Filling in the Blanks in Understanding Discourse Adverbials: Consistency, Conflict, and Context-Dependence in a Crowdsourced Elicitation Task

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Abstract

The semantic relationship between a sentence and its context may be marked explicitly, or left to inference. Rohde et al. (2015) showed that, contrary to common assumptions, this isn’t exclusive or: a conjunction can often be inferred alongside an explicit discourse adverbial. Here we broaden the investigation to a larger set of 20 discourse adverbials by eliciting ≈28K conjunction completions via crowdsourcing. Our data replicate and extend Rohde et al.’s findings that discourse adverbials do indeed license inferred conjunctions. Further, the diverse patterns observed for the adverbials include cases in which more than one valid connection can be inferred, each one endorsed by a substantial number of participants; such differences in annotation might otherwise be written off as annotator error or bias, or just a low level of inter-annotator agreement. These results will inform future discourse annotation endeavors by revealing where it is necessary to entertain implicit relations and elicit several judgments to fully characterize discourse relationships.

1 Introduction

Existing work highlights the importance of understanding discourse relations in context, showing a range of phenomena that are sensitive to the semantic connection that holds between two spans of discourse (Hirschberg and Litman, 1987; Kehler, 2002; Webber, 2013). When it comes to producing resources annotated with discourse relations—e.g., the Penn Discourse Treebank (PDTB; Prasad et al., 2008)—it is commonly assumed that at most a single discourse relation holds between two spans of discourse. It may not be simple to identify or infer that relation, but once achieved, the task is taken to be done. But properties of the discourse adverbial instead (Webber, 2013) have challenged this assumption. In particular, sentence-initial instead supports the inference of another discourse relation, with the specific relation depending on properties of the spans. This can be seen through what coordinating conjunction makes the relation explicit—compare:

(1) I planned to make lasagna. Instead I made hamburgers.
⇒ But instead I made hamburgers

(2) I don’t know how to make lasagna. Instead I made hamburgers.
⇒ So instead I made hamburgers

(3) Surprisingly, they ignored the lasagna. Instead they just ate the salad.
⇒ And instead they just ate the salad

While this means that full annotation of instead requires asking annotators what additional relation they infer (besides that associated with instead itself), one still needs to ask:

• For clauses starting with discourse adverbials other than instead, is the relation signalled by the adverbial all there is, or can an additional relation be inferred with the previous text? In the former case, no additional annotation is required; in the latter, it is.
• If another relation can be inferred, can it be inferred deterministically based on the adverbial alone? If so, no additional work is required, as the relation can be annotated automatically.
• If it can’t be inferred based on the discourse adverbial alone (as in the case of instead), how should an annotator figure out what it is?
• Could there be different ways of framing the inferred relation, such that annotators may disagree as to its identity, but all still be correct?

This paper addresses these questions using crowdsourced data elicited on 969 passages involving twenty discourse adverbials. We describe our methodology, what we have so far been able to learn, and how inter-annotator disagreements have led us to look more deeply into the judgments and what conclusions we can draw from them. Our results demonstrate that inter-annotator disagreement is informative, and need not be treated as annotator bias, inattention, or noise.

2 Background

The current work should be seen against the background of two research areas: Research on multiple co-occurring connectives and research on acquiring useful linguistic judgments from a large number of annotators, whether by crowdsourcing or in-house.

In the PDTB, all explicit connectives in a sentence were separately annotated. Then, if and only if a sentence lacked an explicit inter-sentential connective linking it to the previous context, annotators were asked to infer and annotate its relation, if any, to the previous sentence. This reflected the common assumption, noted earlier, that the situation is “either/or” – if a discourse relation is marked, there is nothing to infer.

With respect to research on explicit multiple co-occurring connectives, over 15 years ago, Weber et al. (1999) used them to argue that discourse spans could be related by both adjacency relations and anaphoric relations. Similary, in the context of Catalan and Spanish oral narrative, Cuenca and Marín (2009) used them to argue for different patterns and degrees of discourse cohesion. Oates (2000) considered how multiple discourse connectives should be used in Natural Language Generation, noting that the order in which they occur correlates with the hierarchy of discourse connectives presented in (Knott, 1996), while Fraser (2013) offers an account of the order in which multiple contrastive connectives co-occur, in terms of what he calls general contrastive discourse markers and specific contrastive discourse markers. For Turkish, Zeyrek (2014) has described patterns of multiple co-occurring connectives that signal contrastive and/or concessive relations.

