



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

Beta-blocker Therapy and Clinical Outcomes in Patients with Moderate COPD and Heightened Cardiovascular Risk

Citation for published version:

Dransfield, MT, McAllister, DA, Anderson, JA, Brook, RD, Calverley, PMA, Celli, BR, Crim, C, Gallot, N, Martinez, FJ, Scanlon, PD, Yates, JC, Vestbo, J, Newby, DE & SUMMIT Investigators 2018, 'Beta-blocker Therapy and Clinical Outcomes in Patients with Moderate COPD and Heightened Cardiovascular Risk: An Observational Sub-study of SUMMIT', *Annals of the American Thoracic Society*.
<https://doi.org/10.1513/AnnalsATS.201708-626OC>

Digital Object Identifier (DOI):

[10.1513/AnnalsATS.201708-626OC](https://doi.org/10.1513/AnnalsATS.201708-626OC)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Annals of the American Thoracic Society

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



Original Research

Exacerbations of chronic obstructive pulmonary disease and cardiac events: a cohort analysis

Authors:

Ken M. Kunisaki, MD, MS^{1,2}
Mark T. Dransfield, MD^{3,4}
Julie A. Anderson, BSc, MA, MBA⁵
Robert D. Brook, MD⁶
Peter M.A. Calverley, MBChBSc⁷
Bartolome R. Celli, MD⁸
Courtney Crim, MD⁹
Benjamin F. Hartley, MMath¹⁰
Fernando J. Martinez, MD, MS¹¹
David E. Newby, PhD, DM, DSc¹²
Alexa A. Pragman, MD, PhD^{1,2}
Jørgen Vestbo, DMSc¹³
Julie C. Yates, MS⁹
Dennis E. Niewoehner, MD^{1,2}
on behalf of the SUMMIT Investigators

- 1: Minneapolis Veterans Affairs Health Care System, Minneapolis, USA
- 2: University of Minnesota, Minneapolis, USA
- 3: Lung Health Center, University of Alabama at Birmingham, Birmingham, USA
- 4: Birmingham VA Medical Center, Birmingham, USA
- 5: GlaxoSmithKline, Stockley Park, UK
- 6: University of Michigan, Ann Arbor, USA
- 7: University of Liverpool, Liverpool, UK
- 8: Brigham and Women's Hospital, Boston, USA
- 9: GlaxoSmithKline, Research Triangle Park, USA
- 10: Veramed Ltd, Twickenham, UK
- 11: Weill Cornell Medical College of Cornell University, New York, USA
- 12: University of Edinburgh, Edinburgh, UK
- 13: University of Manchester, Manchester, UK

Word count of abstract: **248**
(limit = 250)

Word count of body: ~~2528~~ **2536**
(limit = 3500)

Word count of methods: ~~485~~ **489**
(limit = 500)

Number of tables: 3

Number of figures: ~~4~~ 2

Number of references: ~~22~~ 26

Online Supplement Contents: 3 tables, ~~2 figures~~ 1 figure

This article has an online data supplement, which is accessible from this issue's table of content online at www.atsjournals.org

Corresponding author(s):

Ken Kunisaki, MD, MS
Associate Professor of Medicine
Minneapolis VA Health Care System
Pulmonary, Critical Care, and Sleep (111N)
One Veterans Drive
Minneapolis, MN, USA, 55417
+1 (612) 467-4400; office
+1 (612) 727-5634; fax
kunis001@umn.edu

Author contributions:

Conceived the current analysis: KMK, DENiewoehner
Designed the analysis: KMK, MTD, JAA, JCY, BFH, DENiewoehner
Obtained funding: n/a
Acquired the data: JAA, JCY, CC
Performed the primary statistical analyses: BFH, JAA
Drafted the manuscript: KMK
Provided critical input and revised the manuscript for important intellectual content and approved the final manuscript: All
Take responsibility for the integrity of the data and the accuracy of the data analysis: All

Funding sources: GlaxoSmithKline provided funding for the original SUMMIT trial (NCT01313676, 113782). GlaxoSmithKline employees performed the statistical analysis and participated in the writing group team, but GlaxoSmithKline did not direct or make final decisions regarding study conception, analysis of results, manuscript writing, or the decision to submit for publication.

