Beyond Gist: Strategic and Incremental Information Accumulation for Scene Categorization

George L. Malcolm1, Antje Nuthmann2, and Philippe G. Schyns3
1The George Washington University, 2University of Edinburgh, and 3University of Glasgow

Abstract
Research on scene categorization generally concentrates on gist processing, particularly the speed and minimal features with which the “story” of a scene can be extracted. However, this focus has led to a paucity of research into how scenes are categorized at specific hierarchical levels (e.g., a scene could be a road or more specifically a highway); consequently, research has disregarded a potential diagnostically driven feedback process. We presented participants with scenes that were low-pass filtered so only their gist was revealed, while a gaze-contingent window provided the fovea with full-resolution details. By recording where in a scene participants fixated prior to making a basic- or subordinate-level judgment, we identified the scene information accrued when participants made either categorization. We observed a feedback process, dependent on categorization level, that systematically accrues sufficient and detailed diagnostic information from the same scene. Our results demonstrate that during scene processing, a diagnostically driven bidirectional interplay between top-down and bottom-up information facilitates relevant category processing.

Keywords
object recognition, eye movements

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In order to interact with the world, people must quickly extract the semantic meaning of their environment to effectively guide their subsequent behaviors. Within around 100 ms of the onset of a scene, its “story,” or gist, can be gleaned (Potter, 1975, 1976). These incredible scene-processing speeds have often led researchers to treat categorization as a perceptually driven process with semantic meaning passively emerging in a set hierarchy as critical properties become available to the visual system (Fei-Fei, Iyer, Koch, & Perona, 2007; Greene & Oliva, 2009a, 2009b; Joubert, Rousselet, Fize, & Fabre-Thorpe, 2007; Loschky & Larson, 2010; Oliva & Torralba, 2001, 2006; Schyns & Oliva, 1994). We contend that these perceptually driven accounts of gist have led the focus of scene-categorization research away from an important determinant of visual information: the categorization task itself (Oliva & Schyns, 1997; Rotshtein, Schofield, Funes, & Humphreys, 2010; Schyns, 1998).

Depending on a viewer’s needs, a scene on a campus could be hierarchically categorized at the superordinate (e.g., indoor), basic (library), or subordinate (university library) levels (Gosselin & Schyns, 2001; Rosch, 1978;
Rosch, Mervis, Gray, Johnson, & Boyes-Braem, 1976; Schyns, 1998; Tversky & Hemenway, 1983). The information accrued over time from the input scene could be constrained by these different categorization tasks, which suggests a bidirectional interplay between visual information in the input and information requirements in scene memory (Schyns, 1998).

The present study investigated whether there is evidence for this bidirectional interplay. We presented low-pass filtered scenes allowing just enough information for gist recognition. In addition, a gaze-contingent moving window (see McConkie & Rayner, 1975) enabled observers to freely supplement gist information with foveated full-resolution details. By recording fixation locations when basic and subordinate categorizations were performed, we identified not only the image coordinates of each fixation, but also modeled the specific full-resolution details and objects facilitating each judgment. If low-resolution image statistics (i.e., low spatial frequencies, or LSFs) suffice for gist processing, then full-resolution fixations are superfluous and should be randomly distributed over the input scene. Conversely, if access to the categorization hierarchy requires additional information, then observers will generate systematic fixations onto full-resolution diagnostic objects. Such evidence would go beyond gist by demonstrating the critical (and often neglected) feedback loop existing between the different information requirements of hierarchical scene categorizations and the incremental accrual of categorization-specific information from the same scene.

**Method**

**Participants**

Twenty-eight participants from the University of Edinburgh took part in the experiment. All reported normal or corrected-to-normal vision and gave informed consent before participating.

**Apparatus**

Stimuli were presented on a 21-in. ViewSonic (London, England) G225f CRT monitor (refresh rate = 140 Hz) positioned 90 cm from participants (25.18° × 19.01°). Participants sat with their head in a chin rest and made responses using a button box. We recorded eye movements using an SR Research (Mississauga, Ontario, Canada) EyeLink binocular desktop-mount system, equipped with a 2000-Hz camera upgrade, which allowed for binocular recordings at a sampling rate of 1000 Hz for each eye. Participants viewed stimuli binocularly, with the position of the gaze-contingent moving window determined by taking the mean of the two eye positions.