These efforts have all been directed at explaining the existence of multiple explicit connectives and how they pattern. Closer to the focus of the current paper is work by Rohde et al. (2015), in which judgments were crowdsourced on four adverbials: after all, in fact, in general and instead. Rohde et al. found that, given one of these discourse adverbials, naïve participants identified an operative discourse relation—via a conjunction whose presence they endorsed alongside the discourse adverbial. They did so reliably both for explicit passages in which the author’s explicit pre-adverbial conjunction had been elided and for implicit passages in which the adverbial originally appeared alone. For Rohde et al.’s four adverbials, the inferred relation could not be predicted entirely on the basis of the adverbial alone. The current study extends Rohde et al.’s work to a larger set of adverbials. We focus on participant judgments on implicit passages since such cases are left largely untreated by existing annotation endeavors as well as current formal accounts.

The other research area that forms the background to the current work is research on acquiring linguistic judgments from a large number of annotators, whether by crowdsourcing or in-house. Here, research has addressed either identifying and correcting for problems arising from judgments from large numbers of unknown, possibly biased and/or inattentive annotators (Hovy et al., 2013; Passonneau and Carpenter, 2014), or identifying benefits that arise from having a large number of annotators (Artstein and Poesio, 2005, 2008). Work in the former area attempts to eliminate judgments that should be treated as noise, while the latter work shows that annotator bias decreases with the number of annotators.

In related research, Poesio and Artstein (2005) reflect on the “true ambiguity” of some pronoun tokens and how the presence of these distinct co-present viable interpretations can be brought to light via a sufficiently large number of annotators. In one example they cite, a boxcar has been attached to a train engine. The next sentence specified what should then be done. Over half their participants interpreted the pronoun it in this next
We recruited 28 participants from Amazon Mechanical Turk. All were native English speakers and were paid $88 each for their participation. These 28 individuals were selected from a larger pool who understood the task, whose responses were in line with the group average, who did not overuse NONE, and who were not outliers in speed.

3 Crowdsourcing judgments on discourse adverbials: Methodology

Here we extend the crowdsourcing approach of Rohde et al. (2015) to a larger dataset with many more adverbials. The goal is to learn from participants’ endorsements of particular conjunction–adverbial combinations in naturally occurring passages, as to whether additional annotation will be needed.

3.1 Participants

We recruited 28 participants from Amazon Mechanical Turk. All were native English speakers and were paid $88 each for their participation. These 28 individuals were selected from a larger pool who participated in a pre-trial involving 50 annotations. The pre-trial allowed us to identify participants who understood the task, whose responses were in line with the group average, who did not overuse NONE, and who were not outliers in speed.

3.2 Materials

The target passages that participants read were selected from the NY Times Annotated Corpus (Sandhaus, 2008). Passages varied from 9 to 122 words (minimally a sentence and maximally, a short paragraph). They were chosen to be comprehensible as stand-alone excerpts. Each target passage consisted (minimally) of two spans of text, the second beginning with a discourse adverbial, as in examples (1)–(3) and the sample materials shown in (4)–(5).

(4) “Nervous? No, my leg’s not shaking,” said Griffey, who caused everyone to laugh / _____ indeed his right foot was shaking.

(5) Sellers are usually happy, too / _____ after all / they are the ones leaving with money.

In example (4)’s original form, the author had included an explicit conjunction (because). In example (5), the original text contained only the adverbial, meaning that a discourse relation conveyed by a conjunction would have been implicit. Punctuation adjacent to the adverbial was replaced with a slash.

Each passage contained one of the following discourse adverbials after the gap: actually, after all, first of all, for example, for instance, however, in fact, in general, in other words, indeed, instead, nevertheless, nonetheless, on the one hand, on the other hand, otherwise, specifically, then, therefore, and thus. These represent a sampling of high-frequency adverbials, which belong to a variety of semantic classes and which showed a range of conjunction co-occurrence patterns in counts extracted from the Google Books Ngram Corpus (Michel et al., 2011; Lin et al., 2012).