Short running title: COPD Exacerbations and Cardiac Events

Key words (MeSH terms):

Pulmonary disease, chronic obstructive
Cardiovascular diseases
Cohort study

At a Glance Commentary

Scientific Knowledge on the Subject:

- Patients with COPD frequently experience cardiovascular disease (CVD).
- COPD exacerbations are associated with increased systemic inflammation, which is a risk factor for CVD.
- Preliminary data suggest that acute exacerbations of COPD (AECOPD) are associated with an increased risk of subsequent CVD events, but studies have relied on administrative data or non-adjudicated CVD event data.

What This Study Adds to the Field

- In this **large** cohort of 16,485 COPD patients with CVD or multiple CVD risk factors, exacerbations were followed by an increased risk of adjudicated CVD events, especially in hospitalized COPD patients and in the first 30 days following AECOPD.

Abstract

1

2 **Rationale:** Acute exacerbations of chronic obstructive pulmonary disease (AECOPD) are
3 common, associated with acute inflammation, and may increase subsequent cardiovascular
4 disease (CVD) risk.

5 **Objective:** Determine if AECOPD events are associated with increased risk of subsequent
6 CVD.

7 **Methods:** A secondary cohort analysis of the Study to Understand Mortality and MorbidITy
8 (SUMMIT) trial, a convenience sample of current/former smokers with moderate COPD from
9 1,368 centers in 43 countries. All had CVD or increased CVD risk. AECOPD was defined as an
10 increase in respiratory symptoms requiring treatment with antibiotics, systemic corticosteroids
11 and/or hospitalization. CVD events were a composite outcome of cardiovascular death,
12 myocardial infarction, stroke, unstable angina, and transient ischemic attack. All CVD events
13 were adjudicated. Cox proportional hazards models compared the hazard for a CVD event
14 prior to AECOPD versus following AECOPD.

15 **Measurements and Main Results:**

16 Among 16,485 participants in SUMMIT, 4,704 participants had at least one AECOPD and 688
17 had at least one CVD event. The hazard ratio (HR) for CVD events following AECOPD was
18 increased, particularly in the first 30 days following AECOPD (HR 3.8; 95%CI: 2.7 to 5.5) and
19 was elevated up to one year post-AECOPD. The 30-day HR following hospitalized AECOPD
20 was more than two-fold greater (HR 9.9; 95%CI: 6.6 to 14.9).

21 **Conclusions:** In COPD patients with CVD or risk factors for CVD, exacerbations confer an
22 increased risk of subsequent CVD events, especially in hospitalized patients and within the
23 first 30 days post-exacerbation. Patients and clinicians should have heightened vigilance for
24 early CVD events following AECOPD.

25 **Trial Registration:** ClinicalTrials.gov NCT01313676

26 **Funding sources:** GlaxoSmithKline provided funding for the original SUMMIT trial
27 (NCT01313676, GSK113782). GlaxoSmithKline employees performed the statistical analysis
28 and participated in the writing group team, but GlaxoSmithKline did not direct or make final
29 decisions regarding study conception, analysis of results, manuscript writing, or the decision to
30 submit for publication.

