, and those on the view as view dependencies. This problem has been extensively studied when source and view dependencies are functional dependencies (FDs), as shown below.

Example 1.1: Consider three data sources $R_1$, $R_2$, and $R_3$, containing information about customers in the UK, US and Netherlands, respectively. To simplify the presentation we assume that these data sources have a uniform schema:

\[
\begin{align*}
R_1(\text{AC, phn, name, street, city, zip}) \\
R_2(\text{AC, phn, name, street, city, zip}) \\
R_3(\text{AC, phn, name, street, city, zip})
\end{align*}
\]

Each tuple in an $R_i$ relation specifies a customer’s information (area code AC, phone phn, name and address (street, city, zip code)), for $i \in [1, 3]$. Example instances $D_1$, $D_2$ and $D_3$ of $R_1$, $R_2$ and $R_3$ are shown in Fig. 1.
Consider the following FDs defined on the UK andolland sources: in instances of $R_1$, zip code uniquely determines street ($f_1$), and area code uniquely determines city ($f_2$); moreover, area code determines city in $R_3$ data ($f_3$).

\[
\begin{align*}
f_1: R_1(\text{zip} \rightarrow \text{street}), & \quad f_2: R_1(\text{AC} \rightarrow \text{city}), & \quad f_3: R_3(\text{AC} \rightarrow \text{city}).
\end{align*}
\]

Define a view $V$ with query $Q_1 \cup Q_2 \cup Q_3$ to integrate the data from the three sources, where $Q_1$ is
\[
\begin{align*}
\text{select AC, phn, name, street, city, zip, ‘44’ as CC from R_1}
\end{align*}
\]

\[
\begin{align*}
\text{select AC, phn, name, street, city, zip, ‘44’ as CC from R_2}
\end{align*}
\]

\[
\begin{align*}
\text{select AC, phn, name, street, city, zip, ‘44’ as CC from R_3}
\end{align*}
\]

Figure 1: Instances of $R_1$, $R_2$, $R_3$ relations
Define $Q_2$ and $Q_3$ by substituting ‘01’ and ‘31’ for ‘44’, $R_2$ and $R_3$ for $R_1$ in $Q_1$, respectively. The target schema $R$ has all the attributes in the sources and a country-code attribute $CC$ (44, 01, 31 for the UK, US and Netherlands, respectively).

Now one wants to know whether $f_1$ on the $R_1$ source still holds on the target data (view). The answer is negative: Figure 1 tells us that the view violates $f_1$ due to tuples $t_3$, $t_4$ extracted from $D_2$; indeed, in the US, $zip$ does not determine $street$. That is, $f_1$ is not propagated to the view as an FD. In contrast, in the following CFD $[8]$ holds on the view:

$$\varphi_1 : R[CC = '44', zip] \rightarrow [\text{street}]$$

That is, for UK customers in the view, $zip$ uniquely determines $street$. In other words, $\varphi_1$ is an “FD” with a condition: it is to hold only on the subset of tuples in the view that satisfies the pattern $CC = '44'$, rather than on the entire view. It cannot be expressed as a standard FD.

Similarly, from $f_2$ and $f_3$ one cannot derive a standard FD on the view to assert that “area code uniquely determines city”. Indeed, from tuples $t_1$ and $t_2$ in Fig. 1 we can see that 20 is an area code in both the UK and Holland, for London and Amsterdam, respectively. However, not all is lost: the following CFDs are propagated from $f_2$ and $f_3$ via the view:

$$\varphi_2 : R[CC = '44', AC] \rightarrow [\text{city}]$$
$$\varphi_3 : R[CC = '31', AC] \rightarrow [\text{city}]$$

That is, $f_2$ and $f_3$ hold conditionally on the view: area code determines city for tuples with $CC = '44'$ ($\varphi_2$) or $CC = '31'$ ($\varphi_3$). In other words, the semantics specified by the CFDs on the sources is preserved by the CFDs on the view.

Furthermore, given the following CFDs on the sources:

$$\text{cf}_{d_1} : R_1[AC = '20'] \rightarrow [\text{city} = 'LDN']$$
$$\text{cf}_{d_2} : R_3[AC = '20'] \rightarrow [\text{city} = 'Amsterdam']$$

then the following CFDs are propagated to the view:

$$\varphi_4 : R[CC = '44', AC = '20'] \rightarrow [\text{city} = 'LDN']$$
$$\varphi_5 : R[CC = '31', AC = '20'] \rightarrow [\text{city} = 'Amsterdam']$$

which carry patterns of semantically related constants.

No previous algorithms developed for FD propagation are capable of deriving these CFDs from the given source CFDs via the view. This highlights the need for investigating dependency propagation, for CFDs as view dependencies.

Applications. The study of dependency propagation is not only of theoretical interest, but also important in practice.

(1) Data exchange [17]. Recall Example 1.1. Suppose that the target schema $R$ and CFDs $\varphi_2$ and $\varphi_3$ are predefined. Then the propagation analysis assures that the view definition $V$ is a schema mapping from $(R_1, R_2, R_3)$ to $R$, i.e., for any source instances $D_1$ and $D_3$ of $R_1$ and $R_3$ that satisfy the CFDs $f_2$ and $f_3$, respectively, and for any source instance $D_2$ of $R_2$, the view $V(D_1, D_2, D_3)$ is an instance of the target schema $R$ and is guaranteed to satisfy $\varphi_2$ and $\varphi_3$.

(2) Data integration [18]. Suppose that $V$ is a mapping in an integration system, which defines a global view of the sources. Then certain view updates, e.g., insertion of a tuple $t$ with $CC = '44'$, $AC = '20'$ and $city = 'EDH'$, can be rejected without checking the data, since it violates the CFD $\varphi_4$ propagated from the sources.

(3) Data cleaning. In contrast to CFDs that were developed for schema design, CFDs were proposed for data cleaning [8]. Suppose that CFDs $\varphi_1 \land \varphi_2$ are defined on the target database, for checking the consistency of the data. Then propagation analysis assures that one need not validate these CFDs against the view $V$. In contrast, if in addition, an FD $\varphi_6 : R[CC, AC, phn \rightarrow street, city, zip]$ is also defined on the target, then $\varphi_6$ has to be validated against the view since it is not propagated from the source dependencies.

Contributions. In response to the practical need, we provide the first results for dependency propagation when view dependencies are CFDs. We study views expressed in various fragments of relational algebra (RA), and source dependencies expressed either as traditional FDs or CFDs.

(1) Complexity bounds. We provide a complete picture of complexity bounds on dependency propagation, for source FDs and source CFDs, and for various RA views. Furthermore, we study the problem in two settings: (a) the infinite-domain setting: in the absence of finite-domain attributes in a schema, and (b) the general setting where finite-domain attributes may be present. We establish upper and lower bounds, all matching, for all these cases, ranging from polynomial time (PTIME) to undecidable. We show that in many cases CFD propagation retains the same complexity as its FD counterpart, but in some cases CFDs do make our lives harder by incurring extra complexity.

Previous work on dependency propagation assumes the infinite-domain setting. It is believed that FD propagation is in PTIME for SPCU views [1] (union of conjunctive queries, defined with selection, projection, Cartesian product and union operators). In real world, however, it is common to find attributes with a finite domain, e.g., Boolean, date, etc. It is hence necessary to study the dependency propagation problem in the presence of finite-domain attributes, and get the complexity right in the general setting.

In light of this we study the analysis of dependency propagation in the general setting. We show that the presence of finite-domain attributes complicates the analysis, even for source FDs and view FDs. Indeed, while FD propagation is in PTIME for SPCU views in the infinite-domain setting, this is no longer the case in the general setting: the problem already becomes coNP-complete for SC views, source FDs and view FDs! This intractability is unfortunately what one often has to cope with in practice.

To our knowledge this work is the first effort to study the dependency propagation problem in the general setting.

(2) Algorithms for computing a propagation cover. In many applications one wants not only to know whether a given view dependency is propagated from source dependencies, but also to find a cover of all view dependencies propagated. From the cover all view dependencies can be deduced via implication analysis. This is needed for, e.g., processing view updates and detecting inconsistencies, as shown by the data integration and data cleaning examples given above.

Although important, this problem is rather difficult. It is known [9] that even for certain FDs and views defined with a single projection operator, a minimal cover of all view FDs propagated is sometimes necessarily exponentially large, in the infinite-domain setting. A typical method to find a cover is by first computing the closure of all source FDs, and then projecting the closure onto the view schema. While this method always takes exponential time, it is the algorithm recommended by database textbooks [23, 26].

Already hard for FDs and projection views, the propaga-
tion cover problem is far more intriguing for CFDs and SPC views. One way around this is by means of heuristic, at a price: it may not always be able to find a cover.

In contrast, we provide an algorithm to compute a minimal cover of all CFDs propagated via SPC views in the absence of finite-domain attributes, by extending a practical algorithm proposed in [12] for computing a cover of FDs propagated via projection views. Despite the increased expressive power of CFDs and SPC views, this algorithm has the same complexity as the algorithm of [12]. The algorithm behaves polynomially in many practical cases. Indeed, exponentially large covers are mostly found in examples intentionally constructed. Further, from this algorithm an effective polynomial-time heuristic is immediate: it computes a minimal cover when the cover is not large, and returns a subset of a cover as soon as the computation reaches a predefined bound, when covers are inherently large.

This is the first algorithm for computing minimal propagation covers via SPC views, for FDs or CFDs.

(3) Experimental study. We evaluate the scalability of the propagation cover algorithm as well as minimal covers found by the algorithm. We investigate the impact of the number of source CFDs and the complexity of SPC views on the performance of the algorithm. We find that the algorithm is quite efficient; for example, it takes less than 80 seconds to compute minimal propagation covers when given views with 50 projection attributes and selection conditions defined in terms of the attributes and selection conditions defined in terms of the conjunction of 10 domain constraints. Furthermore, it scales well with the number and complexity of source CFDs and SPC views. The minimal covers found by the algorithm are typically small, often containing less CFDs than the sets of input source CFDs. We contend that the algorithm is a promising method for computing minimal propagation covers of CFDs via SPC views, and may find practical use in data integration, data exchange and data cleaning.

This work not only provides the first results for CFD propagation, but also extends the classical results of FD propagation, an issue that was considered settled 20 years ago, by investigating the propagation problem in the general and practical setting overlooked by prior work. In addition, for both FDs and CFDs, we give the first practical algorithm for computing minimal propagation covers via SPC views.

Organization. We review CFDs and various fragments of RA in Section 2. We establish complexity bounds on dependency propagation in Section 3. We provide the algorithm for computing minimal propagation covers via SPC views in Section 4. Experimental results are reported in Section 5, followed by related work in Section 6 and topics for future work in Section 7. The proofs are in the appendix.

2. Dependencies and Views

In this section, we review conditional functional dependencies (CFDs) [8] and fragments of relational algebra (RA).

2.1 Conditional Functional Dependencies

CFDs extend FDs by incorporating a pattern tuple of semantically related data values. In the sequel, for each attribute A in a schema R, we denote its associated domain as dom(A), which is either infinite (e.g., string, real) or finite (e.g., Boolean, date).

**Definition 2.1**: A CFD ϕ on a relation schema R is a pair R(X → Y, tp), where (1) X → Y is a standard FD, called the FD embedded in ϕ; and (2) tp is a tuple with attributes in X and Y, referred to as the pattern tuple of ϕ, where for each A in X (or Y), tp[A] is either a constant ‘a’ in dom(A), or an unnamed variable ‘p’ that draws values from dom(A).

We separate the X and Y attributes in tp with ‘∥’.

For CFDs on views (i.e., view CFDs) we also allow a special form R(A → B, (x ∥ x)), where A, B are attributes of R and x is a (special) variable.