Half the target passages originally contained a conjunction before the adverbial. For those explicit passages, we excised the conjunction and replaced it with a gap. For excerpts that were originally implicit passages, we simply inserted a gap before the adverbial. For each of the 20 adverbials, participants saw 25 explicit passages and 25 implicit passages, with the exception of however, which appeared in 25 implicit passages and 1 explicit passage (due to the rarity of conjunctions that naturally occur directly before however).

The distribution of original (author-chosen) conjunctions in the explicit passages reflected the distribution observed in Google n-gram counts of each adverbial with each of the conjunctions AND, BECAUSE, BUT, OR, SO. These 5 conjunctions appeared in a list of possible response options for participants.

With 20 adverbials and 50 passages per adverbial (26 passages for however), this yields a set of 976 passages. Due to presentation errors in 7 passages, the dataset for analysis consists of participant responses to 969 unique passages. The experiment
also included 32 catch trials, which were used to check that participants were paying attention and using the experimental interface correctly. The catch trials contained well-known quotes and expressions that had a ‘correct’ conjunction (e.g., you can lead a horse to water _____ you can’t make it drink). Some of the catch trials expected the response BEFORE, so this was included as a 6th option in the list of possible conjunction responses.

How much can we learn from participants’ selection of a conjunction? All six conjunctions we use are relatively unambiguous: In the PDTB (Prasad et al., 2008), each has a different main sense that it is associated with >90% of the time. More to the point, while 5.9% of the explicit tokens of and were assigned a result sense, of the 1272 tokens where AND was inserted as an implicit connective, none were labelled with the inferred sense result. (97% of the time, when AND was inserted as an implicit connective, it was with an inferred sense of conjunction or list, as with explicit tokens of AND.) As such, there are grounds for believing that the experiment targeted the participants’ inferred relation through choosing a conjunction that realizes it, even if the sense is only a coarse one.

3.3 Procedure

All participants saw all passages. Participants were instructed to fill in the gap with the word of their choice (from the six conjunctions AND, BECAUSE, BEFORE, BUT, OR, SO) that “best reflects the meaning of the connection” between the spans. They also had the option of choosing either NONE AT ALL (if they felt that no conjunction was possible) or OTHER WORD OR PHRASE (if they felt that only some option other than the six presented conjunctions was appropriate). The instructions were followed by three practice items.

During pilot testing, it emerged that participants sometimes chose NONE AT ALL when it sounded more fluent and less awkward to them than did an explicit conjunction. To avoid this, we explicitly instructed participants to choose the conjunction that best conveyed the sense of the connection, “even if the resulting text sounds awkward”, but then offered them the opportunity to record whether or not they would in fact use the chosen conjunction in that context (recording “I could say it this way” or “It sounds strange here”).

To avoid order effects, passages were pseudo-randomised: Participants never encountered more than three of the same adverbial in a row, and for explicit passages, they never saw excerpts whose original (author-chosen) conjunction was the same more than three times in a row. Also randomized was the list of possible conjunctions from which participants selected their response: The list appeared in a different order for each participant. Cursory examination of a sample of the data fails to show any obvious bias from the order in which the choices were presented.

The task was completed over several weeks. Participants worked at a rate of roughly 85 tokens per hour (making the hourly rate roughly $8/hour). They were not permitted to do more than 100 tokens per day.

4 Results

4.1 Issues addressed by the results

Our crowdsourced data can be used to answer distinct questions: Responses on explicit passages (§4.2) can be used to test whether untrained participants can do the task and deliver useful information. Given that the answer is found to be ‘yes’, responses on implicit passages (§4.3) can be used to answer our fundamental research questions: (1) Do inferrable discourse relations hold in implicit passages containing only a discourse adverbial, and (2) how can individual adverbials best be characterized with respect to inferrable discourse relations?

Assuming that the first question is answered in the affirmative (as was shown by Webber (2013) for instead), the two strongest answers to the latter question would be either:

i. Uniformity across adverbials: All adverbials co-occur with the same preferred conjunction.

ii. Uniformity per adverbial: Each adverbial has a single preferred conjunction, not necessarily the same across adverbials but possibly predictable from the semantic class of the adverbial.

If either was the case, it would be straightforward to obtain additional annotation of discourse adverbials. However, §4.3 will show that conjunctions preferred by participants are neither uniform across adverbials (contra (i)) nor uniform across passages for a particular adverbial (contra (ii)). We can nevertheless use these two types of variability to characterize the adverbials in this study.