31

32

33 **INTRODUCTION**

34 Ischemic heart disease, stroke, and chronic obstructive pulmonary disease (COPD) are three
35 leading causes of death globally.¹ These diseases share common risk factors such as older
36 age and cigarette smoking, yet data suggest that COPD and lower lung function are
37 independent risk factors for cardiovascular disease (CVD), even after adjustment for traditional
38 CVD risk factors.²⁻⁴

39 The mechanisms by which COPD increases CVD risk are not clear, but patients with COPD
40 often display abnormally high concentrations of circulating systemic inflammatory biomarkers
41 such as C-reactive protein, interleukin-6, and fibrinogen⁵—biomarkers that predict CVD risk in
42 the general population^{6,7} and in COPD.⁸ Acute exacerbations of COPD (AECOPD) are often
43 associated with particularly high concentrations of these biomarkers⁹ which can be slow to
44 return to baseline.¹⁰

45 Additionally, many AECOPD events are triggered by infections,¹¹ and data have shown that
46 infections (mostly respiratory, but also urinary and gastrointestinal) are associated with an
47 increased risk for subsequent CVD events.¹²⁻¹⁶ The reasons for this are not clear, but
48 hypotheses have focused on infections as inducers of systemic inflammation and pro-
49 coagulant pathways that subsequently lead to cardiovascular events.

50 Two previous studies have suggested that AECOPD increases risk for subsequent CVD, but
51 both had significant methodologic limitations including use of administrative data to define
52 COPD, AECOPD and CVD events¹⁷ or use of non-adjudicated adverse event reporting data.¹⁸

53 The Study to Understand Mortality and Morbidity (SUMMIT) trial was an international,
54 multicenter trial of patients with COPD and either a history of CVD or heightened risk for CVD.
55 SUMMIT assessed the impact of inhaler treatments on mortality and rigorously adjudicated
56 CVD events, therefore reducing the risk of ascertainment bias and providing more accurate
57 estimates of risk. We hypothesized that time periods following AECOPD would be associated
58 with higher risk for CVD events compared with time periods free of AECOPD.

59 **Some of the results of this study have been previously reported in the form of an abstract.¹⁹**

60 **METHODS**

61 A detailed description of our methods is included with the **Online Supplement**. In brief, we
62 performed a post-hoc cohort analysis using data in SUMMIT, a double-blind, parallel group,
63 placebo-controlled, randomized trial conducted at 1,368 centers in 43 countries between 2011
64 and 2015. Details of the study design and main results are published.^{20,21} Participants
65 (n=16,485) were randomly assigned to receive either inhaled placebo, fluticasone furoate,
66 vilanterol, or the combination of fluticasone furoate and vilanterol. The study showed no
67 significant differences in risk of death or cardiovascular events between the four arms of the
68 trial.

69 Participants were current or former smokers with at least a 10-pack-year smoking history, aged
70 40–80 years with a ratio of forced expiratory volume in 1 s to forced vital capacity (FEV₁/FVC)
71 ≤70%, FEV₁ 50%-70% of predicted, and a modified Medical Research Council dyspnea scale
72 score of ≥2. Participants 40-59 years old were required to have a history of CVD, defined as
73 coronary artery disease, peripheral arterial disease, stroke, myocardial infarction, or diabetes

74 mellitus with target organ disease. Participants 60-80 years old could have either a history of
75 CVD or increased risk for CVD, defined as receiving medication for two or more of the
76 following: hypercholesterolemia, hypertension, diabetes mellitus, or peripheral arterial disease.

77 Exclusion criteria included respiratory disorders other than COPD, lung reduction surgery,
78 receiving long-term oxygen, chronic oral corticosteroid therapy, severe heart failure (New York
79 Heart Association Class IV or ejection fraction <30%), life expectancy less than three years,
80 and end-stage chronic renal disease.

81 Participants were seen every three months at which time data relating to AECOPD and CVD
82 were assessed.

83 Statistical analysis

84 We used Cox proportional hazards models with time-dependent 'period' covariates, where the
85 hazard for a CVD event was compared between the period prior to AECOPD ('baseline' in our
86 tables) and following AECOPD (**Online Supplement Figure S1**). AECOPD was defined as an
87 increase in respiratory symptoms requiring treatment with antibiotics, systemic corticosteroids
88 and/or hospitalization. Our primary outcome was a composite CVD outcome that included
89 cardiovascular death, myocardial infarction, stroke, unstable angina, and transient ischemic
90 attack. A clinical endpoint committee (CEC) **used data from medical records, witness**
91 **interviews, autopsy reports, and death certificates to** adjudicated all CVD events using
92 **standardized guidelines.**^{22,23} ~~medical records, witness interviews, autopsy reports, and death~~
93 ~~certificates.~~