Note that traditional FDs are a special case of CFDs, in which the pattern tuples consist of ‘p’ only.

**Example 2.1**: The dependencies we have seen in Section 1 can be expressed as CFDs. Some of those are given below:

ϕ1: R([CC, zip] → [street], (44, ∥ , )�),
ϕ2: R([CC, AC] → [city], (44, ∥ , )�),
ϕ3: R([CC, AC] → [city], (44, 20 || LDN)),
ϕ4: R1(zip → street, (, ∥ )�)

The standard FD f1 on source R1 is expressed as a CFD. □

The semantics of CFDs is defined in terms of a relation X on constants and λ: η1 × η2 if either η1 = η2, or one of η1, η2 is ‘a’; the operator ∽ naturally extends to tuples, e.g., (Portland, LDN) ∽ (LDN). We say that a tuple t1 matches t2 if t1 ⊃ t2.

An instance D of R satisfies ϕ = R(X → Y, tp), denoted by D |= ϕ, if for each pair of tuples t1, t2 in D, if t1[X] = t2[X] and t2[Y] ∋ t1[Y] = tp[Y].

Intuitively, ϕ is a constraint defined on the set Dϕ = {t | t ∈ D, t[X] = tp[X]} such that for any t1, t2 in Dϕ, if t1[X] = t2[X], then (a) t1[Y] = t2[Y], and (b) t1[Y] ∋ tp[Y]. Here (a) enforces the semantics of the embedded FD, and (b) assures the binding between constants in tp[Y] and constants in t1[Y]. Note that ϕ is defined on the subset Dϕ of D identified by tp[X], rather than on the entire D.

An instance D of R satisfies CFD R(A → B, (x ∥ x)) if for any tuple t in D, if t[A] = t[B]. As will be seen shortly, these CFDs are used to express selection conditions of the form A = B in a view definition, treating domain constraints and CFDs in a uniform framework.

We say that an instance D of a relational schema R satisfies a set Σ of CFDs defined on R, denoted by D |= Σ, if D |= ϕ for each ϕ in Σ.

**Example 2.2**: Recall the view definition V from Example 1.1 and the instances D1, D2, D3 of Fig. 1. The view V(D1, D2, D3) satisfies ϕ1, ϕ2, ϕ3 of Example 2.1. However, if we remove attribute CC from ϕ4, then the view no longer satisfies the modified CFD. Indeed, there are two tuples t′ 1 and t′ 2 in V(D1, D2, D3) such that t′ 1 and t′ 2 of Fig. 1 have identical AC and city values; similarly for t2 and t′ 2 of Fig. 1. Then t′ 1 and t′ 2 violate the modified CFD: they have the same AC attribute but differ in city. □

2.2 View Definitions

We study dependency propagation for views expressed in various fragments of RA. It is known that the problem is already undecidable for FDs and views defined in RA [1]. In light of this we shall focus on positive fragments of RA, without set difference, in particular SPC and SPCU.

Consider a relational schema R = (S1, . . . , Sm).

SPC. An SPC query (a.k.a. conjunctive query) Q on R is an
We study the problem in a variety of settings. (a) We study the propagation problem from FDs to CFDs. (b) We investigate the problem in the absence and in the presence of finite-domain attributes in the schema $R$, i.e., in the infinite-domain setting and the general setting.

We first study propagation from FDs to CFDs, and then from CFDs to CFDs. Finally, we address a related interesting issue: the emptiness problem for CFDs and views.

### 3.1 Propagation from FDs to CFDs

In the infinite-domain setting, propagation from FDs to CFDs has been well studied, i.e., for source FDs and view $F$. It is known that the propagation problem is

- undecidable for views expressed in $\Sigma$
- $\text{ptime}$ for views expressed in $\Sigma$.

In this setting, CFDs do not make our lives harder.

**Theorem 3.1:** In the absence of finite-domain attributes, the dependency propagation problem from FDs to CFDs is

- undecidable for views expressed in $F$
- $\text{ptime}$ for $F$, and
- undecidable for $F$.

**Proof Sketch:** (a) For the $\text{ptime}$ bound, we develop an algorithm for testing propagation, via tableau representations of given $F$ views and view $F$, by extending the chase technique (see [1] for details about chase, and [16] on extensions of chase). We show that the algorithm characterizes propagation and is in $\text{ptime}$. (b) The undecidability follows from its counterpart for FDs, as CFDs subsume FDs.

From Theorem 3.1 it follows immediately that propagation from FDs to CFDs is also in $\text{ptime}$ for views expressed in fragments of $F$, e.g., $F$, SC, SP, SC and PC views. In the general setting, i.e., when finite-domain attributes may be present, the propagation analysis becomes harder. Below we show that even for propagation from FDs to CFDs, and for simple SC views, the problem is already intractable.

**Theorem 3.2:** In the general setting, the dependency propagation problem from FDs to CFDs is $\text{conP}$-complete for SC views.

**Proof Sketch:** There is an NP algorithm that, given source FDs $\Sigma$, a view $F$ and an SC view $V$, decides whether $\Sigma \not\models_{F} V\psi$ or not. The algorithm extends the chase technique to deal with variables that are associated with finite domain attributes, such that all those variables are instantiated with constants from their corresponding finite domains. There are exponential number of such instantiations that need to be checked. For each instantiation, it can be done in polynomial time by Theorem 3.1. Thus the problem is in $\text{conP}$. The lower bound is established by reduction from the $3\text{SAT}$ problem to the complement of the propagation problem, where $3\text{SAT}$ is $\text{NP}$-complete (cf. [16]). Given an instance $\phi$ of the $3\text{SAT}$ problem, we define source relations $\Sigma$, a set $\Sigma$ of FEs on $\Sigma$, a view $V$ defined by an SC expression, and a FD $\psi$ on $V$. We show that $\phi$ is satisfiable iff $\Sigma \not\models_{F} V\psi$. 

### 3.2 Propagation from CFDs to FDs

The problem of propagating from CFDs to FDs is $\text{ptime}$ for CFDs subsuming FDs, and in $\text{ptime}$ for CFDs subsuming CFDs. However, the problem becomes undecidable for CFDs subsuming FDs when the source dependencies are FDs, and as propagation from CFDs to CFDs when the source dependencies are CFDs. (c) We investigate the problem in the absence and in the presence of finite-domain attributes in the schema $R$, i.e., in the infinite-domain setting and the general setting.

We first study propagation from FDs to CFDs, and then from CFDs to CFDs. Finally, we address a related interesting issue: the emptiness problem for CFDs and views.

### Table 1: Complexity of CFD propagation

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<tr>
<th>$\Sigma$</th>
<th>View language</th>
<th>Complexity bounds</th>
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<tbody>
<tr>
<td></td>
<td>View language</td>
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<tr>
<td>SP</td>
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<tr>
<td>SC</td>
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<td>SPCU</td>
<td>pttime</td>
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<tr>
<td>RA</td>
<td>undecidable</td>
<td>undecidable</td>
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### Table 2: Complexity of FD propagation

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<th>$\Sigma$</th>
<th>View language</th>
<th>Complexity bounds</th>
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<tbody>
<tr>
<td></td>
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<tr>
<td>SPCU</td>
<td>pttime</td>
<td>[16, 1]</td>
</tr>
<tr>
<td>RA</td>
<td>undecidable</td>
<td>[15]</td>
</tr>
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...
In contrast to the PTIME bound in the infinite-domain setting [16, 1], Theorem 3.2 shows that the presence of finite-domain attributes does complicate the analysis of propagation from FDs to CFDs, and should be thoroughly studied.

**Theorem 3.3:** In the general setting, the dependency propagation problem from FDs to CFDs is
- in PTIME for PC views,
- in PTIME for SP views,
- coNP-complete for SC views,
- coNP-complete for SPCU views,
- undecidable for RA views.

**Proof Sketch:** (a) The PTIME bounds are verified by providing PTIME algorithms for checking propagation, by extending chase to CFDs. (b) The coNP upper bound is by giving an NP algorithm for deciding \( \Sigma \not\models \varphi \) for the given source FDs \( \Sigma \), view \( \varphi \) and SPCU view \( V \). The lower bound follows from Theorem 3.2 for SC views, since CFDs subsume FDs. (c) The undecidability follows from Theorem 3.1, since the general setting subsumes the infinite-domain setting.

Theorem 3.3 also tells us that in the general setting, propagation from FDs to CFDs is (a) in PTIME for S, P and C views, and (b) coNP-complete for SP views.

In addition, since FDs are a special case of CFDs, Theorems 3.2 and 3.3 together yield a complete picture of complexity bounds on the dependency propagation problem from FDs to FDs in the general setting.

**Corollary 3.4:** In the general setting, the propagation problem from FDs to FDs is in PTIME for SP and PC views, and is coNP-complete for SPC and SPCU views.

### 3.2 Propagation from CFDs to CFDs

Upgrading source dependencies from FDs to CFDs does not incur extra complexity for propagation analysis, in the infinite-domain setting. That is, the bounds of Theorem 3.1 remain intact, which are the same as for FD propagation.

**Theorem 3.5:** In the absence of finite-domain attributes, the dependency propagation problem from CFDs to CFDs is
- in PTIME for SPCU views, and
- undecidable for RA views.

**Proof Sketch:** (a) The PTIME bounds are again verified by developing a polynomial time checking algorithm, via an extension of the chase algorithm in Theorem 3.1 to cope with CFDs instead of FDs. (b) The undecidability follows from Theorem 3.1, since FDs are a special case of CFDs.

This tells us that propagation from CFDs to CFDs is also in PTIME for SP, SC and PC views. When it comes to the general setting, however, the problem becomes intractable even for very simple views.

**Corollary 3.6:** In general setting, the dependency propagation problem from CFDs to CFDs is
- coNP-complete for views expressed as S, P or C queries;
- coNP-complete for SPCU views; and
- undecidable for RA views.

**Proof Sketch:** (a) The implication problem for CFDs is a special case of the dependency propagation problem, when views are the identity mapping, which are expressible as S, P or C queries. It is known that in the presence of finite-domain attributes, CFD implication is already coNP-complete [8]. From this it follows that the propagation problem is already coNP-hard for views expressed as S, P or C queries. (b) The coNP upper bound is verified along the same lines as Theorem 3.3. (c) The undecidability follows from Theorem 3.3, since FDs are a special case of CFDs.

From Corollary 3.6 it follows that the propagation problem is also coNP-complete for SC, PC, SP and SPC views.

We summarize in Table 1 complexity bounds on propagation from FDs to CFDs, and from CFDs to CFDs. To give a complete picture, we present the complexity bounds on propagation from FDs to FDs in Table 2, including results from [15, 16, 1].

### 3.3 Interaction between CFDs and Views

An interesting aspect related to dependency propagation is the interaction between source CFDs and views.

**Example 3.1:** Consider a CFD \( \phi = R(A \rightarrow B, (. || b_1)) \) defined on a source \( R(A, B, C) \), and an S view \( V = \sigma_{B=b_2}(R) \), with \( b_2 \neq b_1 \). Then for any instance \( D \) of \( R \) that satisfies \( \phi \), \( V(D) \) is always empty. Indeed, the CFD \( \phi \) assures that the \( B \) attributes of all tuples \( t \) in \( D \) have the same constant: \( t[B] = b_1 \), no matter what value \( t[A] \) has. Hence \( V \) cannot possibly find a tuple \( t \) in \( D \) that satisfies the selection condition \( B = b_2 \). As a result, any source CFDs are “propagated” to the view, since the view satisfies any CFDs.

This suggests that we consider the emptiness problem for views and CFDs: it is to determine, given a view \( V \) defined on a schema \( R \) and a set \( \Sigma \) of CFDs on \( R \), whether or not \( V(D) \) is always empty for all instances \( D \) of \( R \) where \( D \models \Sigma \).