1 OR has the sense Disjunction 86.7%, since it is labelled as Conjunction when it is in a negative context.
§5 will then show that systematic variability in the responses of our untrained annotators reveals cases in which multiple interpretations are inferable—an outcome that, as in (Poesio and Artstein, 2005), only presents itself with the use of multiple annotators. We discuss implications for large-scale annotation frameworks and methods.

### 4.2 Responses for explicit passages

For each of the 20 adverbials in our study, we elicited responses for 25 explicit passages, where the original sentence contained an adverbial preceded by a conjunction. (As already noted, for however we elicited responses for only one explicit passage; also, in the case of four explicit passages containing other adverbials, there were errors in presentation.) With 28 participants who saw all of these explicit passages, we have 13,216 analyzable data points.

The results show that conjunctions selected by authors in original texts are indeed recoverable: More than half the time (57%), participants selected the conjunction that the author had used. Moreover, it has been noted that the conjunction provides a less specified signal regarding the intended discourse relation (Knott, 1996) than some other conjunctions. For our data, if one considers SO and BUT as compatible with author-chosen AND and allow for such matches in computation of the overall agreement rate, participant selections matched the authors’ original conjunction 70% of the time. The confusion matrix for author-chosen and participant-selected conjunctions is shown in table 1.

<table>
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<th></th>
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<th>BUT</th>
<th>OR</th>
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</table>

**Table 1:** Confusion matrix of author conjunctions (columns) and participant responses (rows) in explicit passages

Other cases of divergence in participant selection point to contexts in which normally different conjunctions can convey the same relation. A case in point are passages containing the adverbial otherwise (table 2). Here, author OR received an unexpectedly high number of BECAUSE participant responses, and vice versa.

It appears that, with otherwise, both BECAUSE and OR can be used to express a reason. This is apparent in passage (6) below, where responses to author OR were split, with 17 participants selecting OR and 11, BECAUSE.

(6) “The Ravitch camp has had about 25 fundraisers and has scheduled 20 more. Thirty others are in various stages of planning,” Ms. Marcus said. “It has to be highly organized otherwise it’s total chaos,” she added.

These two strong signals are neither noise nor disagreement nor evidence of ambiguity (as in Poesio and Artstein (2005)), but rather different, context-specific ways of conveying the same sense.

Given the number of possible responses on each trial (6 conjunctions, NONE, OTHER) and the different senses that these conjunctions are usually taken to express, our observed levels of agreement are encouraging and suggest that participants can recognize intended concurrent relations and provide meaningful responses in this task.

### 4.3 Responses for implicit passages

For each of the 20 adverbials in our study, we elicited responses for 25 implicit passages, where the original sentence contained an adverbial not preceded by a conjunction (excepting 3 implicit passages with errors in presentation). With 28 participants who saw all implicit passages, we have 13,916 analyzable data points.

To help categorize participants’ behavior across adverbials, we visualize each adverbial’s response profile as a stacked bar chart, as shown in figure 1 for all 20 adverbials. Every point on the x-axis represents a passage, and passages have been ordered for presentation here to highlight trends for the adverbial.\(^2\) For each passage, bars color-coded by response (chosen conjunction) are sized according to the number of respondents who chose that response, and stacked in a consistent order: first AND (blue) at the bottom, then BECAUSE (green), then BUT (yellow), etc. The y-axis reaches 28 because

\(^2\)In crowdsourcing the data, passages were presented in pseudo-random order (§3.3).
every passage has 28 responses. We have manually arranged the plots so that patterns of dominant responses can be observed; e.g., plots with high concentrations of BUT (shown in yellow) are in the upper portion of the figure.

Comparing even just two of the plots in figure 1 leads us to several observations. Consider otherwise and in other words (highlighted in the middle of the fourth row of figure 1).

- These two adverbials have markedly different profiles of inserted conjunctions, suggesting different patterns of implied/inferred discourse relations.
- Neither response pattern is totally random; clear trends are observable in each. At the same time, neither adverbial has a single conjunction that is dominant overall. Instead, we see 2 or 3 conjunctions that are most often chosen for passages with the adverbial.
- Neither adverbial has a completely consistent distribution of responses within particular passages. The plot for otherwise shows an overall preference on most passages for SO, but the degree of competition from BUT and OR (and even BECAUSE and AND) varies depending on the passage. For otherwise, some passages favor BUT whereas others are split between BECAUSE and OR responses.

