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Aporia and exegesis: Alexander of Aphrodisias

Aporetic reasoning features in Alexander’s work throughout - in the Aristotelian commentaries, opuscula, and school treatises (two of which have words aporia and problêma in their manuscript titles). Much of Alexander’s use of aporia is prompted by Aristotle's texts he comments on, and very often aporetic framework is developed in following upon the earlier school discussions and philosophical polemic against other philosophers - both practices going back to Aristotle himself. Aporia as a genre of Alexander’s literary output is constantly receiving scholarly attention, and much still remains to be done.

But in this paper it is not my goal to discuss an aporia as a genre in Alexander’s literary work. Instead I would like to probe into a somewhat different area, that of Alexander’s thinking about the aporia as a part of philosophical method. This is not an easy task, since despite the ubiquity of aporetic contexts in Alexander’s work, there is no single place where we could find the statement of his views on this subject. His methodological position can be gauged from his commenting on the relevant texts in Aristotle. In what follows I look at his discussion of the usefulness of dialectical method for sciences and at an example of his exegesis of Aristotle’s aporiae in first philosophy. I will conclude that Alexander has a well-defined role for the aporetic reasoning in the scope of scientific inquiry (section two), and moreover, that Aristotle’s central metaphysical aporiae retain their system-building status in Alexander’s own interpretation of Aristotle’s system (section three). I will begin with a brief survey of Alexander’s Aristotelian background in his methodological reflexion on aporia.

1. Aristotelian preliminaries: the form and scope of aporia

Arthur Madigan notes that in the commentary on Metaphysics Beta,

(T1) Alexander uses the term aporia in at least four senses: [i] a physical impediment to a movement in a certain direction (the original sense); [ii] a state of perplexity (the aporia in us); [iii] a problematic object or issue, such as to give rise to perplexity (the aporia in the thing); [iv] a philosophical discussion which seeks to clarify a problematic issue, and to relieve perplexity, by arguing on both sides of the issue.

In the Topics, Aristotle criticises the definition of aporia as equality of opposite arguments as ill-formed, because it suggests that aporia is a condition (πάθος) of the arguments, whereas it is a condition of the soul. Aristotle says that the aporia is caused by the equality
of opposite arguments, ‘for when we are reasoning in utramque partem and everything on each side seems to us similar, we have a difficulty as to which way to act’.\(^5\)

Alexander elaborates on this explanation as follows:

(T2) (1) But neither is it the case that the aporia is productive of the contrary arguments, but rather the other way around. (2) For the aporia is a kind of affection of thought which occurs due to the contrariety of arguments. (3) For when we are considering and scrutinising two contrary arguments as to which one seems more fitting, and it appears to us that equality and similarity and in being both ways belongs to each of them, then this kind of affection arises. (4) For instance, when [a question] has been proposed whether the soul is immortal or mortal, and the arguments undertaken for each case prove both [the positions] sought by the arguments, and with strong demonstrations, in that case an aporia arises, which part should be sided with. (5) So, when all [parts] seem strong and similar to such an extent as to have a difficulty which of the parts should rather be taken, there is an aporia.\(^6\)

Here Alexander focuses on aporia as a psychological state [iii] and distinguishes this state from that which causes it, as prompted by Aristotle’s context, namely the discussion of definitions. Outside this context, however, neither Aristotle nor Alexander aim to reduce the aporia to a psychological state leaving outside the question of its specific cause. In Metaphysics Beta, Aristotle uses the terminology of aporia to refer not only to the psychological state of perplexity, but also to its specific cause, the underlying conceptual difficulty. Alexander’s usage in the commentary follows that of Aristotle, and the description of the cause of psychological aporia in (T2) is referred to as aporia in the meaning [iii] of Madigan’s list, ‘a problematic object or issue’.

Aristotle outlines the progressive, dynamic structure of a complete aporetic argument. This is what Aristotle, and Alexander, also called ‘aporia’, in Madigan’s sense [iv]. Aristotle distinguishes three key points within this structure. First there is an aporia proper: the original perplexity, which includes both the state of the soul and its cause, the difficulty with regard to the subject matter. Aristotle compares the objective difficulty with a knot or an obstacle which must be known by anyone who wants to make a progress.\(^7\) In the first book of Metaphysics Aristotle famously speaks of the state of ‘wonder and

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\(^5\)145b16-20: ὀμοίως δὲ καὶ τῆς ἀπορίας δόξειν ἂν ποιητικὸν εἶναι ἢ τῶν ἐναντίων ἰσότης λογισμῶν· ὅταν γὰρ ἐπὶ ἀμφότερα λογιζομένοις ἡμῖν ὀμοίως ἄπαντα φαίνεται καθ’ ἐκάτερον γίνεσθαι, ἀποροῦμεν ὅποιεσαν πράξεσαν.

\(^6\)Alexander in Top. 458, 26 - 459, 3: (1) ἀλλ’ οὖδε ἢ ἀπορία ποιητικὴ ἐστὶ τῶν ἐναντίων λογισμῶν ἀλλὰ τὸ ἀνάπαλιν· ἐστὶ γὰρ ἢ ἀπορία πάθος τῆς διανοίας δι’ ἐναντιότητα λογισμῶν ἐπιγνομένη. ὅταν γὰρ ἐπὶ δυο λογισμοὺς ἐναντίος ἐκφύεται καὶ ἐξετάζουσιν ἡμῖν, ποῖος μᾶλλον ἀμφότερος σκοποῦσι καὶ ἐξετάζουσιν ἡμῖν, ἀπορία ἢ ὀμοιότης καὶ ἐπὶ ἀμφότερος καθ’ ἐκάτερον γίνεται, τὸ τοιοῦτον πάθος γίνεται. οἷον προτεθέντος εἰ ἢ ψυχὴ ἄθανατος ἢ θνητή, καὶ ληφθέντων καθ’ ἐκάτερον λόγων διεικνύουσι καὶ ἄμφω τὰ ἵκονοι ὄροις καὶ ἀποδείξεσιν ἰσχυρὰς, τότε γίνεται ἀπορία, ποῖῳ τῶν μερῶν δεῖ προστεθῆναι. ὅταν οὖν πάντα ἰσχύρα φαίνεται καὶ ὅμοιο τοιοῦτον ὡστε καὶ ἀπορεῖν τίνος τῶν μερῶν ἐστι μᾶλλον λήψις, ἀπορία ἐστίν.

\(^7\)Meta. 3.1, 995a28-33: ἢ γάρ ὢσπερ οὖν εὐπορία λύσις τῶν πρότερον ἀποροουμένων ἐστί, λύειν δ’ οὐκ ἐστὶν ἀναγνώρισας τὸν δειμόν, ἀλλ’ ἢ τῆς διανοίας ἀπορία δηλοῦ τούτῳ περὶ τοῦ πράγματος· ἢ γάρ ἀπορεῖ, ταύτη παραπλήσιος πέπονδε τοῖς δεδεμένοις· ἀδύνατον γάρ ἀμφότερος προελθειν εἰς τὸ πρόσθεν.
perplexity' as the starting point of a philosophical investigation, which should disappear in the end when things become explained.\(^8\)

The next structural point is described as *diaporia*. The term might suggest a process of dwelling on the original aporia, but Aristotle seems to have in mind a much more precise technical procedure of identifying and presenting the logical form of the aporetic argument, and spelling out the difficulties in this logical framework.\(^9\) This framework typically includes the two competing claims (thesis and antithesis), and two respective series of arguments *pro* and *contra*.\(^10\) The examples of such a fully-fledged argument form can be found in the fifteen aporiai of *Metaphysics Beta* and throughout the corpus.\(^11\) The opposition of the arguments underlying the aporia has to be sufficiently stable and well-founded, caused by a true puzzle and not as a result of a mere oversight or a simple mistake that can be easily corrected.\(^12\) The aporia should also be distinguished from a verbal paradox or a sophism, where a solution comes as a matter of logical technique.\(^13\) On both Aristotle's and Alexander's view, the impression of equipollence produced by the aporetic argument cannot reflect the truth of the matter in question and must instead be taken as signalling a problem to be dealt with by a philosopher.