It turns out that this problem is nontrivial.

**Theorem 3.7:** In the general setting, the emptiness problem is coNP-complete for CFDs and SPCU views.

**Proof Sketch:** We consider the non-emptiness problem, the complement of the emptiness problem. We show that the non-emptiness problem is NP-hard by reduction from the non-tautology problem, a known NP-complete problem (cf. [10]). Its upper bound is verified by providing an NP algorithm to check the non-emptiness, by extending chase. From this follows immediately Theorem 3.7.

The lower bound is not surprising: it is already NP-hard for deciding whether there exists a nonempty database that satisfies a given set of CFDs, in the general setting [8]. This, known as the consistency problem [8], is a special case of the complement of the emptiness problem when the view is the identity mapping. Theorem 3.7 tells us that adding views does not make our lives harder: the NP upper bound for the consistency problem for CFDs remains intact.

In the absence of finite-domain attributes, the implication problem for CFDs becomes tractable [8]. It is also the case for the emptiness problem for SPCU views and CFDs: a PTIME algorithm can be developed for the emptiness test.

**Theorem 3.8:** Without finite-domain attributes, the emptiness problem is in PTIME for CFDs and SPCU views.

**Proof Sketch:** In the absence of finite-domain attributes, one can readily turn the NP algorithm given in the proof of Theorem 3.7 into a PTIME one, since instantiation of finite-domain attributes, which would require a nondeterministic guess, is no longer needed here.
4. Computing Covers of View Dependencies

We have studied dependency propagation in Section 3 for determining whether a given view CFD is propagated from source CFDs (or FDs). In this section we move on to a related yet more challenging problem, referred to as the propagation cover problem, for finding a minimal cover of all view CFDs propagated from source CFDs. As remarked in Section 1, this problem is important for data integration and data cleaning, among other things. Furthermore, an algorithm for finding a propagation cover also readily provides a solution to determining whether a given CFD \( \phi \) is propagated from a given set \( \Sigma \) of source CFDs via an SPC view \( V \): one can simply compute a minimal cover \( \Gamma \) of all CFDs propagated from \( \Sigma \) via \( V \), and then check whether \( \Gamma \) implies \( \phi \), where CFD implication is already studied in [8].

No matter how important, this problem is hard. As will be seen soon, most prior algorithms for finding FD propagation covers always take exponential time.

The main result of this section is an algorithm for computing a minimal cover of all view CFDs propagated from source CFDs, via SPC views. It is an extension of a practical algorithm proposed in [12], for computing covers of FDs propagated via projection views. It has the same complexity as that of [12], and behaves polynomially in many practical cases. It also yields an algorithm for computing propagation covers when FDs are source dependencies, a special case.

To simplify the discussion we assume the absence of finite-domain attributes, the same setting as the classical work on FD propagation [1, 12, 15, 16]. In this setting, the emptiness problem for CFDs and SPC views, and the CFD propagation problem via SPC views are all in PTIME. We consider w.l.o.g. CFDs in the normal form: \( (R : X \rightarrow A, t_p) \), where \( A \) is a single attribute. Indeed, each CFD of the general form given in Section 2 can be converted in linear time to an equivalent set of CFDs in the normal form [8].

In the rest of the section, we first state the propagation cover problem and discuss its challenges in Section 4.1. We then provide basic results in Section 4.2, on which our algorithm is based. The algorithm is presented in Section 4.3.

4.1 The Propagation Cover Problem

Implication and cover. We say that a set \( \Sigma \) of CFDs defined on a schema \( R \) implies another CFD \( \phi \) on \( R \), denoted by \( \Sigma \models \phi \), if for any instance \( D \) of \( R \), if \( D \models \Sigma \) then \( D \models \phi \).

A cover of a set \( \Sigma \) of CFDs is a subsets \( \Sigma_c \) of \( \Sigma \) such that for each CFD \( \phi \) in \( \Sigma_c \), \( \Sigma_c \models \phi \). In other words, \( \Sigma_c \) is contained in, and is equivalent to, \( \Sigma \). For a familiar example, recall the notion of the closure \( F^+ \) of a set \( F \) of CFDs, which is needed for designing normal forms of relational schema (see, e.g., [1]). Then \( F \) is a cover of \( F^+ \).

A minimal cover \( \Sigma_{mc} \) of \( \Sigma \) is a cover of \( \Sigma \) such that:

- (a) no proper subset of \( \Sigma_{mc} \) is a cover of \( \Sigma \), and
- (b) for each CFD \( \phi = (R : X \rightarrow A, t_p) \) in \( \Sigma_{mc} \), there exists no proper subset \( Z \subset X \) such that \( (\Sigma_{mc} \cup \{ \phi' \}) \setminus \{ \phi \} \models \phi \), where \( \phi' = (R : Z \rightarrow A, (t_p[Z] \parallel t_p[A])) \).

That is, there is neither redundant attributes in each CFD nor redundant CFDs in \( \Sigma_{mc} \).

We only include nontrivial CFDs in \( \Sigma_{mc} \). A CFD \( (R : X \rightarrow A, t_p) \) is nontrivial if

- (a) \( A \notin X \), or
- (b) \( X = AZ \), but \( t_p \) is not of the form \( (\eta_1, \underline{\underline{D}}_Z[A], \eta_2) \), where either \( \eta_1 = \eta_2 \), or \( \eta_1 \) is a constant and \( \eta_2 = ' \).

It is known that without finite-domain attributes, implication of CFDs can be decided in quadratic time [8]. Further, there is an algorithm [8], referred to as MinCover, which computes \( \Sigma_{mc} \) in \( O(\Sigma^{2}) \) time for any given set \( \Sigma \) of CFDs.

Propagation cover. For a view \( V \) defined on a schema \( R \) and a set \( \Sigma \) of source CFDs on \( R \), we denote by \( \text{FCDF}_p(\Sigma, V) \) the set of all view CFDs propagated from \( \Sigma \) via \( V \).

The propagation cover problem is to compute, given \( V \) and \( \Sigma \), a cover \( \Gamma \) of \( \text{FCDF}_p(\Sigma, V) \). We refer to \( \Gamma \) as a propagation cover of \( \Sigma \) via \( V \), and as a minimal propagation cover if \( \Gamma \) is a minimal cover of \( \text{FCDF}_p(\Sigma, V) \).

Challenges. The example below, taken from [9], shows that the problem is already hard for FDs and simple P views.

Example 4.1: Consider a schema \( R \) with attributes \( A_1, B_1, C_1 \), and \( D \), and a set \( \Sigma \) of FDs on \( R \) consisting of \( A_1 \rightarrow C_1, B_1 \rightarrow C_1 \), and \( C_1 \rightarrow D \), for each \( i \in [1, n] \). Consider a view that projects an \( R \) relation onto their \( A_i, B_i \), and \( D \) attributes, dropping \( C_i \)’s. Then any cover \( \Sigma_c \) of the set of view FDs propagated necessarily contains all FDs of the form \( \eta_1, \ldots, \eta_n \rightarrow D \), where \( \eta_i \) is either \( A_i \) or \( B_i \), for \( i \in [1, n] \). Obviously \( \Sigma_c \) contains at least \( 2^n \) FDs, whereas the size of the input, namely, \( \Sigma \) and the view, is \( O(n) \). Indeed, to derive view FDs from \( C_1, \ldots, C_n \rightarrow D \), one can substitute either \( A_i \) or \( B_i \) for \( C_i \), leading to the exponential blowup.

In contrast, the dependency propagation problem is in PTIME in this setting (recall from Section 3). This shows the sharp distinction between the dependency propagation problem and the propagation cover problem.

While we are not aware of any previous methods for finding propagation covers for FDs via SPC views, there has been work on computing embedded FDs [12, 23, 26], which is essentially to compute a propagation cover of FDs via projection views. Given a schema \( R \), a set \( F \) of FDs on \( R \) and a set \( Y \) of attributes in \( R \), it is to find a cover \( F_c \) of all FDs propagated from \( F \) via a projection view \( \pi_Y(R) \). An algorithm for finding \( F_c \) is by first computing the closure \( F^+ \) of \( F \), and then projecting \( F^+ \) onto \( Y \), removing those FDs with attributes not in \( Y \). This algorithm always takes \( O(2^{|F|}) \) time, for computing \( F^+ \). As observed in [12], this is the method covered in database texts [23, 26] for computing \( F_c \). A more practical algorithm was proposed in [12], which we shall elaborate shortly.

Already hard for FDs and P views, the propagation cover problem is more intricate for CFDs and SPC views.

(a) While at most exponentially many FDs can be defined on a schema \( R \), there are possibly infinitely many CFDs. Indeed, there are infinitely many CFDs of the form \( R(A \rightarrow B, t_p) \) when \( t_p[A] \) ranges over values from an infinite domain \( A \).

(b) While \( AX \rightarrow A \) is a trivial FD and can be ignored, \( \phi = (RAX \rightarrow A, t_p) \) may not be. Indeed, when \( t_p \) is \( (\omega, \underline{\underline{x}}_A) \), \( \phi \) is meaningful: it asserts that for all tuples \( t \) such that \( t[X] \parallel \underline{\underline{x}}_A \), the \( A \) column has the same constant ‘\( a \)’.

(c) While \( X \rightarrow Y \) and \( Y \rightarrow Z \) yield \( X \rightarrow Z \) for FDs, the transitivity rule for CFDs has to take pattern tuples into account and is more involved than its FD counterpart [8].

(d) As we have seen in the previous section, selection and Cartesian product introduce interaction between domain constraints and CFDs, a complication of SPC views that we do not encounter when dealing with projection views.
4.2 Propagating CFDs via SPC Views

The exponential complexity of Example 4.1 is for the worst case and is only found in examples intentionally constructed. In practice, it is common to find a propagation cover of polynomial size, and thus it is an overkill to use algorithms that always take exponential time. In light of this one wants an algorithm for computing minimal propagation covers that behaves polynomially most of the time, whereas it necessarily takes exponential time only when all propagation covers are exponentially large for a given input.

We next propose such an algorithm, referred to as PropCFD_SPC, by extending the algorithm of [12] for computing a propagation cover of FDs via projection views. Given an SPC view V defined on a schema R and a set \( \Sigma \) of source CFDs on \( R \), PropCFD_SPC computes a minimal propagation cover \( \Gamma \) of \( \Sigma \) via \( V \), without increasing the complexity of the algorithm of [12], although CFDs and SPC views are more involved than FDs and P views, respectively.

Before we present PropCFD_SPC, we give some basic results behind it. Let \( R = (S_1, \ldots, S_m) \) be the source schema. Recall from Section 2 that \( V \) defined on \( R \) is of the form:

\[
\pi_V(R_x \times E), \quad E = \sigma_{E_x}(E_y), \quad E_x = R_1 \times \sigma_2 \times R_n,
\]

where \( R_i \) is a constant relation, \( R_i \)'s are renamed relation atoms \( \rho_j(S) \) for \( S \in R \), \( Y \) is the set of projection attributes, and \( F \) is a conjunction of equality atoms.

Basic results. The constant relation \( R_i \) introduces no difficulties: for each \( (A; a_i) \in R_i \), a CFD \( R\pi_V(A \rightarrow A, (\_ \parallel a)) \) is included in \( F \), where \( R\pi_V \) is the view schema derived from \( V \) and \( \phi \). Thus in the sequel we assume that \( V = \pi_V(E_i) \).

The reduction below allows us to focus on \( E_i \) instead of \( V \). The proof is straightforward, by contradiction.