**Stimuli and design**

We selected 32 scenes from Google Images and converted them to gray scale (800 × 600 pixel resolution). Each scene belonged to one of four basic-level categories (restaurant, classroom, road, and pool), and within each basic category, each scene belonged to one of four subordinate categories (restaurant: diner, cafeteria, fine-dining establishment, pub; classroom: computer lab, lecture hall, preschool, elementary school; road: motorway, cul-de-sac, city street, roundabout; pool: Olympic pool, above-ground pool, Jacuzzi, water park). Thus, each scene could be categorized at two levels of specificity (Fig. 1).

We low-pass filtered scenes (i.e., removed high-spatial-frequency, or HSF, details) to leave only information below 25 × 18.75 cycles per image (0.83 × 0.62 cycles per degree of visual angle). A pilot test found that with this filtering, participants made basic and subordinate category judgments with 78% and 23% accuracy, respectively, during a four-alternative forced-choice (4AFC) task. In addition to showing participants low-pass-filtered images, we provided them with the ability to obtain additional visual details to inform their category decision: A gaze-contingent moving window, 2.5° in diameter, provided full-resolution information from the scene to foveal vision. Updating the display required 1 ms to receive gaze-position information from the eye tracker, less than 1 ms to draw the image textures, and up to 7 ms to refresh the screen. We smoothed the perimeter of the window with a Gaussian low-pass filter to avoid possible perceptual problems resulting from sharp-boundary windows (Fig. 2). The gaze-contingent window afforded viewers the ability to flesh out aspects of the scene with extra HSF details to facilitate category judgments, which would expose the feedback process.

**Procedure**

We assigned participants evenly to the basic and subordinate conditions.

**Basic condition.** In the basic condition, we first showed participants unfiltered examples from each of the four basic scene categories (none of these examples appeared in the experiment). When participants indicated that they understood the category labels, they then proceeded to the gaze-contingent experiment. The experiment started with four filler trials, with one scene from each basic category, followed by the 32 test scenes presented in random order. In each trial, the gaze-contingent window did not appear until after the first fixation, so if the information contained in the low-pass-filtered image was enough, then no eye movements would be
necessary. Participants viewed each scene until they were ready to make a category response, which they did by pressing a button. The scene then disappeared, and participants chose which category the scene belonged to using a separate set of buttons. Trials timed out after 15 s if the button was not pressed.

**Subordinate condition.** The paradigm in the subordinate condition was the same as in the basic condition, with the following exceptions. We divided test scenes into blocks by their basic-level category. Each block now started with an unfiltered example from each subordinate category. Once participants indicated that they understood

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**Fig. 1.** Examples of scenes from each category. Each scene could be categorized into one of four groups, both at the basic level (shown in bold-face) and the subordinate level (shown in regular type). Stimuli consisted of 32 scenes, half of which are shown here.
the category labels, the block of trials began with four filler trials, one from each subordinate category within the basic group, followed by the eight test scenes in random order. Blocks appeared in random order.

**Results**

We excluded incorrect trials in which the wrong category was selected (16 and 27 from the basic and subordinate conditions, respectively) and trials that timed out after 15 s (2 from the subordinate group). The remaining 432 and 419 trials eligible for analysis comprised 96.4% and 93.5% of the basic and subordinate trials, respectively. Analyses also took into account which basic-level category (henceforth called stimuli type) the scene belonged to, thereby allowing for a 2 × 4 mixed-design analysis of variance (ANOVA) with judgment (basic vs. subordinate) as a between-subjects factor and stimuli type (classroom, pool, restaurant, road) as a within-subjects factor. Whenever assumption of sphericity was violated, we used a Greenhouse-Geisser correction.

We found a main effect of judgment on response time (RT); processing was faster in the basic condition ($M = 2,305$ ms) than in the subordinate condition ($M = 3,253$ ms), $F(1, 26) = 9.277$, $p = .005$, $\eta^2_p = .263$. There was also a main effect of stimuli type, $F(3, 78) = 12.910$, $p < .001$, $\eta^2_p = .332$ ($Ms = 2,832, 2,709, 3,419$, and 2,157 ms for classrooms, pools, restaurants, and roads, respectively), but no interaction between the two factors, $F(3, 78) = 1.805$, $p = .153$, $\eta^2_p = .065$ (Table 1).