94 We excluded events where AECOPD and CVD were reported on the same day, as we were
95 unable to determine which event happened first. We analyzed the hazard of post-AECOPD
96 CVD events at 1-30 days, 31-90 days, 91 days-1 year, and >1 year following AECOPD events.
97 Covariates are detailed in our table legends. In cases where participants experienced more
98 than one AECOPD, only the first was used. Data were censored after the first CVD event.

99 Secondary analyses focused on: 1) only hospitalized AECOPDs, 2) only myocardial
100 infarctions, 3) comparison of those with established CVD versus those with only increased
101 CVD risk, 4) restriction to each of the four arms of the trial, and 5) restriction to only those who
102 experienced an AECOPD event during the study.

103

104 **RESULTS**

105 Among the 16,485 participants in SUMMIT, 75% were male, 47% were current smokers, mean
106 body mass index (BMI) was 28 kg/m² and 39% had a history of one or more AECOPD events
107 in the year prior to enrolment (**Table 1**).

108 Median participant on-treatment follow up time was 1.5 years with a total of 26,946 patient
109 years of follow-up. During follow-up, 4,704 participants had at least one AECOPD and 688 had
110 at least one adjudicated CVD event. The first CVD event was CV death in 271, myocardial
111 infarction in 173, stroke in 127, unstable angina in 83, and transient ischemic attack in 34.

112 Depending on the particular analysis, between 0 to 9 participants were excluded due to
113 reporting CVD and AECOPD on the same day.

114 A total of 487 participants experienced a CVD event during the baseline period (487 events in
115 21,624 patient years is 2.3 per 100 patient-years). Between days 1 to 30 following AECOPD,
116 32 participants experienced a CVD event (8.8 per 100 patient-years); 29 participants had a
117 CVD event between days 31 to 90 (4.4 per 100 patient-years); 91 participants had a CVD
118 event between day 91 to 1 year (4.0 per 100 patient-years) and 41 participants had a CVD
119 event after 1 year (2.4 per 100 patient-years). Compared with pre-AECOPD baseline periods,
120 the hazard of CVD events following AECOPD was increased, particularly in the first 30 days
121 following AECOPD (HR 3.8; 95%CI: 2.7 to 5.5), though it remained increased between 31
122 days - 90 days and 91 days - 1 year, and was no longer increased beyond 1 year following
123 AECOPD (**Table 2** and **Figure 1-2**).

124 In a further analysis, we restricted the AECOPD events to only hospitalized AECOPD events
125 and considered participants who had a non-hospitalized AECOPD to remain in the baseline
126 period. A total of 605 participants experienced a CVD event during the baseline period (2.4 per
127 100 patient-years). Between days 1 to 30 following hospitalized AECOPD, 24 participants
128 experienced a CVD event (26.7 per 100 patient-years); 15 participants had a CVD event
129 between days 31 to 90 (9.9 per 100 patient-years); 24 participants had a CVD event between
130 day 91 to 1 year (4.9 per 100 patient-years) and 11 participants had a CVD event after 1 year
131 (3.3 per 100 patient-years). In this case, the post-AECOPD hazard for CVD events was again
132 particularly increased in the first 30 days following hospitalized AECOPD (HR 9.9; 95%CI: 6.6

133 to 14.9), remained increased between 31 days - 90 days and 91 days - 1 year, but was not
134 increased beyond one year following hospitalized AECOPD (**Table 2** and **Figure 12**).

135 Analyses restricted only to those who experienced an AECOPD event during the study
136 (n=4,629 with all covariates) showed that the hazard for CVD following AECOPD was again
137 particularly increased in the first 30 days following AECOPD (HR 6.4; 95% CI: 4.1 to 10.2). The
138 hazard was attenuated, but still significant, between 31 days - 1 year following AECOPD, and
139 remained slightly elevated >1 year after AECOPD (**Table 3**).