**Proposition 4.1:** For any SPC view \( V \) of the form above, and any set \( \Sigma \) of source CFDs, \( \Sigma \models \forall \phi \iff \Sigma \models E_x \phi \) when

- \( \phi = R\pi_V(A \rightarrow B, (x \parallel x)) \), denoting \( A = B \);
- \( \phi = R\pi_V(A \rightarrow A, (\_ \parallel a)) \), denoting \( A = a' \); or
- \( \phi = R\pi_V(A \rightarrow B, (x \parallel x)) \), where \( A \in Y \), \( B \in Y \), and \( X \subseteq Y \).

We next illustrate how we handle the interaction between CFDs and operators \( \times, \sigma \) and \( \pi \) in the view definition \( V \).

Cartesian product. Observe that each \( R_i \in E_i \) is \( \rho_j(S) \), where \( S \in R \). All source CFDs on \( S \) are propagated to the view, after their attributes are renamed via \( \rho_j \).

**Selection.** The condition \( F \in \pi \) brings domain constraints into play, which can be expressed as CFDs.

**Lemma 4.2:** (a) If \( A \rightarrow a' \) is in the selection condition \( F \), then \( R\pi_V(A \rightarrow A, (\_ \parallel a)) \in \text{CFD}_\pi(\Sigma, V) \). (b) If \( A = B \) is in \( F \), then \( R\pi_V(A \rightarrow B, (x \parallel x)) \in \text{CFD}_\pi(\Sigma, V) \) for the special variable \( x \).

That is, one can incorporate domain constraints \( A \rightarrow a' \) and \( A = B \) enforced by the view \( V \) into CFDs. Here (a) asserts that the \( A \) column of the view must contain the same constant \( a' \), and (b) asserts that for each tuple \( t \) in the view, \( t[A] \) and \( t[B] \) must be identical, as required by the selection condition \( F \) in the view \( V \) (this is why we introduced CFDs of the form \( R\pi_V(A \rightarrow B, (x \parallel x)) \) in Section 2).

**Lemma 4.3:** If \( R\pi_V(A \rightarrow B, (x \parallel x)) \) and \( R\pi_V(BX \rightarrow G, t_p) \), then \( R\pi_V(AX \rightarrow G, t'_p) \) is in \( \text{CFD}_\pi(\Sigma, V) \), where

\[
t'_p[A] = t_p[B], \quad t'_p[X] = t_p[X] \quad \text{and} \quad t'_p[G] = t_p[G].
\]

That is, we can derive view CFDs by applying the domain constraint \( A = B \): substituting \( A \) for \( B \) in a view CFD yields another view CFD. This also demonstrates how domain constraints interact with CFD propagation.

Let us use \( \Sigma \) to denote these CFDs as well as those in \( \Sigma \) expressing domain constraints. Based on \( \Sigma \) we can decide whether \( A = B \) or \( A \rightarrow a' \) for attributes in \( Y \) (i.e., \( \pi_Y(V) \)). More specifically, we partition the attributes into a set \( \text{EQ} \) of equivalence classes, such that for any \( \text{eq} \in \text{EQ} \), and for any attributes \( A, B \) in \( Y \), (a) \( A, B \in \text{eq} \) iff \( A = B \) can be derived from \( \Sigma \); (b) if \( A \rightarrow a' \) can be derived from \( \Sigma \), and moreover, \( A \in \text{eq} \), then for any \( B \in \text{eq} \), \( B = a' \); we refer to the constant \( a' \) as the key of \( \text{eq} \), denoted by \( \text{key}(\text{eq}) \). If a constant is not available, we let \( \text{key}(\text{eq}) = \perp \).

The use of \( \text{EQ} \) helps us decide whether or not \( V \) and \( \Sigma \) always yield empty views (Section 3), which happens if there exists some \( \text{eq} \in \text{EQ} \) such that \( \text{key}(\text{eq}) \) is not well-defined, i.e., when two distinct constants are associated with \( \text{eq} \).

It is easy to develop a procedure to compute \( \text{EQ} \), referred to as \( \text{ComputeEQ} \), which takes \( \Sigma \) and \( V \) as input, and returns \( \text{EQ} \) as output, along with \( \text{key}(\text{eq}) \) for each \( \text{eq} \in \text{EQ} \). If \( \text{key}(\text{eq}) \) is not well-defined for some \( \text{eq} \), it returns a special symbol \( \perp \), indicating the inconsistency in \( \Sigma \) and \( V \).

**Projection.** To remedy the limitations of closure-based methods for computing propagation covers of FDs via P views, a practical algorithm was proposed in [12] based on the idea of Reduction by Resolution (RBR). We extend RBR and the algorithm of [12] to handle CFDs and projection.

To illustrate RBR, we first define a partial order \( \preceq \) on constants and \( \perp \): \( \eta_1 \preceq \eta_2 \) if either \( \eta_1 \) and \( \eta_2 \) are the same constant \( a' \), or \( \eta_2 = \perp \).

Given CFDs \( \phi_1 = R(X \rightarrow A, t_p) \) and \( \phi_2 = R(AZ \rightarrow B, t'_p) \), if \( t_p[A] \leq t'_p[A] \) and for each \( C \in X \cap Z \), \( t_p[C] \leq t'_p[C] \), then we can derive \( \phi = R(XZ \rightarrow B, t_p) \) based on CFD implication [8].

Thus, \( \text{key}(\text{eq}) \) is well-defined, and \( \text{key}(\text{eq}) \) is not well-defined for some \( \text{eq} \), it returns a special symbol \( \perp \), indicating the inconsistency in \( \Sigma \) and \( V \).

For \( \phi = \perp \), we refer to \( \phi \) as an A-resolvent of \( \phi_1 \) and \( \phi_2 \).

**Example 4.2:** Consider CFDs \( \phi_1 = R([A_1, A_2] \rightarrow A, t_1) \) and \( \phi_2 = R([A_2, B_1] \rightarrow B, t_2) \), where \( t_1 = (\_ \parallel a) \) and \( t_2 = (\_ \parallel b) \). Then \( \phi = R([A_1, A_2, B_1] \rightarrow B, t_1) \) is an A-resolvent of \( \phi_1 \) and \( \phi_2 \), where \( t_p = (\_ \parallel b) \).

Following [12], we define the following. Given \( \pi_Y(R) \) and a set \( \Sigma \) of CFDs on \( R \), let \( U \) be the set of attributes in \( R \).

- For \( A \in (U - Y) \), let \( \text{res}(\Sigma, A) \) denote the set of all non-trivial A-resolvents. Intuitively, it shortcutts all CFDs involving \( A \).
- Denote by \( \text{Drop}(\Sigma, A) \) the set \( \text{res}(\Sigma, A) \cup \Sigma[U \rightarrow (A)] \), where \( \Sigma[Z] \) denotes the subset of \( \Sigma \) by including only CFDs with attributes in \( Z \).
- Define \( \text{RBR}(\Sigma, A) = \text{Drop}(\Sigma, A) \) and inductively, \( \text{RBR}(\Sigma, ZA) = \text{Drop}(\Sigma, ZA) \).

Then we have the following result, in which \( F^+ \) denotes the closure of \( F \), i.e., the set of all CFDs implied by \( F \).

**Proposition 4.4:** For a view \( \pi_Y(R) \) and a set \( \Sigma \) of CFDs on \( R \), (a) for each \( A \in (U - Y) \), \( \text{Drop}(\Sigma, A)^+ = \Sigma[U \rightarrow (A)] \); (b) \( \text{RBR}(\Sigma, U - Y) \) is a propagation cover of \( \Sigma \), via \( \pi_Y(R) \), where \( U \) is the set of attributes in \( R \).
Input: Source CFDs $\Sigma$, and SPC view $V = \pi_Y(\Delta)$, $E_\Delta = \sigma_F(\Phi_\Delta)$.  
Output: A minimal propagation cover of $\Sigma$ via $V$.

1. $\Sigma_V := \emptyset$; $\Sigma := \text{MinCover}(\Sigma)$.
2. $\text{EQ} := \text{ComputeEQ}(\Sigma, \Sigma_V); /*$ handling $\sigma_F$/
3. if $\text{EQ} = \emptyset /*$ inconsistent /*
4. then return $\{ \text{RV}(A \rightarrow A, (\| a), \text{RV}(A \rightarrow A, (\| b)); /*$ for some $A \in Y$, distinct $a, b \in \text{dom}(A)$/*
5. for each $R \in \rho(S)$ in $E_\Delta$ do
6. $\Sigma_Y := \Sigma_Y \cup \text{handling product} *'*/
7. for each $eq \in \text{EQ} do /*$ applying domain constraints /*
8. pick an attribute $\text{rep}(eq)$ in $eq$
9. such that $\text{rep}(eq) \in Y$ if eq \& Y is not empty;
10. substitute $\text{rep}(eq)$ for each $A \in eq$, in $\Sigma_Y$;
11. $\text{eq} := \text{eq} \cap Y; /*$ keep only those attributes in $Y$/
12. $\Sigma_Y := \text{RBR}(\Sigma_Y, \text{attr}(E_\Delta) \cap \text{Y}); /*$ handling $\sigma_V$/
13. return $\text{MinCover}(\Sigma_V, \Sigma_Y)$.

Figure 2: Algorithm PropCDF_SPC

The result is first established in [12] for FDs. The proof of Proposition 4.4 is an extension of its counterpart in [12].

This yields a procedure for computing a propagation cover of $\Sigma$ via $\pi_Y(R)$, also denoted by RBR. The idea is to repeatedly "drop" attributes in $U - Y$, shortening all CFDs that involve attributes in $U - Y$. The procedure takes as input $\Sigma$ and $\pi_Y(R)$, and returns $RBR(\Sigma, U - Y)$ as the output.

We shall also need the following lemma.

Lemma 4.5: If for any source instance $D$ where $D \models \Sigma$, $V(D)$ is empty, then $\text{RV}(A \rightarrow A, (\| a))$ and $\text{RV}(A \rightarrow A, (\| b))$ are in $\text{CFD}(\Sigma, V)$, for any attribute $A$ in $\text{R}$, and any distinct values $a, b \in \text{dom}(A)$.

This essentially assures that the view is always empty (recall the emptiness problem from Section 3.3): no tuple $t$ in the view can possibly satisfy the CFDs, which require $t[A]$ to take distinct $a$ and $b$ as its value. As a result any CFD on the view can be derived from these "inconsistent" CFDs.

4.3 An Algorithm for Computing Minimal Covers

We now present algorithm PropCDF_SPC, shown in Fig. 2.

The algorithm first processes selection $\sigma_F$ (line 2), extracting equivalence classes $E_\Delta$ via procedure ComputeEQ described earlier (not shown). If inconsistency is discovered, it returns a pair of "conflicting" view CFDs that assure the view to be always empty (lines 3-4), by Lemma 4.5. It then processes the Cartesian product, and gets a set $\Sigma_V$ of CFDs via renaming as described above (lines 5-6). It applies the domain constraints of $\text{EQ}$ to $\Sigma_V$ (line 9), by designating an attribute $\text{rep}(eq)$ for each equivalence class $eq$ in $\text{EQ}$ (line 8), which is used consistently wherever attributes in $\text{EQ}$ appear in CFDs of $\Sigma_V$. It also removes attributes in $eq$ that are not in the projection list $Y$ (line 10), since these attributes do not contribute to view CFDs. Next, it handles the projection $\pi_Y$, by invoking procedure $\text{RBR}$ (line 11), and converts domain constraints of $\text{EQ}$ to CFDs via procedure Eq2CDF (line 12). Finally, it returns a minimal cover of the results returned by these procedures, by invoking procedure $\text{MinCover}$ of [8].

This yields a minimal propagation cover of $V$ via $\Sigma$ (line 13).