The RT difference suggests that participants’ ability to categorize a scene depends on the information needed for each level of specificity. However, it is not clear what participants were doing during this time: If participants in the subordinate condition used the extra time to accrue HSF information to facilitate their judgment, they should have made more eye movements than participants in the basic condition. Conversely, if participants found all their needed information in the low-pass-filtered image, and the increased RT was simply due to a longer decision-making process, then there should be a similar number of fixations across categorization conditions.

**Table 1.** Results From the Gaze-Contingent Experiment: Means for Key Variables for Each of the Four Scene Categories in Each Condition

<table>
<thead>
<tr>
<th>Measure</th>
<th>Classroom Basic condition</th>
<th>Classroom Subordinate condition</th>
<th>Pool Basic condition</th>
<th>Pool Subordinate condition</th>
<th>Restaurant Basic condition</th>
<th>Restaurant Subordinate condition</th>
<th>Road Basic condition</th>
<th>Road Subordinate condition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Response time (ms)</td>
<td>2,402 (252)</td>
<td>3,262 (352)</td>
<td>2,428 (269)</td>
<td>2,990 (245)</td>
<td>2,676 (212)</td>
<td>4,162 (366)</td>
<td>1,715 (170)</td>
<td>2,598 (331)</td>
</tr>
<tr>
<td>Number of fixations</td>
<td>5.74 (0.72)</td>
<td>9.77 (1.12)</td>
<td>5.64 (0.60)</td>
<td>8.82 (0.93)</td>
<td>6.54 (0.64)</td>
<td>12.17 (1.27)</td>
<td>3.66 (0.32)</td>
<td>8.11 (1.27)</td>
</tr>
<tr>
<td>Initial saccade latency (ms)</td>
<td>419 (26.61)</td>
<td>371 (22.97)</td>
<td>418 (32.26)</td>
<td>327 (16.98)</td>
<td>437 (37.34)</td>
<td>357 (19.09)</td>
<td>411 (25.33)</td>
<td>351 (17.61)</td>
</tr>
<tr>
<td>Fixation duration (ms)</td>
<td>369 (21.14)</td>
<td>290 (18.93)</td>
<td>383 (24.07)</td>
<td>325 (27.00)</td>
<td>377 (22.80)</td>
<td>306 (19.40)</td>
<td>394 (23.17)</td>
<td>277 (15.47)</td>
</tr>
<tr>
<td>Saccade amplitude (degrees)</td>
<td>2.55 (0.25)</td>
<td>2.96 (0.24)</td>
<td>2.14 (0.17)</td>
<td>2.47 (0.24)</td>
<td>2.70 (0.24)</td>
<td>2.73 (0.26)</td>
<td>2.50 (0.28)</td>
<td>2.52 (0.25)</td>
</tr>
</tbody>
</table>

Note: Standard errors are given in parentheses. Number of fixations did not include the initial fixation at scene onset. Fixation durations did not include the initial saccade latency.
We found a main effect of judgment on fixation count, with more fixations made during subordinate categorizations ($M = 9.72$) than during basic categorizations ($M = 5.40$), $F(1, 26) = 17.906, p < .001, \eta_p^2 = .408$. There was also a main effect of stimuli type, $F(3, 78) = 9.558, p < .001, \eta_p^2 = .269$ ($Ms = 7.76, 7.23, 9.36,$ and 5.89 for classrooms, pools, restaurants, and roads, respectively), but no interaction, $F(3, 78) = 1.201, p = .315, \eta_p^2 = .044$ (Table 1).

Thus, participants did indeed generate eye movements to supplement visible LSF information with HSF details. Such details were acquired even during basic-level categorization, which demonstrates that viewers use HSF information when available. Additionally, participants in the subordinate condition, compared with those in the basic condition, needed more time and fixations—this suggests that performing subordinate-level categorizations is a separate, slower information-accrual process than performing basic-level categorizations.

**Eye movement strategies**

These results suggest that scene categorization demands different information depending on the required level of specificity. In order to further probe the changing nature of information accrual across hierarchical levels, we examined respective eye movement strategies. Both basic and subordinate categorization required HSF information in addition to LSF information, but if the respective sampling processes differed, then eye movement strategies should have differed as well.