Thus, finally, the aporetic reasoning must include the stage of *euporia*, when a solution, 'passage' or discovery of conceptual resources sought, has been obtained. At this stage, the initial sense of surprise and difficulty should disappear. As Aristotle says, it should become more surprising if it turns out that things are different from the way they are.\(^14\) There is no uniform method of attaining the euporia, and there is no single type of solution in Aristotelian science.\(^15\) Still there is a robust expectation that the aporia will be solved once we find a way of thinking about the object which will avoid all the shortcomings and

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\(^8\) *Meta*.1.2, 982b11-21: ‘Ότι δ’ οὐ ποιητική, δήλον καί ἐκ τῶν πρώτων φιλοσοφησάντων· διά γάρ τὸ θαυμάζειν οἱ ἄνθρωποι καὶ τὸ πρώτον ἠρέματον φιλοσοφεῖν, ἐξ ἀρχῆς μὲν τὰ πρόκειτα τῶν ἀτόμων θαυμάσαντες, εἶτα κατὰ μικρὸν οὕτω προϊόντες καὶ περὶ τῶν μειζόνων διαπορήσαντες, οἷον περί τὰ τῶν τῆς σελήνης παθημάτων καὶ τῶν περί τοῦ ἥλιον καὶ ἄστρα καὶ περὶ τῆς τοῦ παντὸς γενέσεως. ὃ δ’ ἀπορόν καὶ θαυμάζων οἴεται ἄγνοειν (διὸ καὶ οἱ φιλόμυθος· ϕιλόσοφος· ὅ γάρ μῦθος σύγκειται ἐκ θαυμασίων·)· ὥστε εἰπερ διὰ τὸ φεύγειν τὴν ἄγνοιαν ἐφιλοσόφησαν, φανερὸν ὅτι διὰ τὸ εἰδέναι τὸ ἐπίστασθαι ἐδικώκαν καὶ οὐ χρῆσεις τινος ἔνεκεν.

\(^9\) For this understanding, see Aubenque 1961, Laks 2009, 28-29; Crubellier 2009, 49.

\(^{10}\) It can be compared, *mutatis mutandis*, with the structure presupposed by the method of hypothesis in Plato's *Meno* (86E-87C) and the dialectical method in *Parmenides* (135E-136D).

\(^{11}\) We can also find examples of ‘abbreviated’ aporetic arguments, with only the most important opposing considerations presented explicitly. This is more characteristic of the ‘empirical’ or ‘internal’ aporiai which arise with respect to various positions of an Aristotelian theory which seem to be contradicted by experience or other weighty considerations.

\(^{12}\) Irwin speaks of ‘objective’ aporiae, Irwin 1988, 41.

\(^{13}\) In the *Topics*, Aristotle distinguishes the dialectical from the eristic syllogism because the former is a valid argument which starts with endoxic premises, whereas the latter starts from the premisses which only appear to be endoxic without being such, and may be an apparent rather than valid syllogism. (*Top*.1.1, 100b23-101a5)

\(^{14}\) *Meta*.1.2, 983a11-21.

\(^{15}\) Pierre Aubenque gave a preliminary classification of different types of euporia in Aristotle: (i) euporia is a solution proper which eliminates the difficulty and replaces it with a positive theory (an example is the discussion of akrasia in EN 7); (ii) euporia is a plausible hypothesis which is in principle open to revision; (iii) euporia preserves some elements of truth that are contained in both the thesis and antithesis; (iv) it is accepted from start that aporia does not have a definitive solution, and the solutions that are accepted are provisional because such is the nature of the ‘eternal’ question (Aubenque 1961, 14-17).
limitations of the two opposing positions. Hence the role of aporia in sciences is seen primarily as a conceptual framework which allows us to study all the shortcomings and limitations, as well as all the more promising elements in the aporetic arguments.

2. Aporia and scientific method

According to Aristotle’s theory of science developed in the logical corpus, the scientific method of reasoning is demonstration or scientific deduction. It involves the application of a valid deductive procedure\(^\text{16}\) to a properly defined subject genus in order to derive the proper attributes of this genus on the basis of the axioms.\(^\text{17}\) The premises of demonstration are true and primary, immediate, better known than the terms of the conclusion, and have an explanatory priority to the conclusion.\(^\text{18}\) The first principles of any science are indemonstrable, i.e. they cannot be derived from any more fundamental principles.\(^\text{19}\)

Demonstrative reasoning is distinguished from dialectical reasoning, which is based on the approved or reputable (endoxic) premisses entertained by the two participants of a dialectical argument, the ‘questioner’ and the ‘answerer’.\(^\text{20}\) These endoxic premisses may or may not be true. The reasoning used by a dialectician to arrive at a conclusion from endoxic premises is deductive. Apart from deduction, Aristotle’s dialectic presupposes the use of inductive reasoning,\(^\text{21}\) and although Aristotle’s discussion of it in the *Topics* is tantalisingly terse, Alexander fully accepts it, understanding it as a regular part of a dialectical method along with the arguments based on endoxic premisses (we shall see an example of his use of both methods shortly below).

The aim of the ‘questioner’ is to get the ‘answerer’ to accept a particular conclusion (for instance, a claim which will make the answerer’s position inconsistent and thus disprove his argument).\(^\text{22}\) The strategy of the answerer is to maintain the consistency of his position as far as possible and not yield to a refutation,\(^\text{23}\) i.e. be careful when granting agreements to the questioner’s proposed claims (*protaseis*).\(^\text{24}\)

The goal of demonstration is truth, the goal of dialectical reasoning is persuasion. The scientist has to ensure that the starting points of his demonstration are true and appropriate to the subject genus of his science. The dialectician, unlike the scientist, is not restricted in his choice of premisses: he can examine any thesis in any discipline and he can argue for the opposite theses. The fully-fledged aporetic structure, with two opposing arguments, can be an illustration of a dialectical argument conducted on both sides, without any truth-constraints for the premisses.

\(^{16}\) For the meanings of syllogismos in Aristotle, see Barnes 1982. Here we can use the definition of *Top*. 1.1, where syllogism is defined along the lines of the modern valid argument.

\(^{17}\) *An. Post.* 1.10, 76b11-16

\(^{18}\) *An. Post.* 1.2, 71b19-23.

\(^{19}\) *An. Post.* 1.2, 71b26-29.

\(^{20}\) The importance of this ‘double-sided’ structure of a dialectical argument is brought out in Smith 1993.

\(^{21}\) Aristotle, *Top.* 1.12

\(^{22}\) *Top.* 1.10, 104a8-12, see discussion in Smith 1993, 337-8.

\(^{23}\) *Top.* 1.1, 100a18-21.

\(^{24}\) Alexander’s explanation of Aristotle’s definition of dialectic in the *Topics* commentary does full justice to the roles of questioner and answerer. Alexander in *Top.* 3, 4-24.
The question of the place of dialectic in Aristotle’s methodology of science is controversial. That it must have *some* place is suggested by the overall structure of Aristotle’s argument in many works where the study of the subject matter has as its starting point the analysis of the difficulties which arise from authoritative endoxic claims. This might suggest that dialectic after all does form a regular part of Aristotle’s scientific methodology. But such an inclusive understanding of the role of dialectic seems to clash with the *Organon* view of scientific reasoning as strictly demonstrative. It is not my goal to discuss the whole debate about the role of dialectic and aporetic reasoning in Aristotelian science, but I shall try to outline Alexander’s position.

On Alexander’s view, every science, including first philosophy, is demonstrative and definitional. This pretty much rules out dialectic as a scientific method proper. Still, Alexander takes very seriously Aristotle’s remarks in the *Topics* detailing the ways in which dialectic is useful for philosophy. Dialectic makes it easier to see on which side the truth is, ‘just as the judge comes to know what is right through listening to both parties’, and the person who has argued on both sides will not be led astray by what is persuasive, and is in the best position to see the solution to the puzzles. The most detailed and technical is the discussion Alexander devotes to the last point: dialectic contributes towards the first principles.