Procedure RBR of Fig. 3 implements the RBR method: for each $A \in U - Y$, it computes an $A$-resolvent (lines 4-8) and Drop($\Sigma, A$) (lines 4-11). Only nontrivial CFDs are included (line 8). By dropping all attributes in $U - Y$, it obtains $\text{RBR}(\Sigma, U - Y)$, a cover of $\Sigma$ and $\pi_Y$ by Proposition 4.4.

Procedure Eq2CDF of Fig. 4 converts domain constraints

Input: An attribute set $X = U - Y$, and a set $\Sigma$ of CFDs on $U$. 
Output: A cover $\Gamma$ of $\Sigma + [Y]$. 

1. let $\Gamma := \Sigma$; 
2. while $X$ is not empty do 
3. pick $A \in X$; $X := X - \{A\}$; $C := \emptyset$; 
4. for each CFD $(W \rightarrow A, t_1) \in \Gamma$ do 
5. for each CFD $(A \rightarrow B, t_2) \in \Gamma$ do 
6. if $t_1[A] \neq t_2[A]$ and $t_1[W] \equiv t_2[Z]$ is well defined 
7. then $\phi := \Gamma \cup \{t_2/(W \rightarrow A) \in \Sigma; /*$ for each $C \in \Gamma$ do 
8. if $\phi$ is not trivial then 
9. for each $C \in \Gamma$ do 
10. if $C \not = \Gamma$ then $\Gamma := \Gamma \cup \{C\}$; 
11. $\Gamma := \Gamma \cup \{C\}$; 
12. return $\Gamma$. 

Figure 3: Procedure RBR

Input: A set $\Sigma$ of CFDs in $\Sigma$ expressing $E_\Delta$. 
Output: A set $\Sigma_d$ of CFDs in $\Sigma$ expressing $E_\Delta$.

1. $\Sigma_d := \emptyset$; 
2. for each equivalence class $eq \in \Sigma$ do 
3. if $\text{key}(eq)$ is a constant then 
4. for each attribute $A \in eq$ 
5. $\Sigma_d := \Sigma_d \cup \{\text{RV}(A \rightarrow A, (\| \text{key}(eq)))\};$ 
6. if $\text{key}(eq)$ is a $\rightarrow$, then 
7. for each $A, B \in eq$ do 
8. $\Sigma_d := \Sigma_d \cup \{\text{RV}(A \rightarrow B, (x \| x))\};$ 
9. return $\Sigma_d$.

Figure 4: Algorithm Eq2CDF

enforced by $\text{EQ}$ to equivalent CFDs (lines 2-8), by Lemma 4.2. For each $eq$ in $\text{EQ}$, it leverages the constant $\text{key}(eq)$ whenever it is available (lines 4-5). When it is not available, it uses the special variable $x$ in the CFDs (lines 6-8).

Example 4.3: Consider sources $R_1(B_1, B_2), R_2(A_1, A_2, A), R_3(A', A_2, B_1, B)$, and view $V = \pi_Y(\sigma_X(R_1 \times R_2 \times R_3))$, where $Y = \{B_1, B_2, B_1, A_1, A_2, B\}$, and $F$ is $(B_1 = B_1'$ and $A = A'$ and $A_2 = A_2')$. Consider $\Sigma$ consisting of $\psi_1 = R_2(A_1, A_2, A, t_1)$ and $\psi_2 = R_3(A', A_2, B_1, B, t_2)$, for $t_1, t_2$ given in Example 4.2. Applying algorithm PropCDF_SPC to $\Sigma$ and $V$, after step 10, $\text{EQ}$ consists of $(\{B_1, B_1\}, \{B_2\}, \{A_1\}, \{A_2\}, \{B\})$, and $\Sigma_V$ consists of $\phi_1, \phi_2$ of Example 4.2. As also given there, procedure RBR returns $\phi$ of Example 4.2. Procedure Eq2CDF returns $\phi' = R_1(B_1 \rightarrow B_1', (x \| x))$, where $R$ is the view schema with attributes in $Y$. Then the cover returned by the algorithm consists of $\phi$ and $\phi'$.

Analysis. For the correctness of the algorithm, we show that for each $\phi$ in $\text{CFD}(\Sigma, V)$, $\Gamma \models \phi$, and vice versa, where $\Gamma$ is the output of the algorithm. For both directions the proof leverages the lemmas and propositions given above.

For the complexity, let $V = \pi_Y(\sigma_F(\Phi_\Delta))$. Then $|Y| \leq |E_\Delta|$ and $|F| \leq (|E_\Delta|^2 + |E_\Delta|)$. We have the following. (a) Procedure ComputeEQ takes $O(|\Sigma|^3 + |\Sigma| \times |\Sigma|)$ time. (b) Eq2CDF is in $O(|\Sigma|^3)$ time. (c) Procedure RBR has the same complexity as its counterpart in [12]: $O(|\Sigma|^{3.5} \times a^3)$, where $a$ is an upper bound for the cardinality of $\Gamma$ during the execution of RBR [12]. (d) The rest of the computation takes at most $O(|\Sigma|^{3.5} \times a^3 + |E_\Delta|^{3.5})$ time. Since $a$ is no less than $|E_\Delta| \times |\Sigma|$, RBR takes at least $O(|\Sigma|^{3.5} \times |\Sigma|^{3.5})$ time. Putting these together, clearly the cost of RBR dominates. That is, the complexity of PropCDF_SPC is the same as the bound on the algorithm of [12]. Note that both $\Sigma$ and $V$ are defined at the schema level (it has nothing to do with the instances).
We use minimal cover as an optimization technique. First, \(\Sigma\) is “simplified” by invoking \(\text{MinCover}(\Sigma)\) (line 1 of Fig. 2), removing redundant source CFDs. Second (not shown), in procedure \(\text{RBR}\), to reduce the size of intermediate \(\Gamma\) during the computation, one can change line 11 of Fig. 3 to \(\Gamma := \text{MinCover}(\Gamma \cup C')\). In our implementation, we partition \(\Gamma\) into \(\Gamma_1, \ldots, \Gamma_k\), each of a fixed size \(k_0\), and invoke \(\text{MinCover}(\Gamma_i)\). This removes redundant CFDs from \(\Gamma\), to an extent, without increasing the worst-case complexity since it takes \(O(\Gamma \times k_0^2)\) time to conduct.

As another optimization technique, one may simplify or better still, minimize input SPC views. This works, but only to an extent: the minimization problem for SPC queries is intractable (see, e.g., [1] for a detailed discussion).

5. Experimental Study

In this section, we present an experimental study of algorithm \(\text{PropCFD}_{\text{SPC}}\) for computing minimal propagation covers of CFDs via an SPC view. We investigate the effects of the number of source CFDs and the complexity of SPC views on the performance of \(\text{PropCFD}_{\text{SPC}}\). We also evaluated the impacts of these factors on the cardinality of the minimal propagation covers computed by \(\text{PropCFD}_{\text{SPC}}\).

Experimental Setting. We designed two generators to produce CFDs and SPC views, on which our experiments are based. We considered source relational schemas \(R\) consisting of at least 10 relations, each with 10 to 20 attributes.

(a) CFD generator. Given a relational schema \(R\) and two natural numbers \(m\) and \(n\), the CFD generator randomly produces a set \(\Sigma\) consisting of \(m\) source CFDs defined on \(R\), such that the average number of CFDs on each relation in \(R\) is \(n\). The generator also takes another two parameters \(\text{LHS}\) and \(\text{var}\) as input: \(\text{LHS}\) is the maximum number of attributes in each CFD generated, and \(\text{var}\) is the percentage of the attributes which are filled with ‘,’ in the pattern tuple, while the rest of the attributes draw random values from their corresponding domains. Note that \(\text{LHS}\) and \(\text{var}\) indicate how complex the CFDs are. The experiments were conducted on various \(\Sigma\)’s ranging from 200 to 2000 CFDs, with \(\text{LHS}\) from 3 to 9 and \(\text{var}\) from 40\% to 50\%.

(b) SPC view generator. Given a source schema \(R\) and three numbers \(|Y|, |F|, |E_c|\), the view generator randomly produces an SPC view \(\pi_Y(\sigma_F(E_c))\) defined on \(R\) such that the set \(Y\) consists of \(|Y|\) projection attributes, the selection condition \(F\) is a conjunction of \(|F|\) domain constraints of the form \(A = B\) and \(A = \text{’}a\text{’}\), and \(E_c\) is the Cartesian product of \(|E_c|\) relations. Here each constant \(a\) is randomly picked from a fixed range \([1,100000]\) such that the domain constraints may interact with each other. The complexity of an SPC view is determined by \(|Y|, |F|\) and \(|E_c|\). In the experiments we considered \(|Y|\) ranging from 5 to 50, \(|F|\) from 1 to 10, and \(|E_c|\) from 2 to 11.

The algorithm was implemented in Java. The experiments were run on a machine with a 3.00GHz Intel(R) Pentium(R) D processor and 1GB of memory. For each experiment, we randomly generated \(100\) sets of source CFDs (resp. SPC views) with fixed parameters, and ran the experiments \(5\) times on each of the datasets. The average is reported here.

Experimental results. We conducted two sets of experiments: one focused on the scalability of the algorithm and the cardinality of minimal propagation covers w.r.t. various source CFDs, while the other evaluated these w.r.t. the complexity of SPC views.

Varying CFDs on the Source. To evaluate the impact of source CFDs on the performance of \(\text{PropCFD}_{\text{SPC}}\), we fixed \(|Y| = 25, |F| = 10, |E_c| = 4,\) and varied the set \(\Sigma\) of source CFDs. More specifically, we considered \(\Sigma\) with \(|\Sigma|\) ranging from 200 to 2000, w.r.t. \(\text{var}\% = 40\%\) and \(\text{var}\% = 50\%\), while the number of attributes in each CFD ranged from 3 to 9 (\(\text{LHS} = 9\)). The running time and the cardinality of minimal propagation covers are reported in Fig. 5.

Figure 5(a) shows that \(\text{PropCFD}_{\text{SPC}}\) scales well with \(|\Sigma|\) and is rather efficient: it took less than 7 seconds for \(|\Sigma| = 2000\). Further, the algorithm is not very sensitive to (\(\text{var}\%\), \(\text{LHS}\)): the results for various (\(\text{var}\%, \text{LHS}\)) are quite consistent. As we will see, however, when \(Y\) also varies, the algorithm is sensitive to \(|Y|\) and (\(\text{var}\%\), \(\text{LHS}\)) taken together.

Figure 5(b) tells us that the more source CFDs are given, the larger the minimal propagation cover found by the algorithm is, as expected. It is interesting to note that the cardinality of the minimal propagation cover is even smaller than the number \(|\Sigma|\) of the source CFDs. This confirms the observation of [12]: (minimal) propagation covers are typically much smaller than an exponential of the input size, and thus there is no need to pay the price of the exponential complexity of the closure-based methods to compute covers.

Varying the complexity of SPC views. In the second set of experiments, we evaluated the performance of algorithm \(\text{PropCFD}_{\text{SPC}}\) w.r.t. each of the following parameters of SPC views \(\pi_Y(\sigma_F(E_c))\): the set \(Y\) of projection attributes, the selection condition \(F\), and the Cartesian product \(E_c\).

In each of the experiments, we considered sets \(\Sigma\) of source CFDs with \(|\Sigma| = 2000, w.r.t.\ |\Sigma| = 40\%, \text{var}\% = 50\%,\) while the number of attributes in each CFD ranged from 3 to 9.

