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Characterization of the tidal resource in Rathlin Sound

Alberto Pérez-Ortiz a, *, Alistair G.L. Borthwick b, James McNaughton c, Alexandros Avdis d

a Industrial Doctoral Centre for Offshore Renewable Energy, School of Engineering, The University of Edinburgh, The King’s Buildings, Edinburgh, EH9 3JL, UK
b Institute of Energy Systems, School of Engineering, The University of Edinburgh, The King’s Buildings, Edinburgh, EH9 3JL, UK
 c General Electric Renewable Energy, 214 Castlemead, Lower Castle Street, Bristol, BS1 3AG, UK
d Applied Modelling and Computation Group, Department of Earth Science and Engineering, Imperial College London, London, SW7 2AZ, UK

Abstract

Tidal resource assessment is presented for Rathlin Sound, located between Rathlin Island and the north-east coast of Northern Ireland. The flow is simulated in 2D, using the shallow water equations. For an M2 tide, the natural flow conditions exhibit local spatial mean and maximum flow speeds of 2 and 3 m/s. Upper limits to power extraction are about 298 MW for M2 and 330 MW for M2+S2 tidal signals (different to undisturbed kinetic power and power naturally dissipated at the seabed). An analytical model of a channel connecting two infinite ocean basins underpredicts maximum power extracted in Rathlin Sound due to changes in head driving the flow and the existence of an alternative flow path. At maximum power extracted, there is substantial reduction in mean flow speeds in the strait and to the south-east of Rathlin Sound. In the strait, maximum power is reduced by 14% and 36% for blockage ratios of 80% and 60%. Power extraction both offshore of the island and in the strait yields higher power generation rates than isolated extraction. Resource assessments for Rathlin Sound are generally in good agreement with those for an idealised strait between an island and landmass.

1. Introduction

Renewable energy technologies are of increasing importance as likely mitigation measures against climate change [1] and the finiteness of fossil fuel resources [2]. Of such technologies, tidal stream energy possesses the advantages of being almost entirely deterministic, unlike wind or solar energy, and having locally high energy density which limits the footprint of tidal projects [3,4]. Determination of tidal resource and the environmental implications of large-scale tidal energy exploitation is nevertheless subject to large uncertainty arising from model limitations, site data measurement errors, the presence of gravity waves and turbulence, etc. [5–7]. Moreover, bed friction is often used as a tuning parameter and this can lead to errors in the results related to the availability and accuracy of site-specific calibration data [8]. To date, assessment of tidal resource has been analysed through two approaches: analytical and numerical analyses of idealised tidal sites [9,10]; and numerical analysis of actual tidal sites [11]. Draper [12] suggested a possible classification of generic coastal sites suitable for tidal stream energy exploitation: a channel linking two infinite ocean basins; a channel linking an infinite ocean basin and an enclosed bay; a headland; and a strait between an island and a landmass. The analytical channel model derived by Garrett and Cummins (GC2005) [9] provides theoretical limits to power extraction in a channel linking two infinite ocean basins and also at a strait between an island and a landmass. The GC2005 model assumes that the head driving the flow in the channel does not change with power extraction, and that flow cannot divert from the channel. Under these assumptions, the GC2005 model computes the maximum average power available for extraction, based on the head driving the flow, the maximum volumetric flow rate through the channel, and the phase difference between the driving head and flow in the channel.

Pérez-Ortiz et al. [13,14] used a numerical solver of the shallow water equations to examine the validity of the GC2005 model in identifying the upper limit to power extraction for an idealised strait between an island (of various aspect ratios) near a landmass and for an isolated offshore island forced exclusively with an M2 tidal signal. The idealised island-landmass model [13,14] comprised an ellipsoidal obstacle representing the island situated in a coastal domain with open boundaries at its west and east ends, and solid walls along the north and south boundaries; the model was run for

* Corresponding author. Present address: Suspro, 1 Diamond Street, Bristol, BS3 3JF, UK.
E-mail address: alberto.perez@suspro.net (A. Pérez-Ortiz).

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a total of 7 tidal cycles, two for ramp-up, three for spin-up, and the final three for resource assessment. In Refs. [13,14], the presence of tidal turbines was included through enhanced bed friction, following the approach originally suggested by Sutherland et al. [15] and Karsten et al. [16]. The GC2005 model was shown to give very satisfactory results for long islands extending parallel to the landmass, but tended to under-predict the maximum power extracted in the strait for short islands. The agreement observed for long islands is due to the insignificant changes in the head drop along the strait with power extraction, and minimal bypass flow offshore of the island. Pérez-Ortiz et al. [13,14] compared the maximum extracted power to the undisturbed kinetic power and the natural power dissipated at the seabed in the strait; parameters that have been used in the past to assess the resource at tidal sites [17]. Except for the case of an island extending parallel to a landmass, where the numerically predicted extracted power is satisfactorily approximated by the power naturally dissipated at the seabed, no clear relationship was found between the maximum extracted power and the undisturbed kinetic power or the natural power dissipated at the seabed in the strait. In addition, the analysis assessed the effects on the limits to power extraction at the coastal site to the choice of numerically specified bottom friction and eddy viscosity, offshore bathymetry, strait blockage, and combined power extraction in the strait and offshore of the island.

Several actual coastal sites that could fall into the category of a strait between an island and a landmass have previously been investigated. The extractable power of the Pentland Firth, a strait located between the north coast of Scotland and the Orkney Islands, was assessed by Adcock et al. [18] and Draper et al. [11]. Extracted power estimates by Draper et al. [11] are in good agreement with the predictions by GC2005. Close agreement between the numerical and GC2005 limits to power extraction was also found by Sutherland et al. [15] for the Johnstone Strait, located between Vancouver Island and the west coast of Canada. At both tidal stream sites, the island extends a long distance along the coast, and so, according to Pérez-Ortiz et al. [13] the GC2005 model is well within its range of applicability.

