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A NOTE ON OMITTING THE REPLACEMENT SCHEMA

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In [1] Heath considers a formalisation of primitive recursive arithmetic similar to that given in Goodstein [2], in which the replacement schema (Goodstein's $\text{Sb}_2$) is deduced from special cases of itself, using a double recursive uniqueness rule. The deduction of $\text{Sb}_2$ given in [1] is, however, incomplete. This is rectified in the present note. The special cases of $\text{Sb}_2$ taken by Heath are:

(i) $A = B \vdash SA = SB$
(ii) $A = B \vdash x + A = x + B$
(iii) $A = B \vdash A + x = B + x$
(iv) $A = B \vdash x - A = x - B$
(v) $A = B \vdash x = B - x$

Remark In fact either (ii) or (iii) can be omitted since $x + y = y + x$ can be proved without using (ii) or (iii) and then one can be derived from the other.

In order to derive the full $\text{Sb}_2$, i.e., $A = B \vdash f(A) = f(B)$, for any primitive recursive function $f$, it is necessary to show that the substitution theorem, $x = y \rightarrow f(x) = f(y)$, persists under definition by a primitive recursive schema. Heath shows that it persists under the recursion without parameter, which I shall call $R$,

$$f(0) = (0),$$
$$f(Sx) = g(x, f(x)),$$

i.e., that from $x = y \& w = z \rightarrow g(x, w) = g(y, z)$ we can deduce $x = y \rightarrow f(x) = f(y)$. He then quotes a theorem of R. M. Robinson that all primitive recursive functions are generated from 0, $x$, $Sx$, $x + y$ and $x - y$ by substitution and the recursion $R$. To complete the proof it would be sufficient to show that Robinson's reduction of primitive recursion can be carried out in the restricted primitive recursive arithmetic (i.e., without full $\text{Sb}_2$). This would involve defining the pairing functions $J(x, y)$, $K(x)$ and $L(x)$ given by Robinson, deriving their main properties, e.g. $L(Sx) \neq 0 \rightarrow K(Sx) = K(x) \& L(Sx) = S(Lx)$, and checking that the substitution theorem is satisfied by them. This part was omitted by Heath, and it is not clear that this programme could be carried out.

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However it is fairly easy to check that the substitution theorem persists under full recursion, by a simple adaptation of Heath’s proof for the recursion scheme $R$, as the following theorem shows.

**Theorem**  
Suppose $f$ is defined by primitive recursion from $h$ and $g$, i.e.,

\begin{align*}
  f(u_0, \ldots, u_n, 0) &= h(u_0, \ldots, u_n) \quad \text{(a)} \\
  f(u_0, \ldots, u_n, Sx) &= g(u_0, \ldots, u_n, x, f(u_0, \ldots, u_n, x)) \quad \text{(b)}
\end{align*}

and the substitution theorem has already been proved for $h$ and $g$, i.e.,

\begin{align*}
  u_0 &= v_0 & \cdots & u_n &= v_n \rightarrow h(u_0, \ldots, u_n) = h(v_0, \ldots, v_n) \quad \text{(c)}
\end{align*}

and

\begin{align*}
  u_0 &= v_0 & \cdots & u_n+2 &= v_n+2 \rightarrow g(u_0, \ldots, u_n+2) = g(v_0, \ldots, v_n+2) \quad \text{(d)}
\end{align*}

Then the substitution theorem holds for $f$, i.e.,

\begin{align*}
  u_0 &= v_0 & \cdots & u_n+1 &= v_n+1 \rightarrow f(u_0, \ldots, u_n+1) = f(v_0, \ldots, v_n+1)
\end{align*}

**Proof**

**Lemma I**  
$u_0 = v_0 & \cdots & u_n = v_n \rightarrow f(u_0, \ldots, u_n, x) = f(v_0, \ldots, v_n, x)$

By induction on $x$, prove the basis

\begin{align*}
  u_0 &= v_0 & \cdots & u_n &= v_n \rightarrow f(u_0, \ldots, u_n, 0) &= f(v_0, \ldots, v_n, 0) \quad \text{by hypotheses (a) and (c)}
\end{align*}

and the step

\begin{align*}
  u_0 &= v_0 & \cdots & u_n &= v_n & (u_0 = v_0 & \cdots & u_n = v_n \rightarrow f(u_0, \ldots, u_n, x) = f(v_0, \ldots, v_n, x)) & \rightarrow f(u_0, \ldots, u_n, Sx) = f(v_0, \ldots, v_n, Sx) \quad \text{by hypotheses (b) and (d)}.
\end{align*}

**Lemma II**  
$x = y \rightarrow f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, y)$

By double induction on $x$ and $y$, prove

\begin{align*}
  x = 0 & \rightarrow f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, 0)
\end{align*}

and

\begin{align*}
  0 = y & \rightarrow f(u_0, \ldots, u_n, 0) = f(u_0, \ldots, u_n, y)
\end{align*}

by schema $F$ on $x$ and $y$ respectively. Then use the deduction theorem to prove

\begin{align*}
  (x = y & \rightarrow f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, y)) & \rightarrow (Sx = Sy \rightarrow f(u_0, \ldots, u_n, Sx) = f(u_0, \ldots, u_n, Sy))
\end{align*}

Assume $x = y \rightarrow f(u_0, \ldots, u_n, x) = f(u_0, \ldots, u_n, y)$ and $Sx = Sy$ and without using $Sb_1$ on any of the variables $u_0, \ldots, u_n, x, y$, deduce, in turn,

\begin{align*}
  x &= y & f(u_0, \ldots, u_n, x) &= f(u_0, \ldots, u_n, y) \quad \text{by modus ponens} \\
  g(u_0, \ldots, u_n, x, f(u_0, \ldots, u_n, x)) &= g(u_0, \ldots, u_n, y, f(u_0, \ldots, u_n, y)) \quad \text{by hypothesis (d)}.
\end{align*}
Therefore
\[ f(u_0, \ldots, u_n, Sx) = f(u_0, \ldots, u_n, Sy) \] by hypothesis (b).

The theorem follows from Lemmas I and II.

REFERENCES


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