140 Analyses restricted to only myocardial infarction events (i.e., excluding other non-myocardial
141 infarction CVD events) showed similar results, with a substantially increased risk of myocardial
142 infarction in the first 30 days following AECOPD, a lower, but still significant, risk between 31
143 days - 1 year, and no significant increased risk beyond 1 year (**Online Supplement, Table**
144 **S1**).

145 Analyses stratified by whether participants entered the study with a history of established CVD
146 or CVD risk are shown in **Online Supplement, Table S2**. The hazard ratio for experiencing a
147 CVD event following AECOPD was again most pronounced in the first 30 days following
148 AECOPD, regardless of whether participants entered the study with established CVD or CVD
149 risk. Among those with established CVD, the younger and older age groups had similar 95%
150 CI bounds for the hazard ratios at each time period post-AECOPD, but there were very few
151 CVD events, so these estimates may not be reliable.

152 Lastly, we analyzed the hazard for CVD following AECOPD separately in each of the four
153 original trial arms of the parent SUMMIT study. Results were again similar to that observed in
154 our other analyses, with each arm demonstrating hazard ratios that were particularly increased
155 in the first 30 days following AECOPD, remained increased between 31 days - 1 year, and
156 were no longer significant beyond 1 year following AECOPD (Online Supplement, Table S3).

157

158 **DISCUSSION**

159 This analysis of prospectively collected data from a multi-center, international study of patients
160 with moderately severe COPD and rigorously adjudicated CVD events supports the notion that
161 AECOPD increases the risk for subsequent CVD events, especially in the first 30 days
162 following an AECOPD. Moreover, the observed effect size was substantial, with a 4-fold
163 increased hazard for CVD events following AECOPD, and a 10-fold increase in those
164 hospitalized with AECOPD. These results suggest that clinicians and patients need to be
165 vigilant for the occurrence of CVD events following AECOPD, especially in those hospitalized
166 with AECOPD.

167 Our findings are notable for remarkable consistency among the primary analysis and the
168 multiple secondary analyses regarding the particularly high CVD risk in the first 30 days
169 following AECOPD, whether we analyzed all AECOPD events, hospitalized AECOPD events,
170 myocardial infarctions only, or stratified by age and established CVD versus CVD risk. Our
171 sample of over 16,000 study participants ~~is one of the largest prospective COPD studies~~

172 ~~conducted to date and the multi-center, multi-national design~~ **enrolled from multiples sites**
173 **and countries** increases the generalizability to patients seen in varying clinical settings. Our
174 findings are further strengthened by the blinded adjudication of CVD events. This adjudication
175 provides us with a high degree of confidence regarding the validity of the CVD events.

176 Our findings validate preliminary observations in the Understanding Potential Long-term
177 Impacts on Function with Tiotropium (UPLIFT) trial, where AECOPD was associated with a
178 higher risk of cardiovascular SAEs in both the first 30 and first 180 days post-AECOPD, with
179 higher risk in the first 30 days.¹⁸ CVD event data in UPLIFT consisted of only serious adverse
180 event (SAE) reporting data without detailed adjudication, and the analysis did not include
181 adjustment for multiple potential confounders. Unlike SUMMIT, UPLIFT did not specifically
182 select for COPD patients at risk for CVD, but in both UPLIFT and our SUMMIT results,
183 associations between AECOPD and CVD were present whether patients entered the studies
184 with a history of previously diagnosed CVD or not.