(T3) (1) What he adds is to say that dialectic is useful also with a view to the principles in each science: (2) for no science can argue about its proper principles, because if one would speak scientifically about these and prove them, he has to prove them from first things - this is the nature of scientific and demonstrative proof - but one does not have any such first thing prior to the principles. (3) So these principles of sciences which need to be provided with some confirmation must, because they cannot be proved through what is true and primary, be proved and justified through what is approved - and syllogising through this is a distinctive property of dialectic. (4) Another distinctive property of it, as Aristotle will go on to say, is to provide a confirmation for the point at issue through induction; and principles come to be justified most through induction. (5) So the scientist will speak of the principles proper to his science as a dialectician or the dialectician will do this on his behalf. (6) And if dialectic is useful with a view to the first things, the principles

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26 The literature is huge. For the argument for ‘strong’ dialectic as the method of Aristotle’s first philosophy, see Irwin 1988, cf. Barnes 1991. For the argument that demonstration is the method of first philosophy, see Bell 2004.

27 On Alexander’s interpretation of first philosophy as demonstrative science, see Bonelli 2005.

28 *Top.* 1.2, 101a25-b5.

29 *In Top.* 29, 30-31.

30 *In Top.* 30, 5-16.

31 My interpretation of Alexander differs from that of Smith, who relies on Alexander’s construal of the phrase in *An. Pr.* 293, 6-10, but does not seem to take into account his discussion of geometrical examples in the *Topics* commentary (Smith 1993, 349-354).
of each science, it will be so, as Aristotle says, for philosophy and its principles as well, providing its usefulness there too. (trans. van Ophuijsen, lightly modified) 32

Both the utility of dialectic (T3.1) and the indemonstrability of the first principles (T3.2) are Aristotelian points. Alexander’s expression ‘which need to be provided some confirmation’ in (T3.3) may require a disambiguation. In the Greek phrase τὰς ἀρχὰς τῶν ἑπιστήμων συστάσεως τίνος the participle δεομένας could be understood attributively, and then the phrase would imply that all the first principles of science are in need of some confirmation, since no confirmation can be provided by the science itself, which has no further foundation beyond the first principles themselves.33 The force of the partitive genitive construction will be to isolate the proper indemonstrable principles as the subclass whose characteristic feature is this need of a certain dialectical foundation. On this reading, the role of dialectic in science, as outlined in (T3.5), would be understood along the lines suggested by Terry Irwin’s interpretation of Aristotle: the ‘strong dialectic’ would set a kind of scientific discourse supplementary to demonstration, providing a second-order justification to the first principles of science which cannot be demonstrated.34

There is another possibility, however, and I will argue that it is the one that Alexander has in mind in his discussion of dialectic, both here and in the Metaphysics Beta commentary. If we take the participial construction in (T3.3) as predicative and circumstantial, to mean ‘in case where they need some kind of confirmation’, the need for confirmation will be dictated by circumstances, such as the necessity to respond to a dialectical objection. In this case the partitive construction will be isolating not the proper indemonstrable principles as a subclass of all the principles, but very specifically the principles which happen to be in need of some corroborate, for instance, when they are under attack by opponents or critics. It is in this case that dialectic can be helpful in both defending the principles and at the same time showing ‘the way’ towards them starting from the endoxic premisses. None of these helpful roles amounts to establishing the principles.

The battery of examples that follows in Alexander’s commentary seems to me to give support to this reading. Alexander gives two kinds of example to show how dialectical reasoning can provide confirmation to the principles that need it. The first example is showing that there are some things in philosophy that require a dialectical proof. It comes from Aristotle’s Physics 3.5, where Aristotle argues against the existence of the infinite body.35 Alexander gives his own interpretation of Aristotle’s argument.

32 (1) ἐστι δὲ ὁ προστίθησις· χρήσιμον φησιν εἰναὶ τὴν διαλεκτικὴν καὶ πρὸς τὰς καθ’ ἕκαστην ἑπιστήμην ἀρχὰς: (2) περὶ γὰρ τῶν οἰκείων ἀρχῶν οὐδεμία τῶν ἑπιστήμων οία τε λέγειν διὰ τὸ δεῖν μὲν, εἰ ἑπιστημονικῶς λέγοι περὶ αὐτῶν καὶ δεικνύοι ταῦτα, ἐκ πρῶτων αὐτὰ δεικνύοι (τοιαύται γὰρ αἱ ἑπιστημονικαὶ τε καὶ ἀρχαῖς καὶ διεξεῖται). μηδὲν δὲ ἔχει τῶν ἀρχῶν πρῶτον. (3) τὰς ἀρχὰς τῶν ἑπιστήμων συστάσεως τίνος τῷ ὑπὸ δύνασθαι δεικνυθαι δι’ ἀληθῶν τε καὶ πρῶτων δι’ ἐνδοξῶν τινῶν <ὁν> δεικνυθαὶ τε καὶ διαλεκτικῶν προκειμένου σύστασις. (4) ἢ δὲ αὐτῆς καὶ δι’ ἐπαγωγῆς τοῦ προκειμένου σύστασις, ὡς πρὸς ἔρει· μᾶλιστα δὲ τὸ πιστὸν ταῖς ἀρχαῖς δι’ ἐπαγωγῆς περιγίνεται. (5) ὡς διαλεκτικὸς οὖν περὶ τῶν ἑπιστήμων πρὸς αὐτὸν ἢ ὁ διαλεκτικὸς ὑπὲρ αὐτοῦ. εἰ δὲ πρὸς τὰ πρῶτα καὶ τὰς καθ’ ἕκαστην ἑπιστήμην ἀρχὰς ἐστι χρήσιμος, εἰ ἂν, ὡς εἶπε, καὶ πρὸς φιλοσοφικὰ τε καὶ τὰς ταύτης ἀρχὰς, παραχωμένη καὶ ταύτη τὸ χρήσιμον.

33 Van Ophuijsen’s translation renders σύστασις throughout as ‘foundation’, which may give additional weight to this reading.

34 Irwin 1988, 196-198.

35 Phys. 3.5, 204a34-b22, at b4-10.
On Alexander’s interpretation at (T4.1), by ‘verbal’ Aristotle means ‘dialectical’ understood here as ‘proceeding from the endoxic premisses’. Aristotle in *Physics* says nothing about this condition for premisses, and draws a distinction rather between the ‘logical’ and ‘physical’ arguments, along the lines of a familiar discussion of the two definitions of anger in *De anima.* In fact, it seems that Alexander struggles to explain why [P1] above is endoxic. His solution in (T4.3) is to say that it derives from a common formula ‘a surface is the limit of the body’, which is criticised by Aristotle himself in *Topics* 6.4 as less scientific, because it defines things prior ‘without qualification’ through things posterior without qualification. Aristotle in *Physics* 3.5 has nothing to say about this derivative endoxon. Premiss [P2] is supplied by Alexander to derive the conclusion (7) [C], namely that ‘no body is unlimited’.

The dialectical context of this argument in Aristotle’s *Physics* is defined by the Pythagorean theory of separate infinite, which is discussed immediately before this argument. The argument itself thus can be construed as a necessary response to the opposite argument, within a well-formed dialectical framework.

Next follows a series of arguments showing how dialectic discusses the first principles, for the geometrical definitions. Geometry faces the objection that it is impossible for there to be magnitudes with only two dimensions (surfaces), only one dimension (lines), no dimensions at all (geometrical points), and it is impossible for us to conceive of such magnitudes.

(T5) (1) That it is the task of the dialectician to speak about principles can be made plain from the following. (2) The geometrician posits as principles of geometry that (a) surface is that which has length and width only, and also posits that (b) a line is a length without width, and that (c) a point is that which has no part. (3) Some people object to this, saying that (a) it is not possible for

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36 Aristotle, *Phys.* 204b5-7: εἴ γάρ ἐστι σῶματος λόγος τὸ ἐπιπέδῳ ὑρισμένον, οὐκ ἀν εἰ σῶμα ἀπειρον, οὔτε νοητὸν οὔτε αἰσθητὸν.

