



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

## Association between Impaired Lung Function and Cardiovascular Disease. Cause, Effect, or Force of Circumstance?

**Citation for published version:**

Mcallister, DA & Newby, DE 2016, 'Association between Impaired Lung Function and Cardiovascular Disease. Cause, Effect, or Force of Circumstance?', *American Journal of Respiratory and Critical Care Medicine*, vol. 194, no. 1, pp. 3-5. <https://doi.org/10.1164/rccm.201601-0167ED>

**Digital Object Identifier (DOI):**

[10.1164/rccm.201601-0167ED](https://doi.org/10.1164/rccm.201601-0167ED)

**Link:**

[Link to publication record in Edinburgh Research Explorer](#)

**Document Version:**

Peer reviewed version

**Published In:**

*American Journal of Respiratory and Critical Care Medicine*

**General rights**

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

**Take down policy**

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact [openaccess@ed.ac.uk](mailto:openaccess@ed.ac.uk) providing details, and we will remove access to the work immediately and investigate your claim.



# Association between Impaired Lung Function and Cardiovascular Disease Cause, Effect, or Force of Circumstance?

McAllister DA and Newby DE.

Large, population-based cohort studies have consistently shown that lung function, and particularly FEV<sub>1</sub>, predicts cardiovascular mortality. For example, in 2005, Sin and colleagues undertook a major systematic review comprising a total of 83,880 participants in 12 studies and reported that pulmonary function predicted cardiovascular mortality (1). The pooled relative risk for the lowest compared with the highest lung function group was 1.99 (95% confidence interval, 1.71-2.29) (1). However, despite the considerable interest surrounding cardiovascular comorbidity in chronic obstructive pulmonary disease (COPD) (2), the mechanisms underlying this doubling in cardiovascular mortality remain uncertain.

For many observers, there are three potential hypotheses linking COPD with cardiovascular morbidity and mortality. First, reduced lung function may cause cardiovascular disease through increased systemic inflammation, promoting atheromatous disease and a prothrombotic state (3). Second, shared avoidable risk factors, such as smoking habit and other environmental factors, may simultaneously and independently cause endothelial dysfunction in both the pulmonary and systemic vasculature, with resultant disease in both organ systems (4). Finally, lung function may, similar to height, reflect a range of adverse fetal and early life factors, predisposing individuals to increased cardiovascular risk as well as a range of other adverse outcomes (5).

Determining the causal mechanism underlying this well-established association between pulmonary function and cardiovascular disease is the subject of the article published in this issue of the Journal by Chandra and colleagues (6). It focuses on the first of these possibilities, hypothesizing that reduced pulmonary function causes endothelial dysfunction, and subsequently atheroma formation. In a cross-sectional design incorporating two cohorts of 231 and 328 participants selected from larger population-based cohorts (the former selected to have a smoking history of >10 pack-years), the authors found that reduced FEV<sub>1</sub> was moderately associated with markers of atheromatous disease, as identified on B-mode carotid ultrasound and non-ECG gated computed tomography coronary artery calcification (odds ratios per 25% decrement in FEV<sub>1</sub> ranged from 1.28 to 1.76 across different measures). They also demonstrated that markers of endothelial dysfunction, measured via ultrasound-assessed flow-mediated dilatation and the reactive hyperemia index, were moderately associated with atheroma (odds ratios per 1 SD increment ranged from 1.30 to 1.36 across different measures). However, importantly, the association between FEV<sub>1</sub> and atheroma was not attenuated by adjusting for endothelial dysfunction, as might have been expected if endothelial dysfunction had been a mediator.

Previous observational studies examining atheroma burden in relation to lung function and emphysema have yielded variable results (7-12). In the Multi-Ethnic Study of Atherosclerosis study, coronary artery calcification was not associated with FEV<sub>1</sub> after adjusting for age, sex, and demographic features (12). However, in the largest study, conducted in the Atherosclerosis Risk in Communities study, FEV<sub>1</sub> was associated with the ankle brachial index (an indirect measure of atheroma), even among never-smokers (9). The current study adds weight to the suggestion that atheroma in the coronary arteries may be associated with FEV<sub>1</sub>.