This paper presents a resource assessment of the Rathlin Sound coastal site, and assesses the validity of the idealised island-landmass approximation by Pérez-Ortiz et al. [13] and GC2005 analytical channel model when applied to an actual site of similar island and strait aspect ratios. Rathlin Sound is an energetic tidal site delimited by Rathlin Island and the north-east coast of Northern Ireland, and located within the North Channel between Ireland and Scotland (Fig. 1). Rathlin Island is about 7.5 km long, and its width ranges from 2 km at its centre and west side to 6 km south to Rue Point at the east side. The breadth of Rathlin Sound ranges from 4 km to 10 km, i.e. of the same order as the length and width of the island. Mean water depths in the strait and offshore of Rathlin Island are 60 m and 180 m respectively. The coast of Northern Ireland possesses three headlands that influence the flow dynamics at Rathlin Sound: Fair Head, located east of the Rathlin Sound; Torr Head, located south-east of Rathlin Sound; and Kinnabe Head, located west of Rathlin Sound. Two interacting tidal flows, one progressing north from the Irish Sea through the North Channel east of Rathlin Sound and a second tide progressing east from the Atlantic, are the primary drivers of flow dynamics within the Rathlin Sound. This result in currents flowing from west to east during flood tide and from east to west during ebb tide. O’Rourke et al. [19] have estimated the potential power generation to be slightly over 100 GWh/year off the north-east coast of Ireland, including Rathlin Sound and sites off Fair Head headland east from Rathlin Island. Lewis et al. [3] assessed numerically the undisturbed tidal stream resource that is hydrodynamically available in the Irish Sea, including the Rathlin Sound, and analysed its dependence on operating water depths and current velocities for present and future tidal technologies.

The paper is structured in four sections. Section 2 describes the underlying methodology and set-up of the Rathlin Sound numerical model. Section 3 describes the natural flow conditions at Rathlin Sound in the absence of power extraction, details the resource assessment of Rathlin Sound and the associated environmental effects, and compares them against the results from the idealised island-landmass study. Section 4 lists the conclusions.

2. Methodology

2.1. Numerical model parameterization

Tidal simulations are undertaken using the finite element code Shallow Fluvianity [21] which solves the non-conservative form of the shallow water equations:

$$\frac{\partial h}{\partial t} + \nabla \cdot (h \mathbf{v}) = 0 \quad (1)$$

$$\frac{\partial \mathbf{v}}{\partial t} + \nabla \cdot (h \mathbf{v} \mathbf{v}) + g \Delta h + C_d \frac{\mathbf{v} \cdot \mathbf{n}}{h} = 0 \quad (2)$$

where \( \eta \) is the free surface elevation above mean water level, \( \mathbf{v} \) is the horizontal velocity vector, \( t \) is time, \( \mathbf{v} \) is the horizontal gradient vector, \( h \) is the total water depth, \( g \) is the gravitational acceleration, and \( C_d \) is the non-dimensional bottom drag coefficient. The model setup is based on Pérez-Ortiz et al. [13] following guidelines for coastal and tidal power extraction modelling provided by the FLuvianity developers [22,23]. A \( P_{1DDP2} \) mixed finite element discretization scheme is employed, which is linear discontinuous Galerkin for velocity and quadratic continuous Galerkin for pressure. The momentum equation is temporally discretised using the backward Euler scheme [24]. A Generalised Minimal Residual Method (GMRES) solver with a Successive Over-Relaxation (SOR) pre-conditioner [22] is employed to resolve both velocity and pressure fields. The tolerance in the absolute error solution and the maximum number of iterations are specified as \( 10^{-7} \) and 1000 respectively for both velocity and pressure fields. Viscosity is implemented by means of a depth averaged parabolic eddy viscosity empirical model [25]:

$$v_t = \frac{k}{6} \left( C_d \left( u^2 + v^2 \right) \right)^{1/2} h \quad (3)$$

where \( k = 0.41 \) is the von Kármán constant, and \( u \) and \( v \) are the depth-averaged velocity components. Wetting and drying is not implemented in the model because the length scale of the intertidal regions is relatively small compared to the model resolution and domain extension. Nevertheless, a minimum depth of 5 m is prescribed in the domain to avoid numerical instabilities at areas of the domain with high tidal ranges. Coriolis effects are uniform across the domain and computed from:

$$f = 2\Omega \sin \lambda \quad (4)$$

where \( \Omega \) is the frequency of the Earth’s rotation, and \( \lambda \) is the latitude of the Rathlin Sound, taken as 55.25° N. Other site-dependent parameters such as atmospheric pressure, wind, or wave conditions are not included in the numerical model. The time step is set at 60 s to limit the Courant-Friedrichs-Lewy number to be within \( O(1) \).

The presence of turbines is included in the model through addition of an equivalent seabed friction coefficient \( k_f \) or extraction level, over the footprint area of the array \( A_f \) [11,15,16]. The extraction level \( k_f \) is defined as follows:
where $N_T$ is the equivalent number of turbines, $A_T$ is the turbine rotor projected area; $A_S$ is the turbine support structure projected area ($A_S = 0.1A_T$); and $C_T$ and $C_D$ are the thrust and drag turbine coefficients (assumed constant and equal to 0.8 and 0.9 respectively) [26]. Here the turbines are assumed to have 20 m rotor diameter and a power rating, $P_R$, equal to 1 MW. The power is then determined by integrating the bed friction over the footprint area, and multiplying by the ratio of the additional bed friction coefficient (representing the added turbines) to the total bed friction coefficient (representing natural conditions and added turbines) following the approach proposed by Sutherland et al. [15]. The additional bed friction coefficient is varied systematically, and the peak power determined. This methodology of power extraction is unable to account for mixing losses at turbine-scale, and so the results represent an upper limit to power extraction [27].