We first examined initial saccade latency: the time between scene onset and the initiation of the first saccade. There was a main effect of judgment, $F(1, 26) = 5.720, p = .024, \eta_p^2 = .180$, with basic categorizations having longer saccade latencies ($M = 421$ ms) than subordinate categorizations ($M = 352$ ms), but no effect of stimuli type or interaction with judgment ($Fs < 1; \text{Table 1}$). Thus, even within the first approximately 350 ms of scene onset, when the exact same visual image was available (the full-resolution window did not appear until after the onset, when the exact same visual image was available even within the first approximately 350 ms of scene onset), participants carried out different sampling strategies over the first five fixations. We found fixations distributed in a center-surround pattern for basic and subordinate judgments, respectively (Fig. 3).

A three-way mixed-design $2 \times 2 \times 4$ (judgment: basic, subordinate) $\times$ 4 (stimuli type: classroom, pool, restaurant, road) $\times$ 5 (ordinal fixation: 1, 2, 3, 4, 5) ANOVA on fixation distance from screen center revealed a critical interaction between judgment and ordinal fixation, $F(4, 88) = 3.661, p = .008, \eta_p^2 = .143$; this interaction indicates that participants carried out different sampling strategies over the first five fixations depending on the judgment they were assigned to make (Fig. 4).

Participants directed fixations further into the periphery of the scene during their first five fixations in the subordinate condition than in the basic condition, which suggests a wider search for diagnostic object information. For the sake of completeness, there was no main effect of judgment, $F(1, 22) = 2.163, p = .156, \eta_p^2 = .090$, though there was an effect of stimuli type, $F(3, 66) = 4.342, p = .007, \eta_p^2 = .165$ ($Ms = 3.88^\circ, 3.47^\circ, 3.87^\circ,$ and $3.52^\circ$ for classrooms, pools, restaurants, and roads, respectively) as well as of ordinal fixation, $F(2, 286, 50.288) = 42.240, p < .001, \eta_p^2 = .658$ ($Ms = 2.55^\circ, 3.28^\circ, 3.86^\circ, 4.26^\circ,$ and $4.48^\circ$ from the center for Fixations 1 through 5, respectively). There was no interaction between stimuli type and ordinal count ($F < 1$), no interaction between judgment and
stimuli type, $R(3, 66) = 2.012, p = .121, \eta^2_p = .084$, and no three-way interaction ($F < 1$).

### Diagnostic objects

To ascertain which objects were diagnostic during categorization at each level, we calculated the mean proportion of fixations on each object in both conditions. All objects that fell within the gaze-contingent window during a fixation were considered fixated. To determine statistical validity, we compared the mean proportion of fixations per object and condition against the overall fixation proportion in a bootstrap analysis using 14,000 repetitions.

In both the basic and subordinate conditions, each scene contained significantly fixated objects ($ps < .05$), which indicates that participants always accrued specific object information to complement the LSF gist. Additionally, as every scene contained at least one object—and usually many more—that was diagnostic for only one level, it is clear that participants strategically directed gaze to find and extract hierarchy-specific diagnostic information (Fig. 5).

### Validation of diagnostic objects

To verify that these significant objects were diagnostic, we asked 36 new participants to categorize scenes at either the basic or subordinate level using the same paradigm as in the gaze-contingent experiment, except that scenes were now masked after 150 ms, and eye movements were not recorded. During presentation, each scene was low-pass filtered except for the objects previously identified as diagnostic at either the basic or
subordinate level, which were shown without filtering (Fig. 6). The two types of diagnostic information (basic vs. subordinate) for each scene were distributed evenly across participants in both categorization groups, creating a 2 × 2 mixed design (because there were only eight scenes per basic category and we were measuring accuracy, stimuli type was collapsed). We predicted that accuracy should be high when making a categorization with diagnostic information at the same level (i.e., subordinate objects with a subordinate judgment and basic objects with a basic judgment). We further predicted that because comparatively fewer objects distinguish one subordinate category from another than distinguish one basic category from another (Rosch et al., 1976), swapping the diagnostic objects (i.e., presenting basic objects for a subordinate judgment and vice versa) should have a minimal effect on basic-level categorization but a larger effect on subordinate judgments.