37 In Top. 30, 12-18: (1) καὶ αὐτός δὲ πολλάκις δεικνύς τινα τῶν κατά φυσιοφιάν προστίθησι τὸ “λογικά” λέγων διαλεκτικῶς, ώς δεομένων τινῶν τῶν κατά φυσιοφιάν καὶ τοιούτων δειεχον. (2) οί σὲ σὲ καὶ ἢ τοιαύτη’τάν σῶμα ἐπιπέδῳ ὑρισσοί, (3) ό ἐστιν ἐνδοξον διὰ τὸ κείσθαι σώματος πέρας εἶναι τὴν ἐπιφάνειαν, ὅ, ἐχρήσατο εν φυσικοις δεικνύς ὰτι μὴ ἐστιν ἀπειρον τἱ σῶμα· (3) ἐστι ρισθεις τὸ ‘οὑδὲν δὲ ὑρισμένον ἀπειρον’ (4) ὀὑδὲν ἁρα σῶμα ἀπειρον’ συνήγαγον.

38 *De anima* 1.1, 403a27-b19.

39 Aristotle, *Top.* 6.4, 141b15-28. Aristotle notes that these definitions are very commonly used. Brunschwig ad loc. cites as an example a definition of shape as a limit of the solid in the *Meno* 76A. See Brunschwig 2007, 217n2.

40 In Simplicius’ *Physics* commentary ad loc., the two interpretations are amalgamated, so that the ‘verbal’ argument is presented as ‘dialectical’, and ‘physical’ as demonstrative. The ‘verbal’ argument is said to proceed from the endoxic premisses, but also to be the most common. Although at this particular point Simplicius does not cite Alexander, given the dependence of his commentary on Alexander’s, one might wonder whether Alexander is not his source for this interpretation of ‘logical’/‘physical’ distinction.

41 Aristotle, *Physics* 3.5, 204a8-34. Simplicius construes the argument as a response to Pythagoreans (in *Phys.* 475, 11-19)
a magnitude to have only two dimensions, (b) still less to have only one, and (c) that there is no such thing as point at all, since (i) there is nothing that will neither diminish what it is taken from nor increase what it is added to, as Zeno of Elea said, (ii) for one cannot even form an image of what is without dimension. (4) Now it is not possible to offer a geometrical proof that any of these are real, (5) but the dialectician will have no difficulty in providing a confirmation for them through things approved. (6) For having obtained that \([P1]\) Surface is the limit of the body which is approved, and that \([P2]\) A limit is other than that which it is a limit of and \([I1]\) having provided a confirmation for this by induction, he deduces that \([C1]\) Surface is other than body, i.e. that what has three dimensions; and if it is other than that, \([C2]\) it cannot have three dimensions, since if it did it would be the same as body, for having three dimensions is what body has its being in. (7) However, \([I2]\) surface is seen to have length and width; therefore it cannot have depth; therefore it \([C3]\) has just the two dimensions. 42

The opening formula ‘to speak about principles’ (τὸ περὶ ἄρχων λέγειν) in \((T5.1)\) is general enough to suggest that for Alexander dialectic is a special science of the first principles. However the argument that follows shows something rather different: the role of dialectic consists in answering the philosophical or sceptical objections against the geometrical principles. Alexander’s exact sources for this whole argument are difficult to track down. The principles listed in \((T5.2)\) are post-Aristotelian and correspond verbatim to the Euclidean definitions.43 The complex objection of the critics of geometry \((T5.3)\) can be related to a long tradition going back from Sextus Empiricus through the Epicureans, Stoics, possibly earlier Pyrrhonists, to Protagoras, and the Eleatics.44 The objection points up the inconsistency between the physical concept of magnitude and the geometrical concepts of point, line, surface. We don’t have any further information about the position of Alexander’s challenger: it can be a dialectician, sceptic, or a corporealist of some sort. The two arguments are spelled out for the case of point: (i) it is unsound: that which cannot contribute to the increase or diminution [of a physical magnitude] does not exist, and (ii) it is inconceivable because it lacks extension. The same arguments mutatis mutandis are implied for the lines and surfaces. We shall consider Alexander’s argument in defense of surfaces, focussing on its form and function.

In a nutshell, Alexander argues that the concept of surface as distinct from body that is used by geometries is both sound and conceivable. Alexander says in \((T5.6)\) that a dialectician obtains (presumably from the interlocutor) two premisses: one of them \([P1]\) is a familiar ‘less scientific’ definition of a surface as a limit of body, and another \([P2]\) is an analytical statement that limit is other than the body.

42 In Top. 30, 18-31.4: (1) ὅτι δὲ διαλεκτικῶ ἐστὶ τὸ περὶ ἄρχων λέγειν, ἐντεῦθεν δὴλον ἂν γένοιτο. (2) ὁ γεωμετρὴς τίθεται μὲν ἐν ταῖς ἄρχαις καὶ τὸ ἐπιφάνειαν εἶναι ὃς μῆκος καὶ πλάτος μόνον ἔχει, τίθεται δὲ καὶ γραμμὴν μῆκος ἀπλατές, καὶ σημεῖον οὐ μέρος οὐδέν. (3) ἐνίστανται δὲ πρὸς ταύτα τινες λέγοντες (a) μήτε τι μέγεθος δύνασθαι διαστήματα δύο ἔχειν μόνα, (b) ἐτὶ δὲ ἦτον ἄν. (c) άλλα μὴ δημιουργεῖται τιλὶ ὅπως εἰναι: (d) μηδὲν γὰρ εἰναι ὃς μήτε ἀσαφεῖσθαι μειοῖ μηδὲν ἐνδοξον ἀνώτερον ἄρα μὴν ἔχειν εἶναι τὸ τοῦ ἐνδοξον πέρας, ὡς ὁ Ἑλεάτης ἔλεγε Ζήνων- ἀδιαστάτου γάρ μηθε𝑝οσαῖν τινα δύνασθαι λαβεῖν. (4) γεωμετρικῶς μὲν οὐν οὐχ οἶον τε τι τούτων ὡς ὅν δεῖξαι. (5) ὁ δὲ διαλεκτικὸς οὐκ ἀπορηθει δι’ εὐνόμων αὐτὰ συστῆσαι. (6) λαβὼν γὰρ ἐνδοξον τὸ τῆς ἐπιφάνειαν πέρας εἶναι τοῦ όμοίους καὶ τὸ τὸ πέρας εἶναι ἄλλο τοῦ ὃς ἐστιν πέρας, καὶ τούτῳ τῇ ἐπαγωγῇ συστήσας συνάγει τὴν ἐπιφάνειαν ἅλλην εἶναι όμοίους, τούτ’ ἐστι τοῦ τριχῆ διεστῶτος: εὶ δὲ ἄλλη, οὐχ οὖν τι ἔχειν αὐτὴν διαστάσεις τρεῖς: ἴν γὰρ ἂν οὕτως ἡ ἄυτη τῷ όμοίῳ ἐν τούτῳ γὰρ ἐκείνῳ τὸ εἶναι: ἄλλα μὴν ὀρᾶται μῆκος καὶ πλάτος ἔχουσα: ἀδύνατον ἄρα αὐτὴν βάθος ἔχειν· τάς δύο ἄρα μόνας ἔχει διαστάσεις, μῆκος καὶ πλάτος.

43 Elem._defs. I 1.2.5.

44 On Sextus and his Hellenistic sources, see Mueller 1982, Dye & Vitrac 2009. For Protagoras’ criticism of geometry, see Aristotle, Meta. 3.2, 997b35-998a6, Alexander in Meta. 200, 18-21. Alexander’s quotation from Zeno in \((T5.3)\), may be taken by them from Eudemus’ Physics commentary: see Simplicius in Phys. 138,29-139,2.
The reference to the inductive confirmation at this point is instructive: it seems to take care of the ‘conceivability’ argument, since Alexander places a very high value on the inductive arguments in dialectical reasoning. In this particular argument he may be making use of Aristotle’s defense of geometrical objects. Sextus reports that Aristotle defended the geometrical definition of line against a criticism similar to our (T5.3b) in and argument ‘by privation’ (στερηματικός) with the help of an illustration from ordinary experience:

(T6) (1) yet Aristotle affirms that the length without breadth they talk of is not inconceivable but can come into our minds without any difficulty. (2) He bases his argument on an obvious and clear example. (3) Thus we perceive the length of a wall, he says, without thinking simultaneously of its breadth, and therefore it will be possible also to conceive of the “length without any breadth” talked of by the Geometers, seeing that “things evident are the vision of things non-evident”; (4) but he is in error, or perhaps humbugging us. (5) For whenever we conceive the length of the wall without breadth, we do not conceive it as wholly without breadth but without the breadth which belongs to the wall. And thus it is possible for us by combining the length of the wall with a certain amount, however small, of breadth to form a conception of it; so that in this case the length is perceived not without any breadth at all, as the Mathematicians claim, but without this particular breadth. (6) But Aristotle’s problem was to prove not that the length talked of by the Geometers is devoid of a certain breadth, but that it is wholly deprived of breadth; and this he has not proved.46