Fig. 2 shows the limits and coastlines of the numerical domain, located within longitudes 3.5–11 °W and latitudes 54.2–60 °N. The domain extends to the edge of the continental shelf, so that the deep water zone attenuates reflected long waves from the coastlines and power extraction zone, before reaching open boundaries [28]. The numerical domain has two solid boundaries defined by the coastlines of Ireland and the United Kingdom and two open boundaries located south-east and north-west of the domain. The domain coastlines were derived from the zero-depth contour of the bathymetry (Fig. 1) in the near-field region. In the far-field region, where the precise detail of the coastline is not likely to affect hydrodynamics at the site, coastlines were obtained from the GSSHG NOAA database [20] at approximately 100 m spatial resolution in Mean Sea Level (MSL) vertical datum.

Site bathymetry data obtained from the HydroSpatial One Grided Bathymetry dataset [20] were converted from lowest astronomical tide (LAT) to the mean sea level (MSL) vertical datum using the Vertical Offshore Reference Frame (VORF) model available for British and Irish waters [29]. Two bathymetry data resolutions were used: 1 arcsec for the area contained within longitude...
and latitude coordinates 4°–8°W and 54°–56°N; and 6 arcsec throughout the rest of the domain. Due to the difference in resolution between VORF, 28.8 arcsec, and the bathymetry used, nearest-point interpolation was used to modify the vertical reference of the bathymetry data. All datasets were in WGS84 geographic horizontal datum.

Sea surface elevation conditions at the open boundaries are derived from the Oregon State University European shelf model [30]. The tidal signal is ramped up over 24 h using the following amplitude multiplier:

$$a_0 = 0.5 \left(1 - \cos \left(\frac{\omega t}{4}\right)\right)$$

(6)

where $$\omega$$ is equal to $$7.27 \times 10^{-5} \text{ rad/s}$$. A further spin-up period of 48 h is implemented to allow the system to stabilise.

### 2.2. Spatial discretization and mesh convergence

The shorelines and open boundaries depicted in Fig. 2 were also selected to facilitate mesh generation. The domain geometry (coastlines and domain boundaries) and mesh size distribution are defined in the open-source Geographic Information System QGIS [31] and imported into the mesh generator Gmsh [32] using qmesh [33]. Given the proximity of the proposed tidal farm to shorelines, a mesh gradating to smaller elements close to shorelines was employed to discretise spatially the domain. The regions identified in Fig. 2 were therefore chosen to distribute optimally the element edge length throughout the domain: In the region of interest (region 1) the mesh features the smallest elements in order to represent the flow dynamics as accurately as possible, whereas in Region 2 and Region 3 the mesh gradates to larger cell sizes in order to capture correctly the flow in the surrounding area. Four meshes were constructed in order to examine the sensitivity and convergence of the results, as summarised in Table 1. The exact variation of the element edge length was described in terms of the distance to the closest shoreline, or proximity functions [33,34]. The proximity function is mapped to a mesh edge length distribution satisfying the following metrics: A constant edge length is maintained over a distance corresponding to 0.15° from the closest coastline, and then linearly increases to a specified edge length over a distance corresponding to 1°. The defined mesh edge length determines the minimum size of the islands and bays that are spatially captured by the grid in the far-field region. Finally, the mesh is generated in the UTM29 zone, the conversion of domain geometry and element edge length is automated by qmesh. Mesh generation and pre-processing of domain coastlines, including deletion of small islands and closure of small shallow bays, are iteratively executed until a satisfactory mesh is achieved.

For the mesh sensitivity analysis, the model is forced solely by an M2 tidal signal at the open boundaries, and solution convergence assessed at four transects which extend north of the coast of Northern Ireland until latitude coordinate 55.375°N: two transects at the west (2) and east (3) ends of Rathlin Sound, and two transects 10 km downstream from the west (1) and east (4) ends of Rathlin Sound. Fig. 3 presents the flow speed, computed as $$|U| = (u^2 + v^2)^{1/2}$$, at peak flow during ebb and flood tide at the four transects for the four mesh cases of Table 1. Mesh convergence appears to have been almost achieved for Mesh 3. However, the solution has not fully converged in Transects 1 and 4 at ebb tide and flood tide respectively, showing that further refinement may be necessary to capture advective flow features generated at the island. Mesh 3 captures the main flow features generated at the island and in the strait, and so is employed in this analysis as it is considered to provide sufficient accuracy for the purposes of resource assessment in the strait. Fig. 4 provides an overview of the mesh, showing local refinement in the area of interest. The open boundaries do not exactly follow the corresponding lines shown in Fig. 2, as Gmsh fits a bspline through the corner points [34]. This was nonetheless found to produce a satisfactory domain representation.

### 2.3. Calibration of the numerical model

Two datasets of field observations are used for calibration of the numerical model: free surface elevation and depth-averaged flow velocity data averaged over 10-min intervals from three ADCPs deployed by DP Marine Energy Ltd [35] south-east of Rathlin Sound (Fig. 1 and Table 2); and sea surface elevation data from three UK tidal gauge stations provided by the British Oceanographic Data Centre [36] (Fig. 1 and Table 3). The tidal gauge stations are: Portrush, on the north coast of Northern Ireland, west of Rathlin Sound; Bangor, in Belfast Lough north-east of Belfast, south-east of Rathlin Sound; and Portpatrick, on the east side of the North Channel, south-west Scotland, south-east of Rathlin Sound.