185 Our findings also build upon a previous study of AECOPD and CVD relationships using
186 administrative data in England and Wales. Among those with administrative codes for
187 physician-diagnosed COPD (not necessarily confirmed by spirometry), prescriptions for oral
188 antibiotics and corticosteroids (considered a surrogate marker of AECOPD) were associated
189 with a higher risk for subsequent myocardial infarctions and stroke.¹⁷ These associations were
190 dependent on the outcomes and time-period examined. For example, the increased risk for
191 myocardial infarction was only observed for five days following a prescription for both
192 antibiotics and steroids—there was no association with antibiotics alone, steroids alone, or
193 beyond five days of the combination prescription. However, for stroke, the association was

194 significant up to 49 days after a prescription for a steroid or an antibiotic, but not the
195 combination steroid plus antibiotic. These complex observations may reflect the limitations of
196 administrative data, as compared with our study's strict criteria for spirometry confirmation of
197 COPD, prospective collection of pre-defined AECOPD and CVD data, and detailed
198 adjudication of CVD events.

199 AECOPD events are associated with elevated concentrations of circulating pro-inflammatory
200 biomarkers²⁴ that can be slow to return to baseline.¹⁰ The high initial concentrations with slow
201 recovery might help explain why we observed the most risk for CVD in the first 30 days post-
202 AECOPD, but we continued to observe a statistically significant, albeit much smaller, risk up to
203 one year post-AECOPD. The prolonged duration of increased CVD risk is consistent with
204 studies that have shown that respiratory events such as pneumonia¹³ and other respiratory
205 infections¹⁴ are associated with prolonged CVD risk.

206 Inflammation might also explain why hospitalized AECOPD patients had a 30-day CVD risk
207 more than double that seen in those with less severe AECOPD. Hospitalized AECOPD
208 episodes are often associated with higher concentrations of circulating pro-inflammatory
209 biomarkers compared to AECOPD events treated outside of the hospital.²⁵ We did not
210 measure biomarkers in this study, so we were unable to determine the contribution of
211 inflammation to post-AECOPD CVD risk. We were also unable to test other potential
212 mechanisms such as AECOPD leading to hypoxemia, increased respiratory muscle work
213 diverting perfusion from the coronary circulation, induction of a pro-thrombotic state, increases
214 in blood pressure, or worsening adherence to non-respiratory medications.

215 From a therapeutic standpoint, our data suggest that the immediate post-AECOPD period is a
216 window of heightened CVD susceptibility, and therefore future studies should test interventions
217 in this period to reduce CVD risk. Possible interventions to test might include established CVD
218 therapies (e.g. antiplatelet agents, statins, and/or beta blockers) and/or experimental CVD
219 interventions (e.g. anti-inflammatory drugs).

220 Our study has several important limitations. SUMMIT participants were selected based on
221 being at high CVD risk either due to pre-existing disease or having multiple risk factors for
222 CVD. Although estimates of CVD prevalence in patients with COPD have ranged from 28% to
223 70%,³ our findings may not apply to COPD patients without CVD or CVD risk factors. SUMMIT
224 participation was also restricted to those with FEV₁ between 50%-70% of predicted, so we
225 cannot generalize our findings to those with milder or more severe airflow limitation. Our
226 follow-up time was also relatively short, at a median of 1.5 years. While data from our study
227 and other studies suggest that most of the excess CVD risk occurs within the first year after
228 AECOPD, we had limited power to study long-term event risk beyond one year. Lastly,
229 although CVD events were adjudicated, AECOPD events were self-reported and not
230 adjudicated. **Therefore, we cannot exclude the possibility that some AECOPD events**
231 **were CVD events to begin with.** However, our AECOPD definition is that used by nearly
232 every contemporary COPD trial and is a definition that has proven to be modifiable by
233 treatments such as inhalers and oral medications.²⁶ Moreover, we found even stronger
234 associations in hospitalized patients who ~~have presumably had~~ **typically undergo** more
235 detailed assessments for other clinical etiologies of acute-onset dyspnea (e.g. pneumonia,
236 myocardial infarction, pulmonary embolism) compared to outpatients. Therefore, we think
237 misclassification of AECOPD is not likely.

238

239 **CONCLUSION**

240 In COPD patients with CVD or risk factors for CVD, exacerbations confer an increased risk of
241 subsequent CVD events, especially in hospitalized patients and within the first 30 days post-
242 exacerbation. Patients and clinicians should have heightened vigilance for early CVD events in
243 this patient group following AECOPD.