Alexander in (T5.6) seems to be using the same strategy of arguing from privation. However, he does not rely on induction for indicating the scope of privation: this scope is taken to be universal in the second premiss [P2]: a limit is other than that which it limits. The inductive argument is supposed to support the endoxic premisses. Alexander does not flesh out the inductive argument, but only outlines its place in the structure of the whole.47 Thus, the valid deduction from [P1] and [P2] gives us a conclusion [C1] that surface is other than the body. It is as sound as a proper conclusion supported by very credible (if not true) premisses can be. Then it is easy to derive a corollary [C2] that surface cannot have three dimensions, and using it again as premiss in combination with the second inductive argument [I2], viz. everyone can see that a surface has two dimensions, it is possible to establish a valid conclusion [C3] that surface has only two dimensions. Once again, the conclusion is very credible and derived by a valid deductive procedure. It cannot be considered a truth of geometry, but it is useful for geometry because it helps justify its theoretical project and defend it from criticisms. So dialectical method does not form a part of method in geometry, but dialectic ‘has a way’ to the principles of geometry. This is

45 Aristotle fr. 29 Rose.

46 (1) φηκεί-entering in the body of things non-evident. (2) ἴσης, στέρησις (στέρημα) (στερηματικός) χωρίς, ἀδιάνόητον τοιοῦτον ἡμῖν ἔλθειν. (3) τὸ γόνον τοῦ τοίχου μήκος, φηκεί, λαμβάνομεν μὴ συνεπήλλαντες αὐτὸν τῷ πλάτει, διότερ ἐνείσται καὶ τὸ παρὰ τὸ γεωμέτρας λεγόμενον μήκος καὶ μὴ εἶναι δύνασθαι ἀπλατές φησὶ ἀλλὰ τούτων μὴ. (4) ὅταν γὰρ τοῦ τοίχου μήκος χωρίς πλάτους νοώμεν, οὐ χωρίς παντὸς πλάτους αὐτὸ νοώμεν, ἀλλὰ χωρίς τού περὶ τῷ τοίχῳ καθεστῶτος πλάτους. (5) οὐκ ἀπέδειξεν ἀπόκρισιν οὐχ ἵπτος τὸν καθέστατος πλάτους, οὐκ ἀποκρισάτας τὸ τοῦ τοίχου μήκος τινὶ πλάτει καὶ ὄσφηματος νόησιν αὐτοῦ ποιεῖσθαι· ὅπερ τὸν μήκος λαμβάνομεθα τὰ νῦν ὑπὸ τοῦ πλάτους πλάτους, καθάπερ ἀξιοῦσιν οἱ ἀπὸ τῶν μαθημάτων. (6) ὅταν γὰρ τὸν μήκος τινὶ πλάτους ἀποκρισάτας τὸν χωρίς. (7) ὅταν τὰς μέγιστας λεγόμενον μήκος, ἀλλὰ ὅτι πάντος ἐστήρησιν πλάτους ὑπὸ τοῦ πλάτους πλάτους.
what dialectic does with regard to the first principles of all sciences, including the first philosophy.

Since the context of a dialectical argument is a debate against the opponents it might be legitimate to ask a question: would Alexander be able to win this dialectical joust against a Sceptic, or an Epicurean, or a Stoic? Does Aristotelian dialectic compete effectively against persuasive strategies developed by other philosophical schools? Aristotle in Topics 1.3 says that the mastery of dialectical method is similar to that of medicine and rhetoric: it is impossible to develop a winning strategy that would suit all individual circumstances, but the method presupposes that none of the winning opportunities that depend on the dialectician have been omitted.48

Alexander elaborates on this and explains that dialectic belongs to the so called ‘stochastic’ arts, which ‘do not proceed by definite steps, but also require an understanding appropriate to them with a view to accommodating the circumstances and ordering what is said and done in such a way that this order makes it practically effective’.49 This is how he details the task of dialectic following Aristotle:

(T7) ‘[Aristotle] says that our command of it will be complete when we have not omitted any of the things that can be used in a dialectical argument conducted in a plausible way over the set thesis. For it is not required of the dialectician that the interlocutor should always be led into a contradiction, just as it is not required of the orator always to persuade: his task is to omit nothing that is persuasive with a view to making the issue credible’50 (trans. van Ophuijsen)

In non-stochastic arts, which operate in accordance with well-defined methods, the function (ἔργον) of the art coincides with the production of the end-result (τέλος): the task of house-building is to build houses, and the task of weaving is to produce woven fabrics. Houses and fabrics are also their end-results. In the stochastic arts, the end-result depends not just on following all the prescriptions of the art, but also on the external factors which are outside the control of the practitioner of the art. Thus, the function of a physician is to do everything possible to cure the patient, but not simply to cure the patient. Curing could happen as a result of unskilled help, as a matter of luck: such case would not count as an achievement of medicine. On the other hand, valid rule-based efforts of an excellent doctor are sometimes unsuccessful because of the nature of the case.51

Alexander’s elaboration on this short chapter may be helpful for understanding his view on the role of dialectic in philosophical discussions. The task of ‘omitting no possibility’ presupposes that the dialectician has full command of the method of dialectic on the scale as presented in the Topics 2-7, and knows how to exploit all these prescriptions for a winning strategy in a particular case. In the case of aporetic argument in utramque partem the dialectical method is applied so as to make equal provisions for both the thesis and antithesis. This amounts to a methodological requirement for a dialectician to make sure that both the opposite positions have been properly examined, with their strong and weak points. This must be the implicit reason why dialectic may somehow ‘hit’ on the truth, even

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48 Alexander In Top. 32, 17-20 (trans. van Ophuijsen): ἢ μάλλον ὥστε τὸν ἀναγκαίον νους ὅτι κατὰ ώρισμένα τινὰ προσέχειν, ἀλλὰ δεῖ καὶ ὁπικές συνέσεως αὐτοῖς πρὸς τὸ ἀρμόσασθαι τα τὸ προσπίπτοντα καὶ τάξαι τὰ γίνομένα τε καὶ λεγόμενα ὡστε ταχθέντα χρήσιμα γενέσθαι.

49 In Top. 32, 22-26: φησὶ δὲ τότε ἠμᾶς τελείως ἔξειν αὕτην, ὅταν τῶν ἐνδεχομένων εἰς τὸ προκείμενον ἐνδέχεσθαι ἐπιχειρήθην διέργειν μηδὲν παραλίπωμεν· οὔδε γάρ τὸ πάντως εἰς ἀντίφασιν περιαγαγεῖν τὸν διαλεγόμενον ἔργον τοῦ διαλεκτικοῦ, ώσπερ οὔδὲ τοῦ ῥήτορος τὸ πεῖσαι, ἀλλὰ καὶ τούτῳ τὸ μηδὲν τῶν εἰς πίστιν τοῦ προκειμένου πιθανὸν παραλιπεῖν.

50 Alexander in Top. 32,12-34,5.
if it is not able to establish the truth in the way the scientific demonstration can. Alexander’s use of dialectic in the discussion of aporiae in *Metaphysics Beta* can illustrate this approach in more detail.