For the calibration process, the model is forced with 8 harmonic constituents, $$M_2, S_2, N_2, K_2, K_1, O_1, P_1$$, and $$Q_1$$, for 18 days starting on 24th April 2014. The first three days of the simulation correspond to the ramp-up and spin-up of the system; results from the following 15 days covering a spring-neap tidal cycle are used for calibration purposes. The calibration of the numerical model is performed by altering the seabed friction coefficient, $$C_d$$, throughout the domain, for values in the range between 0.001 and 0.005 [37]. For this range of seabed friction coefficients, Tables 4 and 5 compare respectively the normalised measured and computed $$M_2$$ and $$S_2$$ free surface

---

**Table 1**

<table>
<thead>
<tr>
<th>Mesh</th>
<th>Mesh element edge length (m)</th>
<th>Mesh elements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coast 1</td>
<td>1000</td>
<td>100,000</td>
</tr>
<tr>
<td>Coast 2</td>
<td>2000</td>
<td>50,000</td>
</tr>
<tr>
<td>Coast 3</td>
<td>5000</td>
<td>25,000</td>
</tr>
<tr>
<td>Offshore</td>
<td>100,000</td>
<td>147,750</td>
</tr>
<tr>
<td></td>
<td></td>
<td>569,906</td>
</tr>
</tbody>
</table>
Fig. 3. Depth-averaged flow speed distributions at peak flow during ebb tide (left column) and flood tide (right column) at (a) Transect 1; (b) Transect 2; (c) Transect 3; and (d) Transect 4. Mesh 1 (solid line); Mesh 2 (dashed line); Mesh 3 (dotted line); and Mesh 4 (dash-dot line).

Fig. 4. Spatial discretization of the domain: Mesh 3: (a) total mesh domain, (b) sub-domain mesh in North Channel between Ireland and Scotland, (c) close-up of local mesh resolution in the vicinity of Rathlin Sound. Red squares indicate the domain area zoomed in. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
Table 2
Latitude and longitude coordinates of deployed ADCPs, and sampling durations [35].

<table>
<thead>
<tr>
<th>ADCP</th>
<th>Latitude (deg)</th>
<th>Longitude (deg)</th>
<th>Sampling time period (Start – End)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>55.230 N</td>
<td>6.114 W</td>
<td>24/04/2014 – 27/05/2014</td>
</tr>
<tr>
<td>2</td>
<td>55.228 N</td>
<td>6.113 W</td>
<td>24/04/2014 – 04/06/2014</td>
</tr>
<tr>
<td>3</td>
<td>55.222 N</td>
<td>6.090 W</td>
<td>24/04/2014 – 04/06/2014</td>
</tr>
</tbody>
</table>

Table 3
Latitude and longitude coordinates and sampling durations for data collected at the three UK tidal gauge stations in the proximity of Rathlin Sound [36].

<table>
<thead>
<tr>
<th>Station</th>
<th>Latitude (deg)</th>
<th>Longitude (deg)</th>
<th>Time period data (Start – End)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Portrush</td>
<td>55.207 N</td>
<td>6.657 N</td>
<td>27/04/2014 – 28/05/2014</td>
</tr>
<tr>
<td>Bangor</td>
<td>54.665 N</td>
<td>5.669 N</td>
<td>27/04/2014 – 28/05/2014</td>
</tr>
<tr>
<td>Portpatrick</td>
<td>54.843 N</td>
<td>5.120 N</td>
<td>27/04/2014 – 28/05/2014</td>
</tr>
</tbody>
</table>

Table 4
Comparison of normalised measured and Fluidity predicted M2 free surface amplitudes $A’ = A_{\text{measured}}/A_{\text{measured}}$ and phases $\phi’ = \phi_{\text{measured}} - \phi_{\text{measured}} (\text{deg})$ for the ADCP and tidal gauge stations for seabed friction coefficients $C_d$ in the range from 0.001 to 0.005.

<table>
<thead>
<tr>
<th>Location</th>
<th>Model Magnitude</th>
<th>$C_d = 0.001$</th>
<th>$C_d = 0.002$</th>
<th>$C_d = 0.0025$</th>
<th>$C_d = 0.003$</th>
<th>$C_d = 0.004$</th>
<th>$C_d = 0.005$</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADCP 1</td>
<td>$A’ \phi’$</td>
<td>1.10 –10.40</td>
<td>1.03 –3.80</td>
<td>1.03 –0.80</td>
<td>1.00 –2.30</td>
<td>0.97 –8.50</td>
<td>0.97 –14.30</td>
</tr>
<tr>
<td>ADCP 2</td>
<td>$A’ \phi’$</td>
<td>1.07 –9.40</td>
<td>1.03 –3.00</td>
<td>1.00 –0.00</td>
<td>0.97 –3.10</td>
<td>0.93 –9.10</td>
<td>0.93 –14.90</td>
</tr>
<tr>
<td>ADCP 3</td>
<td>$A’ \phi’$</td>
<td>1.15 –8.60</td>
<td>1.09 –2.20</td>
<td>1.06 –5.00</td>
<td>1.03 –8.00</td>
<td>0.97 –14.00</td>
<td>0.94 –19.90</td>
</tr>
<tr>
<td>Portrush</td>
<td>$A’ \phi’$</td>
<td>1.94 –3.60</td>
<td>0.96 –1.60</td>
<td>0.94 –0.20</td>
<td>0.90 –1.20</td>
<td>0.84 –3.90</td>
<td>0.80 –6.50</td>
</tr>
<tr>
<td>Bangor</td>
<td>$A’ \phi’$</td>
<td>0.96 –1.10</td>
<td>0.96 –0.50</td>
<td>0.96 –1.20</td>
<td>0.96 –2.00</td>
<td>0.95 –3.60</td>
<td>0.95 –5.10</td>
</tr>
<tr>
<td>Portpatrick</td>
<td>$A’ \phi’$</td>
<td>0.92 –1.00</td>
<td>0.95 –1.20</td>
<td>0.96 –1.30</td>
<td>0.97 –1.50</td>
<td>0.98 –1.80</td>
<td>0.95 –2.10</td>
</tr>
</tbody>
</table>