We can also see that several observations in Rohde et al. (2015) regarding their 4 targeted adverbials are replicated here: The preferred conjunctions for after all and in fact are again BECAUSE.
and AND/BUT/BECAUSE, respectively; likewise, in general has the same dominant preference for AND, although the frequency of alternative conjunctions differs. Rohde et al. reported that instead favored SO, but our data show SO second to BUT. This may be taken to underscore the sensitivity of these inferences to the passages in which instead appears.

More generally, these plots reveal striking similarities as well as striking differences. With respect to the question of whether a conjunction can co-occur with a discourse adverbial even when the author did not use one, the answer is yes: Participants favored the NONE option for only a few adverbials (however, for instance, for example), implying that the conjunctions they endorsed for other adverbials reflect connections they saw in the text and were not merely an artefact of the experiment. Furthermore, with respect to the question of how to characterize individual adverbials, figure 1 shows that neither of the uniformity outcomes listed in §4.1 hold for these data: It is not the case that all adverbials co-occur with the same preferred conjunction, nor does each have a single preferred conjunction or necessarily pattern with other adverbials from the same semantic class.

More specifically, we see that all adverbials have 1–3 frequent responses out of the 8 options. Although none the plots are overwhelmingly dominated by a single conjunction, nevertheless and nonetheless come closest with their preference for BUT. The responses before (orange) and other (gray) were very rare. Some pairs of neighboring plots are highly similar, e.g., nevertheless/otherwise in the upper right, and for instance/for example in the bottom center. This is reassuring as the members of each pair have intuitively similar meanings. That said, even though actually, indeed, and in fact would all be classified as modal stance adverbials (Aijmer and Simon-Vandenbergen, 2007), they elicit different response patterns: actually and in fact elicit AND, BUT, and because with a smattering of so, while indeed elicits AND and because.

On the other hand, instead exhibits a context-specific pattern of inference: Many instead passages elicit a but response, but others elicit so, showing that what drives the choice must be specific to the passage, not the adverbial alone. Likewise for otherwise: some passages elicit but, but most reflect an explanation, conveyed with either because or or, similar to responses for the ex-

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Figure 2: Each adverbial’s entropy of responses for implicit passages. The x-axis is mean per-passage entropy; the y-axis is entropy of the distribution aggregating all responses over all passages for the adverbial.

Entropies. An important facet to understand in our data is the extent to which (in)consistency in responses comes from adverbials vs. individual passages. Qualitatively, we observe from figure 1 that adverbials like therefore have a “consistent inconsistency”—i.e., most passages produce responses split evenly between two conjunctions, so the overall response distribution looks a lot like the individual passage distributions. In other cases, like in fact, most passages have a dominant response, though that response differs across passages.

The within-passage vs. overall (in)consistency can be quantified by entropy. Each adverbial is shown in Figure 2 with the x-axis indicating the mean entropy across of the response distribution for each passage, and the y-axis indicating the entropy of the aggregate distribution of responses across passages. The adverbials differ markedly in entropy, with extremes being nevertheless and specifically. Most adverbials have overall entropy slightly greater than mean per-passage entropy, but a few stand out as having unusually high overall

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3One might wonder if the split for an adverbial like therefore reflects a split between participants who uniformly favored AND and those who uniformly favored SO. However, this does not appear to be the case: No participant chose the same conjunction for all therefore passages; likewise for otherwise (which yielded a 3-way split). Both adverbials show a cline in strength of preference for each of the dominant conjunctions. However was an exception with 5 participants who always responded NONE and 1 who always responded BUT.
entropy given their per-passage entropies: in fact and actually are most extreme in this regard. These are cases where individual passages are more consistent than the overall distribution would suggest.

Implications. Our analysis suggests that, if an annotation effort wishes to fully capture the sense relations taken to hold in the presense of discourse adverbials, it should always use multiple annotators. However, if annotation resources are limited, adverbials in the lower left of figure 2 offer the most consistency, allowing one to get away with fewer annotators. Further, if an effort wants reasonable coverage of sense relations, it should assign more annotators to adverbials whose within-passage entropy accounts in our data for most of the overall entropy (i.e., those close to the diagonal).