### 3. Aporetic method and exegesis

In his *Metaphysics* commentary, Alexander explicitly connects the utility of aporiae with the utility of dialectic discussed in the *Topics*:

**(T8)** These remarks about the need first of all to work through the aporiae would also show the usefulness of dialectic for philosophy and for the discovery of truth. For it is characteristic of dialectic to work through aporiae and to argue on both sides [of a case]. So what was said in the *Topics* [1.2], that dialectic is useful for philosophical inquiries, is true. (trans. Madigan)

The *Topics* account of dialectic informs Alexander’s interpretation of the aporiae in the *Metaphysics Beta* as arguments largely from endoxic premisses, ‘verbal’ and ‘dialectical’. In his closing summary characterisation of the arguments in *Beta* he says:

**(T9)** The aporiae presented in *Beta* contain arguments from accepted opinions and conducted on the level of plausibility. And indeed it is impossible for people to argue for opposed positions, except by using verbal arguments: for nor could the aporiae be solved, if this were not the case. (trans. Madigan, lightly modified)

The claim that the aporiae cannot be solved unless such verbal, endoxic arguments are used, merits attention. Alexander does not seem to be saying that the principles from which a solution can be demonstrated are somehow established in a dialectical argument. This would indeed involve a much stronger view of dialectic than what we have seen in the *Topics* commentary. But Alexander’s claim here seems to be rather counterfactual: if, *per impossibile*, one could demonstrate both the thesis and the antithesis of an aporia, then such an ‘aporia’ would not have had any solution. Such an ‘aporia’ would amount to sustaining the view that both A and not-A are genuinely and demonstrably true, which is clearly an impossibility. So in a way the demonstrative weakness of dialectical method may prove to be a methodological asset, because it allows us to inspect and sort through a wide range of arguments.

It has been noticed that in the *Metaphysics Beta* commentary, Alexander on several occasions uses the words ‘dialectical’ and ‘verbal’ in a special meaning when referring to the parts of aporetic arguments or which do not look very strong (and sometimes have also logical faults). This distinction between the good and bad arguments is presumed to be

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52 *in Meta.* 173,27-174, 4: διὰ δὲ τῶν προειρημένων περὶ τοῦ δείν διαπορεῖν πρῶτον εἰπή ἀν αὐτῷ δεικνύμενον ἄμα καὶ τὸ χρήσιμον τῆς διαλεκτικῆς πρὸς φιλοσοφικάν καὶ τὴν τῆς ἀληθείας εὐρεσι- τῆς γὰρ διαλεκτικῆς τὸ διαπορεῖν καὶ ἐπιχειρεῖν εἰς ἐκάτερα. ἀληθὲς ἄρα τὸ ἐν τοῖς Τοπικοῖς εἰρημένου τὸ χρήσιμον εἶναι τὴν διαλεκτικὴν πρὸς τὰς κατὰ φιλοσοφικάν ζητήσεις.

53 The English translation by Madigan has ‘merely verbal’ in the last sentence, but ‘merely’ is not in the Greek, and as we have seen, Alexander tends to use ‘verbal’ as a synonym of ‘dialectical’.

54 *in Meta.* 236, 26-29: Ταῦτα τὰ ἐν τῷ Β ἡπορημένα, ἐξ ἐνδόξων τὰς ἐπιχειρήσεις ἔχοντα καὶ κατὰ τὸ πιθανόν· καὶ γὰρ οὐδὲ οἴνον τε ἐς τὰς ἀντικείμενα ἐπιχειροῦντας μὴ λογικαῖς ἐπιχειρήσεις χρήσασθαι· οὐδὲ γὰρ ἂν λύονται δύναντο, εἰ μὴ εἰχεν οὕτως

based on a ‘proleptic’ reading of the aporiae by Alexander. Arthur Madigan observes in the preface to his translation of Alexander’s *Metaphysics* commentary:

**T10** (1) Where a developmental theorist might read large parts of *Metaphysics* 3 as indicating honest perplexity on the part of an Aristotle who feels the force of opposed positions and strives to accommodate truth in them, Alexander reads the book in the light of his knowledge of Aristotle’s system, and so distinguishes, at least part of the time, the arguments which are merely dialectical from the arguments which are well founded. (2) At no point does Alexander suggest that Aristotle himself is seriously perplexed. (3) Perhaps surprisingly, however, Alexander does not volunteer information about how or where in *Metaphysics* the aporiae are supposed to be solved.\(^{56}\)

Thus it is suggested that Alexander perhaps imports the elements of Aristotle’s ‘official’ doctrine into his interpretation of the aporiae in the *Beta*. One might even suggest that he is doing this as part of his general strategy of systematic exegesis.\(^ {57}\) But if this is how the exegetical strategy works, one might raise a question about the function of the aporiae in the commentary: can they still be seen as genuine puzzles rather than the necessary elements of composition, where the reader of a commentary is expecting to see the answers instead of questions?

Let us consider as an example Alexander’s discussion of Aristotle’s argument for the existence of form and matter as constituents of a sensible substance in Aporia 8. Aristotle here operates with some elements of his hylomorphic theory which with hindsight might be developed into a full solution.\(^ {58}\)

The question discussed by Aristotle in this aporia, which he calls ‘the most difficult and the most necessary to consider’ is as follows: is there, or is there not, anything apart from sensible particulars? The solution he canvasses is that what exists apart from particulars is not the genera or species, and not the separate entities at all, but form and matter, the hylomorphic constituents of substance.\(^ {59}\) We shall look at the part of the argument which derives the existence of form and matter from the existence of coming-to-be and change. I present its structure below as a sequence of three arguments, because this is how Alexander construes it.

**T11** (1) [**Argument for the eternity of matter**] (i) [If there is nothing besides the particulars] there would not be anything eternal nor yet motionless (since all objects of sense perish and are subject to motion). (ii) But if nothing is eternal, *even coming to be is impossible*: for that which is coming to be must be something and so must that from which it is coming to be; (iii) and the last of these must be ungenerated (if (iv) the series comes to an end and (v) nothing can come to be out of non-being).

(2) [**Argument for the limit**] Furthermore, if *coming to be and motion exist*, there must also be limit. For first: no motion is unlimited; rather every motion has an end; and secondly: nothing can be in process of coming to be if it is incapable of getting into being, and that which has come to be must (at the first moment of having come to be) be.

(3) [**Argument for the eternity of form**] Furthermore, if *there exists matter* (because of its being ungenerated), it is yet more reasonable by far that there exists essence/substance: that which the matter is coming to be. For if there is neither essence/substance nor matter, there will be nothing at all; but if that is impossible, there must be something besides the concrete whole, namely the shape and the form.

\(^ {56}\) Madigan 1992, 79.

\(^ {57}\) As explained by P.L. Donini 1994 ([2011], 226).

\(^ {58}\) In fact, the argument was used by scholars as an example of Alexander’s own interpretation of Aristotle’s theory of form, see n. 76 below.

\(^ {59}\) For recent analysis of Aristotle’s aporia, see Broadie 2009.
(4) [A difficulty with this position]: But, on the other hand, if one does posit this, there is a difficulty: in which cases shall one posit it, and in which not? That it is impossible to do so in all cases is obvious. For we would not suppose there to be a house besides the particular houses.\(^{60}\) (trans. Broadie)

The argument is summarised by Alexander as follows: ‘[He says this] to prove that if there is not something eternal, neither will there be becoming; and if there is no becoming, neither will there be things generated; and if there are no things generated, neither will there be sensibles. From which it follows that if only sensible things exist, then even sensible things do not exist.\(^{61}\) Alexander points out that the ‘eternity’ requirement in (T11.1.i) is derived as a conclusion of endoxic argument. In Aristotle’s system the eternity would not be ruled out by the absence of anything other than sensible substances, since the heaven is both sensible and eternal.\(^{62}\) However, Alexander treats the subsequent steps in the argument as relatively independent from this endoxic derivation.