Table 5
Comparison of normalised measured and Fluidity predicted S2 free surface amplitudes $A’ = A_{\text{measured}}/A_{\text{measured}}$ and phases $\phi’ = \phi_{\text{measured}} - \phi_{\text{measured}} (\text{deg})$ for the ADCP and tidal gauge stations for seabed friction coefficients $C_d$ in the range from 0.001 to 0.005.

<table>
<thead>
<tr>
<th>Location</th>
<th>Model Magnitude</th>
<th>$C_d = 0.001$</th>
<th>$C_d = 0.002$</th>
<th>$C_d = 0.0025$</th>
<th>$C_d = 0.003$</th>
<th>$C_d = 0.004$</th>
<th>$C_d = 0.005$</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADCP 1</td>
<td>$A’ \phi’$</td>
<td>4.00 64.90</td>
<td>3.00 58.40</td>
<td>2.00 50.70</td>
<td>1.00 31.70</td>
<td>1.00 –32.50</td>
<td>1.00 –75.50</td>
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<tr>
<td>ADCP 2</td>
<td>$A’ \phi’$</td>
<td>4.00 68.40</td>
<td>2.00 62.70</td>
<td>2.00 55.20</td>
<td>1.00 30.60</td>
<td>1.00 –60.10</td>
<td>2.00 –89.70</td>
</tr>
<tr>
<td>ADCP 3</td>
<td>$A’ \phi’$</td>
<td>2.33 9.20</td>
<td>1.67 14.00</td>
<td>1.33 18.40</td>
<td>1.00 26.80</td>
<td>0.33 65.80</td>
<td>0.67 116.70</td>
</tr>
<tr>
<td>Portrush</td>
<td>$A’ \phi’$</td>
<td>1.09 0.60</td>
<td>1.04 2.80</td>
<td>1.04 –4.50</td>
<td>1.04 –6.30</td>
<td>1.00 –9.40</td>
<td>0.96 –12.10</td>
</tr>
<tr>
<td>Bangor</td>
<td>$A’ \phi’$</td>
<td>1.07 –10.00</td>
<td>1.07 –7.50</td>
<td>1.07 –6.30</td>
<td>1.07 –5.10</td>
<td>1.07 –2.70</td>
<td>1.07 –0.40</td>
</tr>
<tr>
<td>Portpatrick</td>
<td>$A’ \phi’$</td>
<td>1.03 –5.60</td>
<td>1.05 –5.90</td>
<td>1.08 –5.90</td>
<td>1.08 –5.80</td>
<td>1.11 –5.70</td>
<td>1.11 –5.50</td>
</tr>
</tbody>
</table>

Table 6
Comparison of normalised measured and computed M2 currents at the ADCP locations for different seabed friction coefficients $C_d$ in the range from 0.001 to 0.005.

<table>
<thead>
<tr>
<th>Location</th>
<th>Model magnitude</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADCP 1</td>
<td>1.11 0.99</td>
</tr>
<tr>
<td>ADCP 2</td>
<td>1.06 0.95</td>
</tr>
<tr>
<td>ADCP 3</td>
<td>1.09 0.98</td>
</tr>
<tr>
<td>ADPC 1</td>
<td>0.13 0.20</td>
</tr>
<tr>
<td>ADPC 2</td>
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<tr>
<td>ADPC 3</td>
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Major ellipse parameter: $C_{\text{max,computed}}/C_{\text{max,measured}}$

Minor ellipse parameter: $C_{\text{min,computed}}/C_{\text{min,measured}}$

Phase: $\phi_{\text{computed}} - \phi_{\text{measured}} (\text{deg})$

Inclination: $\theta_{\text{computed}} - \theta_{\text{measured}} (\text{deg})$

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ADCP locations, the M2 major ellipses are best approximated by that the model is satisfactorily validated, for seabed friction coefficients at ADCP 1 and 2 using velocity components respectively, for 15 days starting on the 12th of May 2014. 

The calibration test results indicate that the measured free surface elevation time series at Portrush, Bangor and Portpatrick for 30 days starting on 12th September 2013 are 0.98, 0.99 and 0.95 respectively. These values confirm that the model is satisfactorily validated, for $C_d = 0.0025$, which is therefore used for subsequent analysis. The agreement achieved with local flow conditions can be seen in the M2 tidal amplitudes contour plot shown in Fig. 5, where an amphidromic point north of Rathlin Island is identified, in agreement with previous three-dimensional numerical predictions by Davies and Jones of tidal hydrodynamics in Rathlin Sound [39].

3. Analysis

This section presents and interprets the simulations of tidal hydrodynamics in Rathlin Sound. For each case presented, the numerical model is forced at the open boundaries using solely an $M_2$ tidal signal, which was found to be the most energetic
constituent at the site, as is also the case for other sites in the region [18]. The numerical model was run for nine M2 tidal cycles starting on the 24th of April 2014: the first two cycles correspond to the ramp-up of the system; followed by four cycles for the spin-up of the system; the last three cycles are used in the site analysis to account for possible numerically induced small differences between cycles. The results will enable assessment of the validity of the idealised model of Pérez-Ortiz et al. [13] and the GC2005 analytical channel model when applied to the Rathlin Sound.