(a) We first evaluated the scalability of \(\text{PropCFD}_{\text{SPC}}\) with \(|Y|\): fixing \(|F| = 10\) and \(|E_c| = 4\), we varied \(|Y|\) from 5 to 50. The results are reported in Fig. 6(a), which shows that the algorithm is very sensitive to \(|Y|\). On one hand, the larger \(|Y|\), the smaller \(|U - Y|\), where \(U\) is the total number of attributes in \(E_c\), which is determined by \(|E_c|\) and the arities of the relations in the source schema \(R\). Note that the outer loop of procedure \(\text{RBR}\) is dominated by \(|U - Y|\); the larger \(|U - Y|\) is, the more iterations \(\text{RBR}\) performs. On the other hand, the larger \(|Y|\) is, the more source CFDs are propagated to the view, which has bigger impact on the performance of \(\text{RBR}\). As a combination of the above two factors, the running time of \(\text{PropCFD}_{\text{SPC}}\) does not increase much when \(|Y|\) ranges from 5 to 30. However, the running time increases rather rapidly when \(|Y|\) is beyond 30. The good news is that even when \(|Y|\) is 50 (with \(|\Sigma| = 2000\)), the algorithm took no more than 80 seconds.

Further, Figure 6(a) shows that when \(|Y| < 30\), different settings of \(\text{var}\%\) do not make much difference. However, when \(|Y| \geq 30\), their impact on the performance of \(\text{PropCFD}_{\text{SPC}}\) becomes more obvious. This is because constants may block the transitivity (and thus propagation) of CFDs in procedure \(\text{RBR}\) (lines 4-8 of Fig. 3); thus more CFDs are propagated to the view when there are less complex...
When $|Y|$ is small, the impact is not obvious since only a small number of CFDs are propagated to the view. Note that Figures 6(a) and 5(a) are consistent; in the experiments for Fig. 5(a), $|Y|$ was fixed to be 25.

Figure 6(b) shows that when $|Y|$ or var% gets larger, more source CFDs are propagated to the view, as expected. Again it confirms that the minimal covers found are smaller than the source CFDs even when $|Y|$ reached 50.

(b) We next evaluated the scalability of PropCFD_SPC with the selection condition $F$ of SPCC views. We fixed $|Y|$ = 25 and $|E_c| = 4$, and varied $|F|$ from 1 to 10. The results are reported in Fig. 7. Figure 7(a) shows that when $|F|$ increases, the running time decreases. This is because $|F|$ introduces domain constraints, which interact with source CFDs, and may either make those CFDs trivial, or combine multiple CFDs into one (see line 9 of Fig. 2). As a result, the larger $|F|$ is, the smaller the set $\Sigma_Y$ is, which is passed to procedure RBR (lines 10-11 of Fig. 2). This leads to the decrease in the running time of RBR, and in turn, the decrease in the running time of PropCFD_SPC. This is rather consistent for the two settings of var%.

Figure 7(b) shows the cardinality of minimal propagation covers w.r.t. various $|F|$. The cardinality went up and then down. This is because when $|F|$ increases, to an extent (less than 4 for var% = 40% or 5 for var% = 50%, Fig. 7(b)), more domain constraints are propagated to the view. However, when $|F|$ gets larger, the interaction between domain constraints and CFDs takes a lager toll and as a result, fewer source CFDs are propagated to the view, for the reason given above. This leads to the decrease in the cardinality of the minimal covers when $|F|$ is large. Figure 7(b) also confirms that when var% gets larger, more source CFDs are propagated to the view, which is consistent with Fig. 6(b).

(c) Finally, we evaluated the impact of $E_c$ on the performance of PropCFD_SPC. Fixing $|F|$ = 10 and $|Y|$ = 25, we varied $|E_c|$ from 2 to 11. The results are reported in Fig. 8.

Figure 8(a) shows that when $|E_c|$ gets larger, the algorithm takes less time. This is because when $Y$, $F$ and $\Sigma$ are fixed, increasing $|E_c|$ leads to more CFDs to be dropped from the minimal propagation cover, for involving attributes not in $Y$. Further, when $|E_c|$ gets larger, i.e., when the total number of attributes involved gets larger, $F$ is less effective in identifying attributes in $Y$ and those not in $F$. As an example, consider two views defined on the same source: $V_1 = \pi_{AB} (r_{C=D} (R_1(ABCD)))$, and $V_2 = \pi_{AE(\sigma_{C<H}} (R_2(ABCD) \times R_2(EGHL)))$, while the set $\Sigma$ consists of source CFDs $R_1(A \rightarrow B, (\parallel \_))$ and $R_2(E \rightarrow L, (\parallel \_))$. Let $V_1, V_2$ also denote the view schema of $V_1, V_2$, respectively. Then a minimal cover of the CFDs propagated via $V_1$ consists of $V_1(A \rightarrow B, (\parallel \_))$ whereas no nontrivial CFDs are propagated to the view via $V_2$.

Figure 8(b) also shows that when $|E_c| \geq 6$, the algorithm is insensitive to $E_c$, because most of the sources CFDs are dropped, i.e., not propagated to the view (as $|\Sigma|$ is fixed).

For the same reason, when $|E_c|$ gets larger, the minimal propagation covers get smaller, as shown in Fig. 8(b). However, different from Fig. 6(b) and Fig. 7(b), the number of CFDs propagated in this case is insensitive to different settings of var%. This is because the effect of $|E_c|$ outweighs that of var% in this experiment.

Summary. We have presented several results from our experimental study of algorithm PropCFD_SPC. From the results we find the following. First, the algorithm is quite efficient; for example, it took less than 80 seconds when $|\Sigma| = 2000, |Y| = 50, |F| = 10$ and $|E_c| = 4$. Second, it scales well with the input set $\Sigma$ of source CFDs, the selection condition $F$ and the number of relations involved in Cartesian product $E_c$ in the input SPCC views. In contrast, the cost increases rapidly when the set $Y$ of projection attributes gets large. Nonetheless, as remarked above, the cost is not unbearable: when $|Y| = 50$ the algorithm still performed reasonably well. Third, we note that minimal propagation covers found by the algorithm are typically small, often smaller than the input set $\Sigma$ of source CFDs. Finally, while the algorithm is quite sensitive to $Y$, it is less sensitive to the other complexity factors $F$ and $E_c$ of SPCC views. Furthermore, the complexity factors (var%, LHS) of source CFDs do not have significant impact on the performance of the algorithm when the size of $Y$ is less than 30.

6. Related Work

To our knowledge, no previous work has considered (a) CFD propagation, (b) dependency propagation in the general setting, FDs or CFDs, and (c) methods for computing minimal propagation covers for SPCC views, even for FDs.

Closest to the study of the CFD propagation problem are [15, 16], which are the first to investigate dependency propagation. The undecidability result for FD propagation via RA views is shown in [15]. An extension of chase is given in [16], for FDs and beyond, based on which the PTIME complexity of FD propagation via SPCC views is derived by [1]. Our proofs of the results in Sections 3 further extend the chase of [16], to accommodate CFDs. As remarked earlier, [15, 16, 1] assume the absence of finite-domain attributes. This work extends [15, 16, 1] by providing complexity bounds for FD propagation in the general setting, and for CFD propagation.
As remarked in Section 4, prior work on propagation covers has focused on FDs and projection views, in the absence of finite-domain attributes [12, 23, 26]. The first algorithm for computing covers without computing closures is proposed by [12], based on the RBR method. Our algorithm of Section 4 is inspired by [12], and also uses RBR to handle the interaction between CFDs and the projection operator. This work is the first that deals with selection, Cartesian product and projection operators for computing propagation covers.

Dependency propagation has also been studied for other models [6, 13, 21]. Propagation from XML keys to relational FDs is studied in [6], and composition of views and a powerful set of constraints is investigated for object-oriented databases in [21]. The complexity bounds and techniques developed there do not apply to CFD propagation. An extension of FDs, and their interaction with schema transformation operators (e.g., folding, unfolding) are considered in [13]. Those extended FDs and transformation operators are very different from CFDs and SPC views, respectively.

There has also been a host of work on satisfaction families of dependencies, e.g., [7, 11, 14]. The focus is on the closure problem for dependencies under views. It is to decide, given a set $\Sigma$ of dependencies and a view $V$, whether the set $\Gamma$ of dependencies propagated from $\Sigma$ via $V$ characterizes the views, i.e., whether the set of databases that satisfy $\Gamma$ is precisely the set of views $V(D)$ where $D \models \Sigma$. It is shown [11] that FDs are not closed under projection views. This work provides another example for that FDs are not closed under SPCU views: as shown in Section 1, FDs may be propagated to CFDs but not to standard FDs. While CFDs are not closed under SPC views either, the analysis of CFD propagation al-

Figure 6: Varying the number of projection attributes in $Y$ in SPC views

Figure 7: Varying the selection condition $F$ in SPC views

Figure 8: Varying the number of relations in the Cartesian product $E_c$ in SPC views
allows us to preserve certain important semantics of the source data that traditional FDs fail to capture.

The notion of CFDs is proposed in [8], which also studies the implication and consistency problems for CFDs. The propagation problem is not considered in [8].

A variety of extensions of classical dependencies have been proposed, for specifying constraint databases [3, 4, 19, 20]. Constraints of [4, 19] cannot express CFDs. More expressive are constraint-generating dependencies (CGDs) of [3] and constrained tuple-generating dependencies (CTGDs) of [20], both subsuming CFDs. A CGD is of the form $\forall \bar{x} (R_1(\bar{x}) \land \ldots \land R_k(\bar{x}) \land \xi(\bar{x}) \rightarrow \xi'(\bar{x}))$, where $R_i$’s are relation atoms, and $\xi, \xi'$ are arbitrary constraints that may carry constants. A CTGD is of the form $\forall \bar{x} (R_1(\bar{x}) \land \ldots \land R_k(\bar{x}) \land \xi \rightarrow \exists y (R_1'(\bar{x}, y) \land \ldots \land R_k'(\bar{x}, y) \land \xi'(\bar{x}, y)))$, subsuming both CINDs and TGDs. The increased expressive power of CGDs and CTGDs comes at the price of a higher complexity for reasoning about these dependencies. For example, the implication problem for CGDs is already complete even when all involved attributes have an infinite domain, while in contrast, its CFD counterpart is in quadratic time. Detailed discussions about the connections between CFDs and these extensions can be found in [8]. No previous work has studied propagation analysis of these extensions via views. There has also been recent work on specifying dependencies for XML in terms of description logics [25, 24]. These dependencies also allow constants (concepts). However, the implication problem for such dependencies is undecidable, and as a result, their propagation problem is also undecidable even for views defined as identity mappings.

7. Conclusion

This work is the first effort to study CFD propagation via views. The novelty of the work consists in the following: (a) a complete picture of complexity bounds on CFD propagation, for source dependencies given as either FDs or CFDs, and for views expressed in various fragments of relational algebra; (b) the first complexity results on dependency propagation when finite-domain attributes may be present, a practical and important problem overlooked by previous work; and (c) the first algorithm for computing minimal propagation covers for CFDs via SPC views in the absence of finite-domain attributes, without incurring more complexity than its counterparts for FDs and P views. Our experimental results have verified that the algorithm is efficient. These results are not only of theoretical interest, but also useful for data exchange, integration and cleaning.

A number of issues need further investigation. First, we are currently experimenting with larger datasets and other optimization techniques. Second, when finite-domain attributes are taken into account, the propagation cover algorithm should be generalized. Third, another interesting extension of the propagation cover algorithm is by supporting union. Finally, like CFDs, an extension of inclusion dependencies with conditions, referred to as CINDs, has recently been proposed [5]. It is interesting yet challenging to study propagation of CFDs and CINDs taken together.

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8. References

APPENDIX: Proofs

Tableaux: A Brief Overview

Tableaux have proved useful in studying relational algebra expressions. Below we briefly review the notion of tableaux of [16], which allows multiple rows in the summary.