5 Characterization of adverbials

The notion that conjunction+adverbial combinations could occur has been introduced in prior work (Webber, 2013; Jiang, 2013; Rohde et al., 2015), but the range observed in our dataset is unprecedented. What does this mean for annotation schemes of discourse relations? At the very least, an annotation scheme must include the possibility that, given an adverbial, another relation, signalled by a conjunction, can also be inferred.

Our data suggests how conjunctions and adverbials combine. Although one might expect this to be limited, as §4 shows, the range of combinations far exceeds any limits imposed by ad hoc definitions. One might expect that the combinations can be predicted based on the semantic class of the adverbial. However, when we group the adverbials by class, we see mixed results: on the one hand, adverbials that convey exemplification (for example, for instance) pattern similarly; on the other hand, it is not the case that adverbials that convey resulting states (thus, therefore) pattern uniformly (participants endorse SO for therefore nearly 4 times as often as for thus), and our examples of modal stance adverbials (actually, in fact, indeed) show very different distributions.

Contrary to these hypotheses, it appears that the two parts of a conjunction+adverbial combination can contribute in different ways:

i. Same sense: The adverbial conveys the same lexical semantics as the conjunction (e.g., SO thus, in which both convey the sense that the second argument is the result of the first)

ii. Separate sense: The adverbial conveys distinct lexical semantics from the conjunction (e.g., SO in other words, in which the result sense conveyed by SO has no overlap with the restatement conveyed by in other words)

iii. Parasitic sense: The sense conveyed by the adverbial serves that conveyed by the conjunction (e.g., SO for example, where SO conveys a result, which is then evidenced by one or more examples)

The combinations we observe suggest that the adverbial contributes meaning, but context determines what that meaning is contributed to. When both adverbial and inferred conjunction convey the same sense, it suffices to consider the discourse relation expressed by the adverbial; otherwise, the meaning of each must both be considered.

Finally, we turn to annotator disagreement. We define divergent tokens as those for which at least 8 participants chose each of two conjunctions from the set because, but, or so. Since and can sometimes be taken as underspecified and hence compatible with SO and BUT, it is not included here as a fully independent competitor.

Some divergent tokens show annotators connecting the post-gap text to different parts of the context through different conjunctions. In the explicit passage shown in (4), 13 participants chose because (the original author’s choice) and 11 chose but. Closer examination reveals that different choices connect to different parts of the pre-gap context: because links “his right foot was shaking” to the subordinate clause (“who caused everyone to laugh”), whereas but, like the adverbial indeed, links it to the statement “No, my leg’s not shaking”. In this case, the divergent participant choices demonstrate the disambiguating effect of the conjunction where multiple relations are possible. By removing the conjunction (which performed a different role from the adverbial), relations between the two spans are rendered ambiguous.

Other divergent tokens show annotators drawing different interpretations between the same spans. For the passage shown in (7), 15 participants selected because, and 11 chose but (the original author’s choice).

(7) There was a testy moment driving over the George Washington Bridge when the toll-taker charged him $24 for his truck and trailer, ______ after all it was New York.

Here, but can be taken to express a concession
with respect to the expectation that bridge tolls are usually a small amount of money (not $24), whereas because expresses the reason why the reader should not be surprised why it’s so high.

6 Conclusion and future work

We set out to gather further evidence that a semantic relationship between a sentence and its context may both be marked explicitly and involve inference. The extensive data we gathered through crowdsourcing judgments (20 adverbials, 50 different passages each, 28 different participants), replicate and extend earlier findings that discourse adverbials do indeed license inferred conjunctions. The patterns we have observed show that selected conjunctions are neither uniform across all 20 adverbials nor uniform within passages for a particular adverbial, but that both types of variability can be used to characterize the adverbials. In some cases, the adverbial and conjunction selected by participants share the same sense; in other cases, they are distinct (or sometimes even parasitic on the other).

Further, the diverse patterns observed for the adverbials include cases in which more than one valid verbial and conjunctions, as a means of testing whether individual participants endorse the alternative and sometimes divergent conjunctions observed across participants for a given passage.

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