### 3.1. Natural state at Rathlin Sound

An accurate understanding of the natural flow dynamics in Rathlin Sound is first required to enable changes to the hydrodynamic environment caused by tidal power extraction to be assessed later. Fig. 6 presents contour plots of depth-averaged flow speed and vorticity during flood, ebb and slack water. At flood tide, the coastal features of Rue Point and Fair Head constrain the flow at the exit of Rathlin Sound leading to a jet advecting south-east of Rathlin Sound. At ebb tide, the eddy generated at Rue Point advects within Rathlin Sound and constrains the westward flow, increasing flow rotationality and complicating tidal energy exploitation west of Rathlin Sound. Residual eddies are more significant at slack water from ebb to flood tide than from flood to ebb tide.

Fig. 7 shows the three-tidal-cycle mean and maximum flow speed contour plots in the natural state. High velocities are predicted at the east side of Rathlin Sound, north-east of Rathlin Island, and offshore east and south-east of Rathlin Sound. The $M_2$ tidal signal yields mean and maximum flow speeds at the site of 2 and 3 m/s respectively. Fig. 7 indicates that the most energetic region of Rathlin Sound is located at the east end, between Rue Point and Fair Head.

### 3.2. Rathlin Sound resource assessment

Based on the natural state conditions shown in Fig. 7, power extraction is considered east of Rathlin Sound, at the narrowest section of the strait connecting Rue Point and Fair Head, where the highest flow speeds are experienced in the strait. Power extraction is implemented over a rectangle of dimensions $100 \times 4020$ m, blocking the entire strait section. A regular grid of 80 isosceles triangles is used to define the tidal array, which is inserted in the

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domain mesh shown in Fig. 4. The extraction level in the array, $k_f$, is gradually increased from 0 to 3.6 (Section 2.1). It should be noted that turbine characteristics at cut-in and rated speed are not included in the present analysis (for a recent implementation see Gillibrand et al. [5]).

Fig. 8 presents the three-tidal-period averaged results for: undisturbed kinetic power $P_{ko}$, computed as $P_{ko}$ integrating solely across the array area and replacing $C_D$ by the extraction level $k_f$.

$$P_{ko} = \frac{1}{2} \int p h U^3 dL$$

(7)

$$P_S = \int p C_D U^3 dA$$

(8)

Peak power extracted east of Rathlin Sound is achieved for $k_f = 1.78$ at 298 MW, and this limit appears not to be satisfactorily approximated by either the undisturbed kinetic power or the power naturally dissipated at the seabed in Rathlin Sound, in agreement with predictions from an idealised strait of similar geometry by Pérez-Ortiz et al. [13]. Furthermore, the present results show that $P_S < P_e < P_{ko}$, in accordance with findings by Pérez-Ortiz et al. [13] when a no-slip boundary condition was applied to island and landmass and when a realistic bathymetry was implemented to define the island-landmass coastal site. Rates of decrease in $P_k$ are similar to those observed when implementing a realistic bathymetry by Pérez-Ortiz et al. [13].

Addition of the $S_2$ constituent to the tidal signal increases the peak in $P_e$ averaged over a spring-neap tidal cycle to 330 MW, which is reached when $k_f = 1.78$. For $k_f = 1.78$, addition of the remaining 6 tidal constituents $N_2, K_2, K_1, O_1, P_1$, and $Q_1$ employed for the calibration of the model in Section 2.3 reduces the peak in $P_e$ averaged over the same spring-neap tidal cycle to 305 MW. For the two tidal signal cases, Fig. 9 shows the time series of instantaneous power extracted $P_e$ during a spring-neap tidal cycle starting on the 27th of April 2014 when $k_f = 1.78$.

Power extraction effects on the volumetric flow rate at the strait and offshore are assessed at the strait cross-section where power extraction is implemented, and at a section of equal length spanning offshore of east side of the island as per Eq. (9).

$$Q = \int h U dL$$

(9)

Fig. 10 shows the volumetric flow rate in the strait and offshore, $\overline{Q}$, normalised by the volumetric flow rate in the absence of power extraction, $\overline{Q}_o$. If the difference in water depth between the strait and offshore side is accounted for, the volumetric flow rate trends obtained at both sides of the island are in agreement with those observed by Pérez-Ortiz et al. [13] for the realistic bathymetry case.

Comparison between Figs. 8 and 10 reveals that maximum power extraction in Rathlin Sound is achieved when $\overline{Q}$ through the strait reduces to approximately 48% of $\overline{Q}_o$. This value corresponds...
to a higher reduction than the 57.7% predicted by GC2005 for a channel linking two infinite ocean basins.

Fig. 11 shows the cyclic behaviour of the head driving the flow assessed at the east (55.25°N/6.16°W) and west (55.25°N/6.31°W) of the strait with no power extraction, low extraction $k_f = 0.11$ and high extraction $k_f = 1.78$. The averaged cross-section sea surface elevations at the entrance and exit of Rathlin Sound are used to compute the head difference between the entrance and exit of the strait. Based on the amplitude of the head difference, the maximum volumetric flow rate in natural conditions and the phase difference between the peaks of head drop and volumetric flow rate, the GC2005 analytical channel model underpredicts by 51% the maximum power extracted from the numerical predictions.

Changes in head difference in the strait with power extraction (Fig. 11) and the existence of an alternative flow path offshore of Rathlin Island are the main reasons for the discrepancy between the analytical and numerical results. These findings are in accordance with Perez-Ortiz et al. [13].