In the present analysis, flow-mediated dilatation was performed in fasted patients having withheld caffeine, alcohol, and inhalers, thereby reducing variability. Moreover, sonographers were blinded to each participant's lung function status, making measurement bias less likely. The study participants were drawn from population-based cohort studies, making it unlikely that the observed associations were caused by

selection bias. These methodological strengths increased the reliability and validity of the findings. However, although the combined sample size across the two cohorts was likely sufficient to examine the exposure-outcome association (between FEV1 and atheroma), surprisingly large sample sizes may be needed to estimate mediation effects, particularly where the exposure-outcome association is weak or moderate (13). As a consequence, the finding that endothelial dysfunction was not a mediator may be a result of random error, especially as only noninvasive markers of endothelial function were employed. Nonetheless, as discussed by the authors, one potential explanation of these findings is that FEV1 causes atheroma through mechanisms independent of endothelial function, such as via increased arterial stiffness or elastocalcinosis (14-18). However, another possibility is that FEV1 and cardiovascular disease are not causally related, but are simply confounded because of the presence of shared environmental and lifestyle factors (hypothesis 2).

As well as cardiovascular disease, reduced pulmonary function predicts a broad range of adverse health outcomes, including cancer from all causes, non-respiratory cancer, rupture of aortic aneurysm, and even psychiatric illness (5, 19, 20). Moreover, FEV1 is associated with ischemic heart disease mortality in a broadly linear fashion, with no evidence of a plateau beyond normal levels of lung function (5). These observations are consistent with the view that FEV1 may be a broad marker of cardiovascular risk (and health more generally), rather than a specific cause of cardiovascular disease (hypothesis 3). If this is true, we can expect more null findings in studies examining causal links among pulmonary function, endothelial dysfunction, and cardiovascular disease, such as in the article by Chandra and colleagues (6).

Life-course epidemiology may help determine the nature of the relationship between FEV1 and cardiovascular disease. Both pulmonary function (21) and cardiovascular risk (22) are known to have early life determinants. However, just as clinical trials of effective cholesterol-lowering therapies unequivocally demonstrated that serum cholesterol plays a causal role in coronary heart disease, it is likely that clinical trials will be needed to resolve the causal question of how pulmonary function relates to cardiovascular risk in COPD. The recent Study to Understand Mortality and Morbidity trial examining the use of pulmonary therapies in COPD, for example, has included cardiovascular events as a secondary outcome (23). Findings from this and other studies intervening on lung function are needed to identify whether reduced pulmonary function causes cardiovascular disease in adult life. The debate continues.

## Conflicts of Interest

DEN is on the Trial Steering Committee of the SUMMIT trial funded by GlaxoSmithKline.