244

245

246 **ACKNOWLEDGEMENTS**

247 We thank each of the SUMMIT study participants.

248

249 IRB approval: Institutional review board (IRB) approval was obtained at each of the 1,368
250 participating study centers prior to study initiation.

251

252 Declaration of interests:

253 MTD has received consultancy fees from GlaxoSmithKline, AstraZeneca, Boehringer
254 Ingelheim, and Genentech, and has received research support from GlaxoSmithKline,
255 AstraZeneca, Boehringer Ingelheim, Novartis, Yungjin, PneumRx, Pulmonx, and Mereo.

256 RDB has received consultancy fees from GlaxoSmithKline.

257 PMAC has advised Boehringer Ingelheim, GSK, AstraZeneca and Takeda on the design and
258 conduct of clinical trials and has spoken at meetings sponsored by these companies and by
259 Novartis.

260 BRC has received consultancy fees from GlaxoSmithKline, is a board/advisory committee
261 member for GlaxoSmithKline, AstraZeneca, and Boehringer Ingelheim, and has received
262 research support from AstraZeneca.

263 FJM has received consultancy fees from Axon Communication, Johnson & Johnson, Bioscale,
264 and Unity Biotechnology, is a board/advisory committee member for Bayer, Boehringer

265 Ingelheim, Centocor, Gilead Sciences, Genentech, Ikaria, Kadmon, Nycomed/Takeda, Pfizer,
266 Veracyte, Biogen/Stromedix, Afferent, Forest, Janssen, GlaxoSmithKline, AstraZeneca,
267 Novartis, Pearl, Pfizer, Roche, Sunovion, Theravane, and ConCert; speaker fees from the
268 American Thoracic Society, Falco, Potomac, CME Incite, California Society for Allergy and
269 Clinical Immunology, Annenberg, Integritas, InThought, Miller Medical, Paradigm, Peer Voice,
270 UpToDate, Haymarket Communications, Western Society of Allergy and Immunology, Prime,
271 WebMD, and PeerView Network; and publication royalties from Informa.

272 DENewby has received consultancy fees from GlaxoSmithKline, is a board/advisory committee
273 member for GlaxoSmithKline, has received speaker fees from GlaxoSmithKline, and has
274 received research support from GlaxoSmithKline

275 JV has received consultancy and speaker fees from GlaxoSmithKline, AstraZeneca, Chiesi,
276 Boehringer Ingelheim, and Novartis.

277 JAA, CC, and JCY are employees of GlaxoSmithKline and hold shares in the company.

278 DENiewoehner has received consultancy fees from GlaxoSmithKline, Boehringer Ingelheim,
279 and Forrest Research.

280 BH was a previous employee of GlaxoSmithKline, holds shares in the company and is an
281 employee of Veramed who are contracted to perform statistical analyses for GlaxoSmithKline.

282 KMK and AAP declare no conflicts of interest.

283

284 Disclaimer: The views expressed in this article are those of the authors and do not reflect the
285 views of the United States Government, the Department of Veterans Affairs, the funders, the
286 sponsors, or any of the authors' affiliated academic institutions.