Changes to the natural flow conditions of Rathlin Sound at maximum power extraction may not be acceptable from an environmental point of view. Fig. 12 displays the spatial distribution of three-tidal-period-averaged mean flow speeds with no power extraction and at maximum power extracted east of Rathlin Sound. There is a reduction in mean flow speeds in Rathlin Sound and especially offshore east and south-east, where the jet observed in natural flood conditions is substantially diminished. Power extraction effects on flow speeds reduce further downstream, off Torr Head (see Fig. 1). Changes to the site flow dynamics can be also observed in Fig. 13, which includes vorticity plots at flood and ebb tides with no extraction and maximum power extracted in Rathlin Sound. Flow features advecting south-east of Rathlin Sound are reduced in magnitude at flood tide. At ebb tide, there is a weakening in eddy shedding from Rue Point and Fair Head, and flow changes are observed north of Rathlin Sound, which may be a consequence of increasing volumetric flow rates offshore of the island. Analysis of the sea surface elevation at maximum power extraction revealed three-tidal-period-averaged mean differences in the sea surface elevation at ADCP 3 of about 10%, and of less than 1% at Portrush and Bangor.

Calibration of the numerical model presented in Section 2.2 was performed by altering the seabed friction coefficient, until the numerical predictions were in agreement with site observations. However, the value chosen for the seabed friction coefficient may alter the power extraction estimates, as discussed by Adcock et al. [18] in an analysis of the Pentland Firth, where the available power for four rows of highly blocked turbines reduced by 15% when $C_d$ was increased from 0.0025 to 0.005. In Rathlin Sound, when $C_d$ is increased from 0.0025 to 0.005, the maximum power extracted is reduced by 15%, in broad agreement with Adcock et al. [18] and similar to the 20% reduction obtained for an idealised island-landmass coastal site by Perez-Ortiz et al. [13]. The change in $C_d$ does not appear to alter the main site flow dynamics or the level of power extraction $k_f$ at which the peak in power extracted was reached.

3.3. Array strait blockage

Section 3.2 assessed the maximum power extracted in Rathlin Sound for an array blocking the entire strait cross-section. However, due to technical and environmental constraints, power extraction may be partially blocking the strait. The width of the array employed in Section 3.2 is shortened equally from both ends,
to 80% and 60% of the original width. Fig. 14 shows the three-tidal-period-averaged values of $P_{\text{k}}$, $P_{\text{s}}$, $P_{\text{k}}$, and $P_{\text{s}}$ obtained for array blockage ratios of 100%, 80% and 60%, plotted against the equivalent number of turbines $N_T$ using Eq. (5).

Compared to the fully blocked case, the maximum power extracted from Rathlin Sound reduces by 14% and 36% for the 80% and 60% blockage ratios respectively. In the idealised island-landmass study by Pérez-Ortiz et al. [13] with a realistic bathymetry, the maximum power extracted reduced by 0.4% and 30% for the 80% and 60% blockage ratios assessed. Satisfactory agreement is obtained for the 60% blockage ratio case between the Rathlin Sound and the idealised island-landmass coastal site. The difference observed for the 80% blockage ratio originated from the bathymetry profile employed in the idealised study which involved a sharp reduction in water depth in the unblocked section of the strait, increasing resistance to the flow and thus limiting the bypass flow. Similar power extraction estimates are achieved for the three blockage ratios with a number of turbines in the array $N_T \leq 200$; however, the results diverge when the turbine density in the array is increased due to a reduction in the flow through the array at low blockage ratios, in agreement with Nishino and Willden [40]. Lower reduction in kinetic power in the strait is observed when the strait blockage ratio is reduced, as the area where flow is permitted to bypass the array increases.

Changes to site flow dynamics at maximum power extraction for the three blockage ratios can be observed in the vorticity plots of Fig. 15 at peak flood and ebb tides. At flood tide, as the strait blockage is reduced there is an increase in the size of vortical structures generated from the ends of the array and advecting south-east of the strait. At ebb tide, the reduction of the strait blockage ratio increases the magnitude of vortical structures advecting west of the strait — an example is the eddy generated at Rue Point which merges with other eddies generated at the north end of the array. The three-tidal-period-averaged mean flow speed in the strait bypass sections increases by 20% and 14% for the 80% and 60% blockage ratios respectively. If the seabed at the site is mobile, this local change in flow speed may accelerate sediment erosion in the bypass regions, and increase sediment deposition at the centre of the strait where mean flow speed is reduced by the presence of the tidal array. These changes in the natural sediment transport process will alter the seabed morphodynamics during the tidal-project life.

### 3.4. Offshore power extraction

The analysis of the natural state conditions in Section 3.1 indicated that high flow velocities are also achieved north-east of Rathlin Sound (Fig. 7). The limits to power extraction offshore of Rathlin Sound are now assessed by considering power extraction both in the strait and offshore. Power extraction is implemented north-east of Rathlin Island over a rectangular area of the same dimensions as those of the array in the strait and extending north of the island. Fig. 16 shows the dependence of the three-tidal-period-averaged values of $P_{\text{k}}$, $P_{\text{s}}$, $P_{\text{k}}$ and $P_{\text{s}}$, on the power extraction level $k_f$ when power extraction is implemented separately in the strait and offshore of the island. Maximum power extracted offshore of the island is 13% lower than the undisturbed kinetic power offshore of the island and 134% higher than the extraction peak obtained in the strait.

Changes in the natural site flow dynamics induced by the power extraction offshore of the island can be seen in the vorticity contour.
plots of Fig. 17 at peak flood and ebb tides. The offshore array reduces the local flow which in turn prevents growth and shedding of eddies from the north-east of the island. Vortical structures are generated at the north end of the offshore array due to acceleration of the flow bypassing north of the array. Although part of the bypass flow occurs in the strait where the flow accelerates in the strait, this speed-up does not appear to alter the main flow dynamics at the strait.