We next calculated the mean proportion of hits in both conditions. Analyses revealed a main effect of judgment on accuracy, \( F(1, 34) = 9.339, p = .004, \eta_p^2 = .215 \) (basic: \( M = .79 \); subordinate: \( M = .71 \)) and a main effect
of diagnostic information, $F(1, 34) = 15.871, p < .001, \eta^2_p = .318$ (basic: $M = .71$; subordinate: $M = .79$). There was also a trend for an interaction, $F(1, 34) = 3.456, p = .072, \eta^2_p = .092$ (Fig. 6), which was due to a significant change in subordinate categorization accuracy when basic or subordinate diagnostic objects were visible ($Ms = .65$ and .76, respectively), $t(17) = 4.134, p = .001$, but no significant difference during basic categorization (basic diagnostic objects: $M = .77$ and subordinate diagnostic objects: $M = .81$), $t(17) = 1.506, p = .151$. The results confirm that the objects fixated during the gaze-contingent experiment were indeed diagnostic for the respective categorization processes.

**Behavior verification**

To investigate whether categorizing normal, full-resolution scenes involves similar sampling strategies, we asked 28 new participants to categorize full-resolution images with no gaze-contingent window. Half were assigned to make basic judgments, and half were assigned to make subordinate judgments. During these normal viewing conditions, there was a strong trend of judgment on RT, $F(1, 26) = 3.568, p = .070, \eta^2_p = .121$, with basic categorization needing less time (1,062 ms) than subordinate categorization (1,272 ms). There was a main effect of stimuli type, $F(2.084, 54.188) = 7.080, p = .002, \eta^2_p = .214$ ($Ms = 1,269,$...
1,128, 1,273, and 998 ms for classrooms, pools, restaurants, and roads, respectively) as well as a trend for an interaction, $F(3, 78) = 2.596, p = .058, \eta^2_p = .091$, which was due to restaurants taking significantly longer to categorize at the subordinate level ($M = 1,471$ ms) than at the basic level ($M = 1,075$ ms), $t(26) = 3.00, p = .006$. Similar numerical patterns were obtained for classrooms (basic: $M = 1,204$ ms; subordinate: $M = 1,353$ ms), pools (basic: $M = 989$ ms; subordinate: $M = 1,266$ ms), and roads (basic: $M = 979$ ms; subordinate: $M = 1,016$ ms), but they were not significant ($t_s < 1.629, p_s > .115$).

Fixation counts showed a similar pattern of results, with basic categorization requiring fewer fixations ($M = 2.95$) than subordinate categorization ($M = 4.01$), $F(1, 26) = 5.391, p = .028, \eta^2_p = .172$. There was also a main effect of stimuli type, $F(1, 909, 49.641) = 8.239, p = .001, \eta^2_p = .241$ ($Ms = 3.96, 3.21, 3.85$, and $2.89$ for classrooms, pools, restaurants, and roads, respectively), and a trend for an interaction, $F(3, 78) = 2.637, p = .055, \eta^2_p = .092$, which was due to significantly more fixations during subordinate than during basic decisions for pools (basic: $M = 3.57$; subordinate: $M = 4.34$) and roads (basic: $M = 2.69$; subordinate: $M = 3.09$), $t_s < 1.415, p_s > .169$. The similarity of the RT and fixation-count results with those from the gaze-contingent experiment demonstrates that even when viewing full-resolution images, participants need more time and fixations to accrue diagnostic information when making subordinate decisions.

Additional analyses examined eye movement behaviors, including initial saccade latency, fixation duration, and saccade amplitude. For initial saccade latency, there was no main effect of judgment, $F(1, 26) = 1.708, p = .203, \eta^2_p = .062$, or stimulus type, nor was there an interaction between the two for initial saccade latency ($F_s < 1$). Fixation durations showed no main effect of judgment ($F < 1$), though there was an effect of stimuli type, $F(2, 288, 59.487) = 4.539, p = .011, \eta^2_p = .149$ ($Ms = 257, 312, 265$, and $277$ ms for classrooms, pools, restaurants, and roads, respectively). There was no interaction, $F(3, 78) = 1.276, p = .288, \eta^2_p = .047$. No main effect of saccade amplitude on judgment appeared, $F(1, 26) = 1.122, p = .299, \eta^2_p = .041$, but there was an effect of stimuli type, $F(3, 78) = 20.836, p < .001, \eta^2_p = .445$ ($Ms = 4.06^\circ, 3.33^\circ, 4.31^\circ$, and $3.44^\circ$ for classrooms, pools, restaurants, and roads, respectively), and an interaction, $F(3, 78) = 4.869, p = .004, \eta^2_p = .158$, with restaurants having a trend for longer saccades during subordinate categorizations ($M = 4.62^\circ$) than during basic categorizations ($M = 3.99^\circ$), $t(26) = 1.735, p = .094$. In contrast, there were similar, but not significant, numerical patterns for classrooms (basic: $M = 3.81^\circ$; subordinate: $M = 4.31^\circ$) and pools (basic: $M = 3.04^\circ$; subordinate: $M = 3.63^\circ$), $t_s < 1.560, p_s > .131$, whereas for roads, there were longer saccades in the basic condition ($M = 3.61^\circ$) than in the subordinate condition ($M = 3.27^\circ$), $t < 1$. 