Consider a relational schema \( \mathcal{R} = (R_1, \ldots, R_m) \). A tableau \( T \) defined on \( \mathcal{R} \) is represented as \( \langle \text{Sum}, T_1, \ldots, T_m \rangle \), where for each \( i \in [1, m] \), \( T_i \) consists of a finite set of free tuples over \( R_i(A_1, \ldots, A_k) \), and \( k = \text{arity}(R_i) \). For a free tuple \( t = (a_1, \ldots, a_k) \in T_i \), \( a_j \) is either a constant or a variable for \( j \in [1, k] \). If \( a_j \) is a variable, then \( a_j \in \text{Var} \), which is an infinite set of variables. Here \( \text{Sum} \) is called the summary, which also consists of a finite set of free tuples. For a free tuple \( s = (w_1, \ldots, w_k) \in \text{Sum} \), where \( h = \text{arity}(\text{Sum}) \), and for \( j \in [1, h] \), \( w_j \) is a blank, a constant, or a variable. The variables in \( \text{Sum} \) are also called distinguished variables, and they must appear in some free tuples from \( T_i \) (\( 1 \leq i \leq m \)).

Intuitively, the tableau \( T \) represents a query, where \( T_i \) specifies a pattern, and the summary tuples represent the tuples to be included in the answer to the query, i.e., those for which the pattern specified by \( T_i \) is found in the database (see, e.g., [1] for detailed discussions about tableau queries). For a database instance \( D \) of \( \mathcal{R} \), we use \( T(D) \) to denote the answer to query \( T \) in the database \( D \).

For a tableau query \( T \) and a query \( Q \) in relational algebra, both defined on the same relational schema \( \mathcal{R} \), we say that \( T \) and \( Q \) are equivalent iff for any instance \( D \) of \( \mathcal{R} \), \( T(D) = Q(D) \).

The following is known.

**Theorem 1:** For every SPC expression \( E \), there exists a tableau \( T \) such that \( E \) is equivalent to \( T \) [16].

Note that for an SPC query, one can always find an equivalent tableau query in which the summary consists of a single row [16]. Using the translation rules of [22, 2], it is easy to get the following corollary:

**Corollary 2:** Given an SPC expression \( E \), there is a polynomial time algorithm, which transforms \( E \) into an equivalent tableau query.

Proof of Theorem 3.1

We show that in the absence of finite-domain attributes, the dependency propagation problem from FDs to CFDs is (a) in \( \text{PTIME} \) for SPCU views, and (b) undecidable for RA views.

(a) We first show that it is in \( \text{PTIME} \) for SPCU views. We develop an algorithm for checking propagation, via tableau representations of given SPCU views and view CFDs, by extending the chase technique. We show that the algorithm characterizes propagation and is in \( \text{PTIME} \).

More specifically, assume that the set of source dependencies is \( \Sigma \), the SPCU view \( V \) is \( e_t \cup \ldots \cup e_s \), where \( e_t \) is an SPC expression for each \( i \in [1, k] \), and the view CFD on \( V \) is \( \varphi \). We show that \( \Sigma \models V \varphi \) can be determined in \( \text{PTIME} \). We first show the \( \text{PTIME} \) bound for \( k = 1 \), and then extend the proof to \( k > 1 \).

(a.1) We prove that for \( k = 1 \), \( \Sigma \models V \varphi \) is in \( \text{PTIME} \), i.e., \( \Sigma \models V \varphi \) can be determined in \( \text{PTIME} \) when \( V \) is an SPC expression.

(b)
Case 3

\[ \text{we consider three cases.} \]

(1) For each constant \( v \) in \( T \), we define \( \rho_1(v) = v \).

(2) For each \( v \), we define \( \rho_2(v) = \rho_1(v) + \frac{1}{2} \).

(3) For each \( v \), we define \( \rho_2(v) = \rho_1(v) + \frac{1}{2} \).

\[ \begin{aligned}
\text{Case } 1 \quad &\text{When } B_1 = B_2, \text{ for some } 1 \leq j \leq n \text{ and } 1 \leq t, \text{ the attribute } B_t \end{aligned} \]

\[ \text{in } T \text{ always maps to } \rho. \]

\[ \text{Then } \rho_1(v) \]

\[ \text{and } \rho_2(v) \]

\[ \text{are undefined.} \]

\[ \text{Case } 2 \quad &\text{When } B_1 = B_2, \text{ for some } 1 \leq j \leq n, \text{ the attribute } B_t \]

\[ \text{in } T \text{ always maps to } \rho. \]

\[ \text{Then } \rho_1(v) \]

\[ \text{and } \rho_2(v) \]

\[ \text{are undefined.} \]

\[ \text{Case } 3 \quad &\text{For each constant } v \text{ in } T \text{ that is not covered by } \]

\[ \text{Case 1 or 2, where } 1 \leq j, \text{ the attribute } B_t \]

\[ \text{in } T \text{ always maps to } \rho. \]

\[ \text{Then } \rho_1(v) \]

\[ \text{and } \rho_2(v) \]

\[ \text{are undefined.} \]

\[ \text{Case 4} \quad &\text{For all those variables } v_{ij}, v_{ij} \text{ in } T \text{ that are not covered by } \]

\[ \text{Case 1 or 2, where } 1 \leq j, \text{ the attribute } B_t \]

\[ \text{in } T \text{ always maps to } \rho. \]

\[ \text{Then } \rho_1(v) \]

\[ \text{and } \rho_2(v) \]

\[ \text{are undefined.} \]

\[ \text{The undecidability follows from its counterpart for FDs (see [1]), since CFDs subsume FDs.} \]

\[ \text{Proof of Theorem 3.2} \]

We show that in the general setting, the dependency propagation problem from FDs to FDs is \text{coNP-complete for SC views.}
in the proof of Theorem 3.1. Note that $\Sigma \not\models V \varphi$ if and only if there exists an instantiation such that $\Sigma \not\models V \varphi$ w.r.t. the instantiation. From this it follows that this problem is in $\text{coNP}$.

The lower bound is shown by reduction from 3SAT to the complement of the propagation problem (i.e., the problem to decide whether $\Sigma \not\models V \varphi$), where 3SAT is NP-complete (cf. [10]). More specifically, consider an instance $\varphi = C_1 \land \ldots \land C_m$ of 3SAT, where all the variables in $\varphi$ are $x_1, \ldots, x_n$. $C_j$ is of the form $y_{k_1} \land y_{k_2} \land y_{k_3}$, and moreover, for $i \in [1,3]$, $y_{k_i}$ is either $x_{p_i}$ or $\overline{x_{p_i}}$, for $p_i \in [1,m]$. We shall construct a database schema $\mathcal{R} = (R_0, R_1, \ldots, R_n)$, a set $\Sigma$ of FDSs on $\mathcal{R}$, a view $V$ defined by an SC expression, and a FD $\varphi$ on $V$. Then we show that $\varphi$ is satisfiable if and only if $\Sigma \not\models V \varphi$.

Let the schema of $R_0$ be $\{X, A, Z\}$, where $\text{Dom}(X) = \{1, \ldots, m, \ldots\}$ (e.g., int), $\text{Dom}(A) = \text{Dom}(Z) = \{0, 1\}$, i.e., and $A$ and $Z$ are finite domain attributes. Moreover, an FD $\varphi_0 = R_0[X \rightarrow A]$ is defined on $R_0$. Intuitively, for each tuple $t \in R_0$, $t[X], t[A]$ and $t[Z]$ encode a variable, its corresponding truth assignment, and the truth value of $\varphi$, respectively. The FD $\varphi_0$ guarantees that each variable has a unique truth assignment.

Let the schema of $R_i$ be $(A_1, A_2, X_i', A_i')$ for $i \in [1,n]$, where $\text{Dom}(A_1) = \text{Dom}(A_2) = \text{Dom}(A_i') = \{0, 1\}$, i.e., and $A_1, A_2$ and $A_i'$ are finite domain attributes. Moreover, we define two FDSs $\varphi_{i_1} = R_i(A_1, A_2 \rightarrow X_i', A_i')$, and $\varphi_{i_2} = R_i(X_i' \rightarrow A_i')$ on $R_i$. Intuitively, for each tuple $t \in R_i$, $t[X_i'], t[A_i']$ is to encode a variable and its corresponding truth assignment that make the clause $C_i$ true. Here $A_1$ and $A_2$ serve as a “counter” to assure that there are three variables that could satisfy $C_i$ (note that while $A_1$ and $A_2$ encode numbers from 0 to 3, one of these is redundant as $C_i$ is defined in terms of 3 literals). The FD $\varphi_{i_1}$ assures that $A_1$ and $A_2$ are used as a “key” such that only variables in $C_i$ and their appropriate truth assignments are considered. Again, the FD $\varphi_{i_2}$ assures that each variable has a unique truth assignment.

Let the set $\Sigma$ of source FDSs be $\{\varphi_0, \varphi_{1,1}, \varphi_{1,2}, \ldots, \varphi_{n,1}, \varphi_{n,2}\}$.

We next define the SC expression $V$ as $e \in e_0 \times e_0 \times e_1 \times \ldots \times e_n$, where

- $e \in R_0$,
- $e_{i_0} = \sigma_{X_{j_1}}(R_0) \times \ldots \times \sigma_{X_{j_m}}(R_0)$,
- $e_{i_2} = R_0=X_{r_1} \land X_{r_2} \land \ldots \land X_{r_s}$,
- $e_{i_3} = \sigma_{R_1}(R_0 \times R_1) \times \ldots \times \sigma_{R_2}(R_0 \times R_2) \times \ldots \times \sigma_{R_n}(R_0 \times R_n)$,
- and for $j \in [1, n]$, $e_j = \sigma_{X_{j_1} \land A_{i_1} = 0 \land A_{i_2} = 0}(R_j) \times \sigma_{X_{j_1} \land A_{i_1} = 0 \land A_{i_2} = 1}(R_j) \times \sigma_{X_{j_1} \land A_{i_1} = 1 \land A_{i_2} = 0}(R_j) \times \sigma_{X_{j_1} \land A_{i_1} = 1 \land A_{i_2} = 1}(R_j)$.

Intuitively, (a) the subexpression $e_{i_0}$ assures that $R_0$ contains a truth assignment for all variables of $\varphi$, i.e., $x_1, \ldots, x_m$ appear in $e_{i_0}$; (b) $e_{i_2}$ is to assure that the truth assignments of variables in $R_0$ and the truth assignments of variables in $R_i$ are consistent, for $j \in [1, n]$; and (c) for each $j \in [1, n]$, $e_j$ encodes the clause $C_j$; it enumerates all the truth assignments that make $C_j$ true (note that when $A_1 = 1$ and $A_2 = 1$, the truth assignment is redundant: it repeats the assignment when $A_1 = 0$ and $A_2 = 0$).

Based on the definition of $V$ and $\Sigma$, it is easy to verify the following: for any instance $I \cup \{R_0, R_1, \ldots, R_n\}$, if $V(I) \neq \emptyset$ then the instance of $R_0$ in $I$ contains a truth assignment that makes $\varphi$ true.

Finally, we define $\varphi$ to be $V(X, A \rightarrow Z)$, where attributes $X, A, Z$ come from the subexpression $e$.

Now we verify that $\varphi$ is satisfiable if and only if the view $F \varphi$ is not propagated from the source FDSs $\Sigma$ via $V$.

Suppose that $\Sigma \not\models V \varphi$. Then there exists an instance $I$ such that $I \models \Sigma$, while $V(I) \neq \emptyset$. Since $V(I) \neq \emptyset$, we have that $V(I)$ is not empty. As remarked earlier, the instance of $R_0$ in $I$ contains a truth assignment that makes $\varphi$ true. Thus $\varphi$ is satisfiable.