## References

1. Sin DD, Wu L, Man SFP. The Relationship between Reduced Lung Function and Cardiovascular Mortality: A Population-Based Study and a Systematic Review of the Literature. *Chest*. 2005;127: 1952–1959. doi:10.1378/chest.127.6.1952
2. Global Strategy for the Diagnosis, Management and Prevention of COPD, Global Initiative for Chronic Obstructive Lung Disease (GOLD) 2016 [Internet]. Available: <http://www.goldcopd.org/>.
3. MacNee W, Maclay J, McAllister D. Cardiovascular Injury and Repair in Chronic Obstructive Pulmonary Disease. *Proc Am Thorac Soc*. 2008;5: 824–833. doi:10.1513/pats.200807-0711TH
4. Barr RG, Carr JJ, Hoffman EA, Jiang R, Kawut S, Punjabi N, et al. Subclinical Cardiovascular Disease in Chronic Obstructive Pulmonary Disease. The MESA Lung Study. *San Francisco: American Journal of Respiratory and Critical Care Medicine*, April 2007;175; 2007. p. A516.
5. Hole DJ, Watt GCM, Davey-Smith G, Hart CL, Gillis CR, Hawthorne VM. Impaired lung function and mortality risk in men and women: findings from the Renfrew and Paisley prospective population study. *Br Med J*. 1996;313: 711–715.
6. Ebrahim S, Papacosta O, Whincup P, Wannamethee G, Walker M, Nicolaides AN, et al. Carotid Plaque, Intima Media Thickness, Cardiovascular Risk Factors, and Prevalent Cardiovascular Disease in Men and Women : The British Regional Heart Study. *Stroke*. 1999;30: 841–850.
7. Engström G, Hedblad B, Valind S, Janzon L. Asymptomatic leg and carotid atherosclerosis in smokers is related to degree of ventilatory capacity: Longitudinal and cross-sectional results from “Men born in 1914”, Sweden. *Atherosclerosis*. 2001;155: 237–243. doi:10.1016/S0021-9150(00)00557-8
8. Schroeder EB, Welch VL, Evans GW, Heiss G. Impaired lung function and subclinical atherosclerosis: The ARIC Study. *Atherosclerosis*. 2005;180: 367–373. doi:10.1016/j.atherosclerosis.2004.12.012
9. Zureik M, Kauffmann F, Touboul P-J, Courbon D, Ducimetiere P. Association Between Peak Expiratory Flow and the Development of Carotid Atherosclerotic Plaques. *Arch Intern Med*. 2001;161: 1669–1676. doi:10.1001/archinte.161.13.1669
10. Alhaj EK, Alhaj NE, Bergmann SR, Hecht H, Matarazzo TJ, Smith S, et al. Coronary artery calcification and emphysema. *Can J Cardiol*. 2008;24: 369–372.
11. Barr RG, Ahmed FS, Carr JJ, Hoffman EA, Jiang R, Kawut SM, et al. Subclinical atherosclerosis, airflow obstruction and emphysema: the MESA Lung Study. *Eur Respir J*. 2012;39: 846–854. doi:10.1183/09031936.00165410
12. Fritz MS, MacKinnon DP. Required Sample Size to Detect the Mediated Effect. *Psychol Sci*. 2007;18: 233–239. doi:10.1111/j.1467-9280.2007.01882.x
13. Bolton CE, Cockcroft JR, Sabit R, Munnery M, McEniery CM, Wilkinson IB, et al. Lung function in mid-life compared with later life is a stronger predictor of arterial stiffness in men: The Caerphilly Prospective Study. *Int J Epidemiol*. 2009;38: 867–876.
14. Zureik M, Benetos A, Neukirch C, Courbon D, Bean K, Thomas F, et al. Reduced Pulmonary Function Is Associated with Central Arterial Stiffness in Men. *Am J Respir Crit Care Med*. 2001;164: 2181–2185.

15. Sabit R, Bolton CE, Edwards PH, Pettit RJ, Evans WD, McEniery CM, et al. Arterial Stiffness and Osteoporosis in Chronic Obstructive Pulmonary Disease. *Am J Respir Crit Care Med*. 2007;175: 1259–1265. doi:10.1164/rccm.200701-067OC
16. Duprez DA, Hearst MO, Lutsey PL, Herrington DM, Ouyang P, Barr RG, et al. Associations among lung function, arterial elasticity, and circulating endothelial and inflammation markers: the multiethnic study of atherosclerosis. *Hypertension*. 2013;61: 542–548. doi:10.1161/HYPERTENSIONAHA.111.00272
17. McAllister DA, MacNee W, Duprez D, Hoffman EA, Vogel-Claussen J, Criqui MH, et al. Pulmonary function is associated with distal aortic calcium, not proximal aortic distensibility. MESA lung study. *COPD*. 2011;8: 71–78. doi:10.3109/15412555.2011.558543
18. Pembroke TPI, Rasul F, Hart CL, Davey Smith G, Stansfeld SA. Psychological distress and chronic obstructive pulmonary disease in the Renfrew and Paisley (MIDSPAN) study. *J Epidemiol Community Health*. 2006;60: 789–792. doi:10.1136/jech.2005.042150
19. Brown LC, Powell JT. Risk factors for aneurysm rupture in patients kept under ultrasound surveillance. UK Small Aneurysm Trial Participants. *Ann Surg*. 1999;230: 289–96; discussion 296–7.
20. Jackson B, Wright RJ, Kubzansky LD, Weiss ST. Examining the influence of early life socioeconomic position on pulmonary function across the life span: where do we go from here? *Thorax*. 2004;59: 186–188. doi:10.1136/thx.2003.018929
21. Sun C, Burgner DP, Ponsonby A-L, Saffery R, Huang R-C, Vuillermin PJ, et al. Effects of early-life environment and epigenetics on cardiovascular disease risk in children: highlighting the role of twin studies. *Pediatr Res*. 2013;73: 523–530. doi:10.1038/pr.2013.6
22. Vestbo J, Anderson J, Brook RD, Calverley PMA, Celli BR, Crim C, et al. The Study to Understand Mortality and Morbidity in COPD (SUMMIT) study protocol. *Eur Respir J*. 2013;41: 1017–1022. doi:10.1183/09031936.00087312