**Fig. 6.** Paradigm and results from the validation experiment. Participants were asked to categorize individual scenes, but unlike in the gaze-contingent experiment, each scene contained objects identified as diagnostic at either the basic level (example shown on left) or subordinate level (example shown in middle). These objects were revealed at full resolution while the rest of the scene was low-pass filtered. The graph shows mean accuracy as a function of condition and the type of diagnostic information presented. The dashed line indicates chance performance. Error bars represent ±1 SE.
In sum, the consistent RT and fixation count results between the gaze-contingent experiment and the unfiltered-image control experiment suggest that even when participants view unfiltered images, subordinate categorizations are a separate, slower process than basic categorizations. However, fixation durations are no longer affected as a function of task when participants view full-resolution images.

**General Discussion**

Gist-processing research has generally focused on identifying the minimal common attributes that distinguish categories rather than examining the information demands that bias the categorization process. Here, we demonstrated that during scene viewing, there is a feedback component that creates a bidirectional interplay between available information and task goals and that this leads to categorization at a specified level.

In particular, our data reveal that LSF information does not provide the full range of diagnostic information for basic-level access: The several fixations made prior to a category judgment indicate that there is often a need for additional object processing. Our data indicate that observers go beyond assumed LSF gist information to find an entry point into the categorical hierarchy, which means that global, coarse scene representations might be necessary but are not always sufficient. Given the nature of the paradigm we used, it is possible that some of the fixations were made in order to verify that a basic category decision was correct. However, even in such situations, coarse global properties clearly did not provide enough information to rapidly process gist, so additional object information was needed. Determining gist can therefore be thought of as a process of information sampling across multiple scales of information.

In addition, the sampling strategy during basic categorization was found to differ from the strategy used during subordinate categorizations, which indicates that strategic acquisition processes vary as a function of the task and further corroborates that information demands bias the scene-categorization process. Because the original LSF information at scene onset was the same across both conditions, this difference in sampling extends soft-wired hypotheses (Oliva & Schyns, 1997) because it suggests that the visual system does not rely on a single mode of processing but that given the range of information available, it can adjust a sampling strategy to categorize an image with maximum efficiency. It should be noted that participants in our subordinate condition already knew the basic-level category prior to all trials, which possibly influenced their sampling strategy. However, given that the goal was to isolate the sampling strategy at the basic and subordinate levels, this detail was necessary to separate the two processes.

Now that we have uncovered sampling strategy markers for these two hierarchical levels, it would be interesting to investigate with more ecologically valid, open-ended categorizations (e.g., participants categorize scenes at the subordinate level with no a priori basic-level knowledge) when the shift in strategies takes place. It could be a strictly sequential process in which basic-level categorization must be completed prior to subordinate-related information sampling, or there could be an overlap in which subordinate sampling strategies begin while basic-level categorizations are not fully formed. Such a distinction would help refine the understanding of how the viewer samples and integrates diagnostic information prior to a judgment.

**Author Contributions**

G. L. Malcolm and P. G. Schyns developed the study concept. All authors contributed to the study design. Testing and data collection were performed by G. L. Malcolm and A. Nuthmann. G. L. Malcolm analyzed the data, and all authors interpreted it. G. L. Malcolm drafted the manuscript, and A. Nuthmann and P. G. Schyns provided critical revisions. All authors approved the final version of the manuscript for submission.

**Declaration of Conflicting Interests**

The authors declared that they had no conflicts of interest with respect to their authorship or the publication of this article.

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