This is how he sets out the first problem of eternity (= T11.1.ii):

\[T12\] (1) That if there is not something eternal neither will there be becoming, Aristotle proves in the following way. (2) If something comes to be, it is necessary that there be [i] something that [it] is coming to be, that is, that which the thing coming to be is coming to be, and [ii] different from this, that from which it is coming to be. (3) For example, if a man is coming to be, there must be and must be able to be, both [i] that which a man is coming to be (for, if man were not already in existence, a man could not come to be - so man, which it is said to come to be, must exist as something) - and in addition [ii] that from which this man comes to be (for everything that comes to be comes to be from what is unlike itself; for if it were it, it could not not being it); this is the subject, matter.\(^{63}\)

The two constituents of the process of change whose eternity will be proved are called [i] ‘that which [a thing coming to be] is coming to be’ and [ii] ‘subject, matter’. Alexander’s example does not spell out the exact ontological status of ‘that which’ [i]: it could be form,

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\(^{60}\) Meta. 3.4, 999b4-28: (1) ἐτι δ’ οὐδ’ ἀόιδον οὐθὲν οὐδ’ ἀκίνητον (τά γάρ αἰσθητὰ πάντα φθείρεται καὶ ἐν κινῆσεi ἔστιν): ἀλλὰ μήν εἰ γε ἄοιδον μηθὲν ἔστιν, οὐδὲ γένεσιν εἶναι δυνατόν. ἀνάγκη γάρ εἶναι τó τὸ γεγομένον καὶ ἐξ οὗ γίγνεται καὶ τούτων τὸ ἐσχατον ἀγένεσθαι, εἰπέρ Ἰσταται τε καὶ ἐκ ἕν ηδόντος γενέθαι ἀδυνατον. (2) ἐτι δε γενεσιως ὁυσης και κινησιως ἀνάγκη και πέρας εἶναι (οὔτε γάρ ἀπειρός ἐστιν οὐδεμία κίνησης ἀλλά πάσης ἐστι τέλος, γιγνεθαι τε οὐ ὤιν τε τὸ ἀδύνατον γενέθαι: τὸ δε γεγονὸς ἀνάγκη εἰναι ὅτε πρῶτον γέγονεν): (3) ἐτι δι’ εἰπερ η ὑλη ἐστι διὰ τὸ ἀγένεσθαι εἰναι, πολὺ ἐτι μᾶλλον εὐλογον εἶναι τὴν οὐσιαν δο τοτε ἐκεινη γίγνεται: εἰ γάρ μητε τουτο ἐσται μήτε ἐκείνη, οὐθὲν ἐσται το παρὰ παιν, εἰ δε τοῦτο ἀδυνατον, ἀνάγκη τε εἰναι παρὰ τὸ σύνολον, τὴν μορφὴν καὶ τὸ εἴδος. (4) ει δ’ οü τε τοῦτο θῆσαι, ἀπορία ἐπι τῶν τε θῆσαι τοῦτο καὶ ἐπὶ τῶν οὐ. ὅτι μεν γάρ ἐπὶ πάντων οὐν οἰν τε, φανερον: οὐ γάρ ἀν θείημεν εἰναι τινα οἰκίαν παρὰ τάς τινας οἰκίας.

\(^{61}\) In Meta. 212, 25-27.

\(^{62}\) To the same effect, Broadie 2009, 142: ‘Aristotle is ignoring his own heavens and stars’.

\(^{63}\) In Meta. 212, 27 - 213, (1) ὅτι δε εἰ μή ἑστι τι ἄοιδον, οὐδὲ γένεσις ἔσται, οὔτως ἔδειξεν. (2) εἰ γίγνεται τι, ἀνάγκη εἰναι τι τὸ τε γεγομένον, τούτεστιν ὁ γίγνεται τὸ γεγομένον, καὶ ἀλλο τοῦτο τὸ ἐξ οὗ γίγνεται: (3) οἰον εἰ ἀνθρώπος γίγνεται, δει εἰναι καὶ δύνασθαι εἰναι καὶ τοῦτο ὁ γίγνεται ἀνθρώπως (ἀνωτάκτου γὰρ ὄντος τοῦ ἀνθρώπου οὐδ’ ἀν γένοτο ἀνθρώπος, δει οὖν εἰναι τι τὸν ἀνθρώπων ὁ λέγεται γιγνεθαι), καὶ ἐτι τὸ εξ οὗ γίγνεται οὕτως: πᾶν γὰρ τὸ γεγομένον εξ οὐ τοιούτου: εἰ γάρ η τοῦτο, οὐκ ἂν ἐγίνετο: τοῦτο δέ ἐστι τὸ ὑποκείμενον καὶ ὑλή.
but it could also be an instance of a kind. Alexander’s example of man in [T12.3.i] suggests that the coming to be requires the presence of an instance of a kind ‘man’.  

Strikingly, Alexander understands matter as prime matter rather than the last proximate matter. He is surely familiar with the account of hylomorphic compound in Meta. Z 8-9, where the ungenerated matter of the bronze sphere is bronze rather than the liquid or the prime matter. Alexander would have no difficulty supplying a suitable example for a living substance. But this more nuanced view is consciously omitted. His reason, I think, is that in a dialectical argument he envisions, any proximate matter can be considered as a sensible compound which itself has been generated. To avoid a regress, it is necessary to make a case for matter isolated from form.

The eternity of matter is established by two arguments as indicated by Aristotle in [T11.1.iii]: the reduction to the infinite regress and the reduction to the generation ex nihilo. The latter argument is explicitly said to be accepted as a ‘common opinion’ of the students of nature. Alexander fails to see the case for form in Aristotle’s second argument [T11.2] and takes ‘limit’ to refer to the temporal point of completion of the process of coming to be. He develops a tortuous interpretation supplying an additional premiss ‘forgotten’ by Aristotle, namely that everything that has the end-point (= limit) must have a starting point (ἀρχή), thus turning this argument into a third proof of the ultimate prime matter.

Alexander introduces Aristotle’s proof of the eternity of form [T11.3] as following upon the proof of the eternal ungenerated prime matter:

(T13) (1) Having proven, then, that the primary subject must be ungenerated, and that coming to be does not go on to infinity, Aristotle now proves that the form, which comes to be in the matter,
must be eternal as well, (2) thereby proving and establishing that there will be some unitary eternal substance.\(^2\) (3) For if there is a nature of matter, then it is all the more reasonable for there to be this essence, which the matter receives; this is what he indicated by saying 'whatever the matter comes to be' [999b14]. (4) By 'essence' he means 'form'. For that according to which each thing has being is essence. (5) For matter, having received form, presents that which is coming to be from it as that which has come to be, that is as that which it receives and that which it becomes. (6) That it is reasonable, then, for the form too which the matter receives to pre-exist, being eternal, Aristotle proves as follows. (7) Just as it was impossible for anything to come to be if the subject did not exist, so too it would be impossible for there to be becoming, if that which the subject receives did not exist. (8) Aristotle says this in the words: 'for if neither the latter nor the former is to be, nothing will be there at all [999b14-15] which is equivalent to 'for if both did not exist, the matter and the form, both eternal, nothing at all could come to be'. (9) Aristotle makes it clear that this is his meaning saying: 'it is necessary that there exist something distinct from the composite: the shape, the form' [999b16], meaning by the composite that which has come to be, which is conjoint and sensible . . . (11) He rightly assumes that, as matter [exists as eternal], there must also exist some eternal form - not that the form which comes to be in the matter must be this; it is rather the productive [form] which, if it is like the form that is produced, would be in some manner pre-existent.\(^3\) (trans. Madigan, lightly modified)

In (T13.3), Alexander says that the existence of form follows a fortiori since the being of matter has been established independently, and since it has been assumed that there is the coming to be. The small, but important addition Alexander makes here (T13.4) is that essence is that which each thing is. In the Topics commentary, Alexander gives as an example of the indemonstrable principle: 'Of each of the things that are, the form is that according to which it is'.\(^4\) But in our argument (T13.3-5) it is not used as a premiss of demonstration. This argument shows that the form must reasonably exist given the coming to be and the matter.

The eternity of form is proved at the next step (T13.6-11). Again Alexander signals that this conclusion is established as reasonable (T13.6). It is reasonable again given what has been established about matter (T13.7), and this time Alexander derives the eternity of form from the eternity of matter, reinterpreting to this effect in (T13.8) Aristotle's rather weaker and more ambiguous wording to say precisely that the coming to be would not be possible unless both matter and form were eternal. We can see that at this point Alexander

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\(^2\) I am inclined to mark this whole section (T13.2) as a possible gloss: although it does not necessarily conflict with the rest of Alexander's argument, the adjective μοναδικός is a hapax in the extant corpus of Alexander, but frequently occurs in Michael of Ephesus’ commentary on Metaphysics E-N.