Conversely, if $\varphi$ is satisfiable, then there is a truth assignment $\xi$ that makes $\varphi$ true. We construct an instance $I$ of $(R_0, R_1, \ldots, R_n)$ such that $I \models \Sigma$ but $V(I) \neq \emptyset$. Let the instance of $R_0$ in $I$ consist of $2m$ tuples $(t_1, \ldots, t_{2m})$. For each $i \in [1, m]$, let (a) $t_i[X] = i$, $t_i[A] = \xi(x_i)$ and $t_i[Z] = 0$; and (b) $t_{m+i}[X] = i$, $t_{m+i}[A] = \xi(x_i)$ and $t_{m+i}[Z] = 1$. That is, $t_i$ and $t_{m+i}$ agree on their $X$ and $A$ attributes, i.e., they encode the same truth assignment for $x_i$; however, they differ in their $Z$ attributes. For $j \in [1, n]$, let the instance of $R_j$ in $I$ contain exactly four tuples as specified by $e_j$, which are all true assignments that make $C_j$ true only. It is easy to verify that $I \models \Sigma$ and $V(I) \neq \emptyset$. From this it follows that $\varphi$ is not propagated from $\Sigma$ via $V$.

Therefore, the problem is $\text{coNP}$-complete.

**Proof of Theorem 3.3**

We show that in the general setting, the dependency propagation problem from FDSs to CFDs is

- (a) in $\text{PTIME}$ for PC views,
- (b) in $\text{PTIME}$ for SP views,
- (c) $\text{coNP}$-complete for SC views,
- (d) $\text{coNP}$-complete for SPBC views,
- (e) undecidable for RA views.

We develop a $\text{PTIME}$ algorithm that, given source FDSs $\Sigma$, a view CFD $\varphi$, and an PC view $V$, checks whether $\Sigma \models V \varphi$ or not. In fact the $\text{PTIME}$ algorithm given in the proof of Theorem 3.1 suffices here. To see this, assume that $V$ is $\pi_{R_1, \ldots, R_n}(R_1 \times \ldots \times R_n)$, and that $\varphi$ is $V(B_1, \ldots, B_m \rightarrow B, i_1)$, where $B_i, \forall B_i \in \{B_1, \ldots, B_m\}$ for $i \in [1, g]$. It is easy to verify that if $\Sigma \models V \varphi$, all of $B_1, \ldots, B_m$ and $B$ must be attributes of the same relation $R_i$ ($1 \leq i \leq n$). Therefore, without loss of generality, we assume that there is only one relation $R_i$ involved in the PC view $V$. We construct an instance $I$ as in the proof of Theorem 3.1. In this case, $I$ only need to contain two tuples of $R$. As a result, the instantiations of finite domain variables are not necessary because each domain has at least two elements: we can simply construct the two tuples with distinct values whenever necessary, regardless of what values they are. Then the algorithm given in the proof of Theorem 3.1 suffices to determine whether or not $\Sigma \models V \varphi$.

We first show the dependency propagation problem from FDSs to CFDs is in $\text{coNP}$ for SPBC views. Then we show the dependency propagation problem from FDSs to CFDs is
in $\text{coNP}$-hard for SC views.

The $\text{coNP}$ upper bound is verified by giving an NP algorithm for deciding $\Sigma \not\models \varphi$ for any given source FDs $\Sigma$, view CFD $\varphi$ and SPCU view $V$. The proof is similar to that of Theorem 3.2. The only difference here is that we represent the CFD as a tableau, instead of an FD. Again we have deal with variables associated with finite domain attributes, such that all those variables are instantiated with constants from their corresponding finite domains. There are exponentially many such instantiations that need to be checked. To cope with this, we first guess an instantiation, and then check, w.r.t. this instantiation, whether or not $\Sigma \not\models \varphi$. The latter can be done in polynomial time by using the PTIME algorithm given in the proof of Theorem 3.1. Note that $\Sigma \not\models \varphi$ if and only if there exists an instantiation such that $\Sigma \not\models \varphi$ w.r.t. the instantiation. From this it follows that this problem is in $\text{coNP}$.

The $\text{coNP}$ lower bound follows from the proof of Theorem 3.2 for SC views, since CFDs subsume FDs.

(e) The undecidability follows from Theorem 3.1, since the general setting subsumes the infinite-domain setting.

$\square$

**Proof of Theorem 3.5**

We next show that in the absence of finite-domain attributes, the dependency propagation problem from CFDs to CFDs is

(a) in PTIME for SPCU views, and

(b) undecidable for RA views.

(a) The PTIME bound is again verified by developing a polynomial time checking algorithm. The algorithm is similar to that given in the proof of Theorem 3.1. The only difference here is that a minor extension of the chase rules is used here to cope with CFDs instead of FDs.

(b) The undecidability follows from Theorem 3.1, since FDs are a special case of CFDs.

$\square$

**Proof of Corollary 3.6**

We show that in general setting, the dependency propagation problem from CFDs to CFDs is

- $\text{coNP}$-complete for views expressed as S, P or C queries; and
- $\text{coNP}$-complete for SPCU views; and
- undecidable for RA views.

(a, b) We first show that the propagation problem is $\text{coNP}$-hard for views expressed as S, P, or C queries. Then we show the propagation problem for SPCU views is in $\text{coNP}$.

We show the lower bound by reduction from the implication problem for CFDs. The implication problem for CFDs is to determine, given a set $\Sigma$ of CFDs and a single CFD $\varphi$ defined on a relation schema $R$, whether or not $\Sigma$ entails $\varphi$, denoted by $\Sigma \models \varphi$, i.e., whether or not for all instances $I$ of $R$, if $I \vDash \Sigma$ then $I \vDash \varphi$. It is known that in the presence of finite-domain attributes, CFD implication is already $\text{coNP}$-complete [8]. Observe that the implication problem for CFDs is a special case of the dependency propagation problem by limiting the views to the identity mappings, which are expressible as S, P, C or SPCU queries. From these it follows that the propagation problem is $\text{coNP}$-hard for views expressed as S, P or C queries.

The $\text{coNP}$ upper bound is verified along the same lines as the proof of Theorem 3.3 for SPCU views. The only difference here is that we need to extend chase to deal with source CFDs instead of source FDs.

(c) The undecidability follows from Theorem 3.3, since FDs are a special case of CFDs.

$\square$

**Proof of Theorem 3.7**

We show that in the general setting, the emptiness problem is $\text{coNP}$-complete for CFDs and SPCU views.

To do this, we first show that the emptiness problem for CFDs and SPCU views is $\text{coNP}$-hard. We then show that this problem is in $\text{coNP}$.

The lower bound is verified by reduction from the satisfiability problem for CFDs to the complement of the emptiness problem. The satisfiability problem for CFDs is to determine, given a set $\Sigma$ of CFDs defined on a relation schema $R$, whether or not there exists a nonempty instance $I$ of $R$ such that $I \vDash \Sigma$. It is known that the satisfiability problem for CFDs is $\text{NP}$-complete [8]. Obviously the satisfiability problem is a special case of the complement of the emptiness problem (i.e., the non-emptiness problem), where views are defined as the identity mapping. Putting these together, we have that the emptiness problem is $\text{coNP}$-hard.

The upper bound is verified by providing an NP algorithm to check the non-emptiness based on an extended chase process. More specifically, assume that the SPCU expression $V$ is $c_1 \cup \ldots \cup c_k$, where for each $i \in [1, k], c_i$ is an SPC expression. Note that if $V$ is not empty, then there must exist at least one $c_i$ ($1 \leq i \leq k$) such that $c_i$ is not empty.

To present the NP algorithm, we first give a tableau representation $T_e$ for each SPC view $e$. We then extend the chase technique to handle $T_e$. Finally, we show that the chase process is actually an NP algorithm for checking the non-emptiness.

The construction of tableau $T_e$, follows the same way as that of $T_V$ given in the proof of Theorem 3.1. Without loss of generality, we assume that there is a total order on the variables in $T_e$. Variables in $T_e$ that are associated with finite domain attributes are instantiated with constants from their corresponding finite domains. There are exponentially many such instantiations. To cope with this, we first guess an instantiation; then check, w.r.t. this instantiation, whether or not $V$ yields a non-empty instance on some source database. To show that the algorithm is in NP, it suffices to show that the checking step can be done in PTIME, for each instantiation.

For each instantiation of tableau $T_e$, the following Chase process is performed to apply each CFD $\varphi = R(X \rightarrow A, t_p)$ in $\Sigma$. We next extend the chase rules for CFDs. There are two cases to consider, depending on $t_p[A]$.

**Case 1.** The pattern value $t_p[A]$ is an unnamed variable ‘$A$’. In this case, for any free $R$ tuples $t$, $t' \in T_e$, if $t[X] = t'[X]$, $t[X] \models t_p[X]$ but $t[A] \not= t'[A]$, we do the following. (a) If both $t[A]$ and $t'[A]$ are variables, then we let $t[A] = t'[A]$ if $t'[A] \leq t[A]$, or $t'[A] = t[A]$ if $t[A] \leq t'[A]$. (b) If $t[A]$ is a constant and $t'[A]$ is a variable, then let $t'[A] = t[A]$. (c) If $t[A]$ is a variable and $t'[A]$ is a constant, then let $t[A] = t'[A]$. (d) If both $t[A]$ and $t'[A]$ are (distinct) constants, then we say that the Chase process is undefined.

**Case 2.** The pattern value $t_p[A]$ is a constant. In this case, for any free $R$ tuple $t$ of $T_e$, if $t[X] = t_p[X]$ and $t[A] \not= t'_p[A]$, we do the following. (a) If $t[A]$ is a variable, then we let
(b) If \(t[A]\) is a (distinct) constant, then we say that the Chase process is *undefined*.

Here by \(t[A] = t'[A]\) (resp. \(t'[A] = t[A], t[A] = t_p[A]\)) we mean replacing each appearance of \(t[A]\) (resp. \(t'[A], t[A]\)) in \(T_e\) with \(t'[A]\) (resp. \(t[A], t_p[A]\)).

This process repeats for all source CFDs in \(\Sigma\) until either no further changes can be incurred to \(T_e\), or the Chase process becomes *undefined* for some CFD. It is easy to see that one of these cases must happen.

We now show that the Chase process actually yields an algorithm for checking the non-emptiness. If the Chase process terminates because no changes can be made to \(T_e\), we can easily construct a source instance \(I\) such that \(I \models \Sigma\) and \(V(I)\) is non-empty. Indeed, the instance \(I\) can be constructed by instantiating variables in the final chasing result of \(T_e\) with pairwise different constants. If the Chase process terminates because it becomes *undefined* for some CFD, then the view must be empty w.r.t. the instantiation. From these it follows that \(e_i\) is non-empty if and only if there exists an instantiation such that the Chase process terminates because of no changes.

Finally we verify that the Chase process above is in \(NP\). It suffices to show that for each instantiation, the Chase process for \(T_e\) terminates in \(PTIME\). Without loss of generality, we assume that \(T_e\) is the same as \(T_V\) shown in Fig. 9. There are at most \((m_h + (p_h + 1) \cdot n_h)\) variables in \(T_e\). Let \(|T_e| = (m_h + (p_h + 1) \cdot n_h)\), then there are at most \(O(|T_e|^2)\) value assignments, and each can be done in \(O(|\Sigma| |T_e|^2)\) time. Thus the Chase process must terminate in \(O(|\Sigma| |T_e|^4)\) time.

Putting these together, we have that the emptiness problem is co\(NP\)-complete for CFDs and SPCU views.

**Proof of Theorem 3.8**

We show that without finite-domain attributes, the emptiness problem is in \(PTIME\) for CFDs and SPCU views.

In the absence of finite-domain attributes, one can readily turn the \(NP\) algorithm given in the proof of Theorem 3.7 into a \(PTIME\) one, since instantiation of finite-domain attributes, which would require a nondeterministic guess, is no longer needed here.