Table 9 summarises the effects of combined power extraction in the strait and offshore of the island for seven scenarios: Scenarios 1 and 2, where power is solely extracted in the strait; Scenarios 3 and 4, where power is solely extracted offshore of the island; and Scenarios 5 to 7 where power is extracted both from the strait and offshore of the island. Table 9 lists the equivalent number of turbines $N_T$, array average power generated $P_T$, array capacity factor $CF$, the average velocity $U_{avg}$, and kinetic power $P^*_k$ deficit for each scenario. The array average power generated $P_T$ over three tidal cycles is computed from:

$$P_T = \frac{1}{3T} \sum_{t=1}^{3T} \sum_{i=1}^{N_t} \frac{1}{2} \rho C_P (U_i) A_T U_i^3$$

where $C_P$ is the turbine power coefficient function (based on the turbine described in Section 3.3).
decrease in mean kinetic power capacity factor $CF$ with cut-in speed $C_P$ in the strait (S) and offshore side (O) of Rathlin Island. Values of the three-tidal-period-averaged array power generated during the three tidal cycles is computed from:

$$C_P = \begin{cases} 
0 & \text{if } U < U_C \\
0.4 & \text{if } U_C \leq U \leq U_R \\
\frac{2P_R}{\rho A_f U^3} & \text{if } U > U_R 
\end{cases} \quad (11)$$

with cut-in speed $U_C$ of 1 m/s and rated speed $U_R$ of 2.5 m/s.

Based on $P_f$, $N_f$ and $P_R$, the capacity factor $CF$ of the tidal farm during the three tidal cycles is computed from:

$$CF = \frac{P_f}{N_f P_R} \quad (12)$$

The results confirm that for the same number of turbines, higher power production rates are achieved when these are installed in the strait and offshore than solely on one side of the island. However, the increase in yield from combined extraction in strait and offshore is smaller than that observed in the idealised island-landmass study by Pérez-Ortiz et al. [13]. Results from Table 9 indicate that at island-landmass sites, overall site power generation can be enhanced through combined power extraction.

However, the results also confirm that it is necessary to analyse multiple configurations in order to maximise the site power output.

### 4. Conclusion

Shallow flow simulations have been used to estimate the maximum power extracted in the Rathlin Sound under $M_2$ tidal forcing. Close agreement was obtained between model predictions and field measurements of tidal parameters for a uniform value of seabed friction coefficient $C_d = 0.0025$. Model results were compared against the GC2005 analytical solution for a channel linking two infinite ocean basins, and numerical predictions assuming an idealised island-landmass coastal site by Pérez-Ortiz et al. [13]. The shallow flow model predicted averaged and maximum undisturbed flow speeds of 2 and 3 m/s within Rathlin Sound. Maximum power extracted at the east section of the Rathlin Sound was found to be 298 MW, which was quite different to the undisturbed kinetic power or the power dissipated naturally at the seabed. When an $S_2$ constituent was added to the tidal signal, the maximum power extracted increased to 330 MW. Predicted trends in volumetric flow ratios through the strait and offshore of the island were in agreement with those previously obtained for an idealised island-landmass coastal site. The GC2005 analytical channel model, based on the head drop along the strait computed from transect-averaged free surface elevations, gave a power-extracted prediction that was lower by 51% than that from the present shallow flow model – a similar result was also obtained in the equivalent idealised island-landmass study. Primary reasons for discrepancies between the analytical and numerical predictions were found to be the increase of driving head with power extraction in the strait and the availability of an alternative flow path offshore of Rathlin Island. At maximum power extraction, the flow dynamics at Rathlin Sound altered, with the speed reducing substantially in the strait and south-east of the site, and mean differences in sea surface elevation of 10% and less than 1% predicted respectively east of the site and in the far-field. When $C_d$ was doubled from 0.0025 to 0.005 the maximum power extracted reduced by 15%, in broad agreement with the 20% decrease found in the idealised island-landmass study. This again highlights the importance in the choice of value of bed friction coefficient used here to tune the model during calibration because of its effect on.

![Vorticity contour plots with (a) no extraction and (b) $k_f = 0.1$ offshore of Rathlin Island at peak flood (left) and ebb (right) tides.](image)

Fig. 17. Vorticity contour plots with (a) no extraction and (b) $k_f = 0.1$ offshore of Rathlin Island at peak flood (left) and ebb (right) tides.

### Table 9

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<th>$U_C$ [%]</th>
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the end results. The maximum power extracted was also found to be sensitive to the strait blockage ratio, with the maximum power reducing by 14% and 36% for 80% and 60% blockage respectively, in comparison to 100% blockage. This decrease in maximum power extracted as the blockage ratio reduced was in broad agreement with findings of the previous idealised island-landmass study [13]. The discrepancies observed for the 80% blockage ratio case may have been due to the rapid reduction in water depth in the strait bypass regions of the idealised island-landmass study. Increases in bypass regions of the idealised island-landmass study. Increases in

The present study confirms that Rathlin Sound has considerable potential for exploitation as a source of tidal stream power. Further research is required in order to optimise tidal power extraction at this complicated site. The authors intend to investigate impacts on the environment at levels of power extraction below maximum, to provide information for site developers when evaluating potential array size. To improve the accurate representation of turbines in the model, it is recommended that the increased bed friction approach used in the present shallow flow model be replaced by a more advanced methodology, such as linear momentum actuator disc theory (LMAST) (see Houlshby et al. [41]) that accounts for local blockage, bypass flow and mixing losses. With the availability of greater computer power, three-dimensional modelling is also recommended, following the approaches taken by Roc et al. [42] and O’Hara Murray and Gallego [43].

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