\(^3\) 214, 24 - 215, 18. (1) δεῖξας οὖν ὅτι τὸ πρῶτον ὑποκείμενον δεῖ ἀγένητον εἶναι καὶ οὐκ ἐπὶ ἁπλοῦν γίνεσθαι ἄλλο ἢ ἀλλᾶν, νῦν δείκνυσιν ὅτι καὶ τὸ εἴδος, ὃ γίγνεται ἐν τῇ ὑλῇ, εἶναι δεῖ αἰῶνα. (2) δεικνύον διὰ τοῦτον καὶ κατασκευάζων ὃ ἐστὶν τὶς οὐσία μοναδική ἁγία, (3) εἰ γάρ ἐστι φύσις τῆς ὑλῆς, εὐλογήτωρ τὴν οὐσίαν εἶναι ταύτην, ἢ ἡ ὑλὴ δέχεται· τοῦτο γὰρ ἐδήλωσε διὰ τοῦ εἶπεν ὃ ποτε ἐκεῖνη γίγνεται. (4) οὕσιν δὲ τὸ εἴδος λέγει· καθ' ὁ γὰρ ἐκάστω τὸ εἶναι, τοῦτο οὖσι: (5) ἢ γὰρ ὑλὴ δεξιάμενη εἴδος παρέχεται τὸ γινόμενον ἢς αὐτής γεγονός, πολύτιμος ὁ δέχεται τε καὶ γίνεται. (6) ὅτι οὐκ εὐλογὴν καὶ τὸ εἴδος προϋπάρχειν αἰῶναν, ἢ τὸ ὑλή δέχεται, οὕσιν δεικνύον. (7) ὥσπερ μὴ οὖσι ὁ πρῶτος ὑποκείμενον ἁγίαν ἤ γεννηθῇ τι, οὔτω καὶ μὴ οὖσι τοῦ τὸ δέχεται τὸ ὑποκείμενον ἁγίαν γένεσιν εἶναι: (8) δὴ εἰπὲ διὰ τοῦ εἰ γάρ μὴ τοῦτο ἢ εἶπεν δέχεται τοῦτο εἰπέν δὲ τὸ παράπλαν, ὃ ἐστιν τῷ εἰ γάρ μη ἁμόφωτα εἴπη ἢ τῇ ὑλῇ καὶ τὸ εἴδος αἰῶνα, οὐδὲν ἃν τὸ παράπλαν γένεσιν. (9) ὃ γὰρ τοῦτο λέγει, ἐδήλωσεν καὶ ἐκείνῳ ἢ ἀνάγκη εἰς καὶ παρὰ τὸ σύνολον τὴν μορφήν καὶ τὸ εἴδος, τὸ μὲν σύνολον λέγειν τὸ γεγονός, τὸ συναφέροντος καὶ αἰσθητοῦν. (10) δεῖν δὲ ἐκάστορν εἶναι λεγόν, ε不合格 ἢ εἰς τὸ σύνολον, τὴν τῇ ὑλῇ καὶ τὴν μορφήν καὶ τὸ εἴδος, ἢ ποτὲ μὴν εἴπομεν (λέγει γὰρ, εἴ μὴ τοῦτο ἢ εἰς τῇ ὑλῇ εἰς ἀκέραιον καὶ τῷ εἴδος καὶ τῇ υλῇ, οὐδὲν ἢ εἴπομεν τὸ παράπλαν) ἐπίθεντο, καὶ δὲ τοῦτο ἱδοντος, λέγοντος τὸ μορφόν ἢ εἰς ἑαυτὸν ἢ εἰς ἑαυτὸν ἢ εἰς τῷ μορφήν καὶ τὸ εἴδος, (11) δεῖν ἢ ἡ ὑλή δέχεται, τι καὶ εἴδος αἰῶνα, οὐ μὴν τὸ ἄν τῇ ὑλῇ γίνομεν εἶναι δεῖ τοῦτο, ἀλλὰ τοιοῦτον, ὃ εἰ εἰπὲ τῷ ποιουμένῳ ἢ εἰπὲν ἤν προὔπαρχον ἦν.
interprets Aristotle’s phrase ‘if neither the latter nor the former...’ as meaning ‘if not both the latter and the former...’ - violating de Morgan’s law and making a conjunction of negations into a negation of conjunction (T13.8). But this minor logical tour de force is in Aristotle’s interest: otherwise just one hylomorphic component (for instance, matter) would have been sufficient for the coming to be of a compound. Alexander dwells on this point unusually long, perhaps to make sure that the correct meaning comes across despite what is suggested by Aristotle’s text.

Alexander’s final clarification in (T13.11) to the effect that it is not the future enmattered form that possesses eternity, but the productive form which already pre-exists, seems tantalisingly incomplete. How is the eternity of the pre-existing productive form established? Are we to think of some version of infinite regress of forms which will require to stop at the first pre-existent form?

More importantly, there is a question of the force of this claim in Alexander’s interpretation of Aristotle. It could be taken simply as another way of saying that every sublunary living being partakes of eternity through the species, in line with Alexander’s earlier formulations in this text, and in line with Aristotle’s principle ἄνθρωπος ἄνθρωπον γεννᾷ. Alternatively, the expression ‘productive form’ might suggest a stronger version of the theory of form sketched out by Alexander as a part of his own substantialist interpretation of Aristotle’s theory of form.75 Marwan Rashed has plausibly suggested that the passage should be read in this latter sense and understood as Alexander’s response to the earlier nominalist, non-substantialist interpretation proposed by Boethus of Sidon.76

At the same time, Alexander is clearly far from dismissing the antithesis of the position backed by the hylomorphic account in our argument, i.e. the view that nothing exists apart from sensible substances. This view serves as a platform from which to raise further constructive puzzles about the draft hylomorphic interpretation. These include the difficulty (T11.4) which asks about a distinction between the cases where there is an eternal form and those cases where there patently is not any, as in the case of artefacts. Notably, Alexander points out that this difficulty is valid both with regard to the hylomorphic version of the thesis developed so far and against the ‘Ideas’ version (which has not been discussed in this case perhaps to avoid the repetition of arguments that were used against it earlier, in aporiae five and seven). He also elaborates on Aristotle’s next puzzle which asks whether the eternal form (as established in our argument T11.3) is numerically one or multiplied according to the number of sensible substances (999b20-23). Both prima facie answer options seem implausible. A good answer will require a more precise account of form’s presence in matter, which is the subject of the final puzzle, and an account of the way form and matter are combined in the composite substance (999b23-24). Alexander points out that Aristotle deals with this problem elsewhere, ‘inquiring what it is that unifies and holds together the form in matter; there he says that it is the potential character of matter which becomes the cause of [matter’s] grasping the form and [of the form’s] remaining in matter while matter is changing into that which, up to this point, it has been potentially; and clearly this takes place with some pre-existing productive cause’.77

75 A mphly attested in Alexander’s school treatises: Mantissa 5, Quaest. 1.3, 1.8, 1.11, 1.17, 1.26; Ellis 1994, Sharples 2004; Sharples 2005; Rashed 2007; Chiaradonna 2013.


77 Alexander, in Meta. 216, 8-11. There is no clarity on whether Alexander has in mind one particular text, or whether he is referring to some parts of Aristotle’s work more generally. In the Metaphysics, the definition of matter as that which not being a τόδε τι in actuality is a τόδε τι potentially is found in H 1 (1042a17-18). In H2, we have a discussion of the types of combination of matter which account for a variety of kinds of substance. Madigan ad loc. refers to GC 1.3 and 1.4.
Thus, although Alexander’s commentary does not indeed provide a developmental account of Aristotle’s views on aporiae and their solutions, it still is not ‘weighted’ towards the hylomorphic account anticipated by one side of the argument. Rather, it conveys the expectation that the solution of the aporia will be arrived at as a result of many calibrating discussions, removing the implausibilities inevitable in a dialectical discourse and channelling the insights of the fresh starts in such a way as to help resolve these dialectical problems. We cannot consider the hylomorphic theory as a stable, not to mention well-founded, position, until the difficulties raised by the opposite side are answered. In this sense Alexander’s commentary reflects a genuine perplexity, understood not as a merely psychological state overcome by the middle books of *Metaphysics*, but as an objective difficulty without working through which the middle books of *Metaphysics* will be of no avail. This presentation of Aristotle’s argument as a whole may indeed reflect Alexander’s own exegetical concerns boosted by the earlier (and possibly ongoing) debates in the Peripatetic school. In that case, Aristotle’s *aporia* is taken by Alexander as a framework for the living exegetical debate.

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