REFERENCES

1. Global, regional, and national age-sex specific mortality for 264 causes of death, 1980-2016: a systematic analysis for the Global Burden of Disease Study 2016. *Lancet* 2017;390:1151-210.
2. Sin DD, Man SFP. Why Are Patients With Chronic Obstructive Pulmonary Disease at Increased Risk of Cardiovascular Diseases? *Circulation* 2003;107:1514.
3. Mullerova H, Agusti A, Erqou S, Mapel DW. Cardiovascular comorbidity in COPD: systematic literature review. *Chest* 2013;144:1163-78.
4. Shibata Y, Inoue S, Igarashi A, et al. A lower level of forced expiratory volume in 1 second is a risk factor for all-cause and cardiovascular mortality in a Japanese population: the Takahata study. *PLoS One* 2013;8:e83725.
5. Agusti A, Edwards LD, Rennard SI, et al. Persistent systemic inflammation is associated with poor clinical outcomes in COPD: a novel phenotype. *PLoS One* 2012;7:e37483.
6. Pai JK, Pischon T, Ma J, et al. Inflammatory markers and the risk of coronary heart disease in men and women. *N Engl J Med* 2004;351:2599-610.
7. Ridker PM, Rifai N, Rose L, Buring JE, Cook NR. Comparison of C-reactive protein and low-density lipoprotein cholesterol levels in the prediction of first cardiovascular events. *N Engl J Med* 2002;347:1557-65.
8. Thomsen M, Dahl M, Lange P, Vestbo J, Nordestgaard BG. Inflammatory biomarkers and comorbidities in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2012;186:982-8.
9. Bathoorn E, Liesker JJ, Postma DS, et al. Change in inflammation in out-patient COPD patients from stable phase to a subsequent exacerbation. *Int J Chron Obstruct Pulmon Dis* 2009;4:101-9.
10. Koutsokera A, Kiropoulos TS, Nikoulis DJ, et al. Clinical, functional and biochemical changes during recovery from COPD exacerbations. *Respir Med* 2009;103:919-26.
11. Dickson RP, Martinez FJ, Huffnagle GB. The role of the microbiome in exacerbations of chronic lung diseases. *Lancet* 2014;384:691-702.
12. Corrales-Medina VF, Madjid M, Musher DM. Role of acute infection in triggering acute coronary syndromes. *The Lancet Infectious diseases* 2010;10:83-92.
13. Corrales-Medina VF, Alvarez KN, Weissfeld LA, et al. Association between hospitalization for pneumonia and subsequent risk of cardiovascular disease. *Jama* 2015;313:264-74.
14. Smeeth L, Thomas SL, Hall AJ, Hubbard R, Farrington P, Vallance P. Risk of myocardial infarction and stroke after acute infection or vaccination. *N Engl J Med* 2004;351:2611-8.
15. Barnes M, Heywood AE, Mahimbo A, Rahman B, Newall AT, Macintyre CR. Acute myocardial infarction and influenza: a meta-analysis of case-control studies. *Heart (British Cardiac Society)* 2015;101:1738-47.
16. Kwong JC, Schwartz KL, Campitelli MA, et al. Acute Myocardial Infarction after Laboratory-Confirmed Influenza Infection. *N Engl J Med* 2018;378:345-53.
17. Donaldson GC, Hurst JR, Smith CJ, Hubbard RB, Wedzicha JA. Increased risk of myocardial infarction and stroke following exacerbation of COPD. *Chest* 2010;137:1091-7.
18. Halpin DM, Decramer M, Celli B, Kesten S, Leimer I, Tashkin DP. Risk of nonlower respiratory serious adverse events following COPD exacerbations in the 4-year UPLIFT(R) trial. *Lung* 2011;189:261-8.
19. **Kunisaki KM, Dransfield M, Anderson JA, et al. Acute exacerbations of chronic obstructive pulmonary disease increase subsequent cardiovascular event risk: A secondary analysis of adjudicated SUMMIT study data. *Am J Respir Crit Care Med* 2017;195:A1014.**

20. Vestbo J, Anderson J, Brook RD, et al. The Study to Understand Mortality and Morbidity in COPD (SUMMIT) study protocol. *Eur Respir J* 2013;41:1017-22.
21. Vestbo J, Anderson JA, Brook RD, et al. Fluticasone furoate and vilanterol and survival in chronic obstructive pulmonary disease with heightened cardiovascular risk (SUMMIT): a double-blind randomised controlled trial. *Lancet* 2016;387:1817-26.
22. Hicks KA, Hung HMJ, Mahaffey KW, et al. Standardized definitions for end point events in cardiovascular trials. *Clinical Data Interchange Standards Consortium*; 2010.
23. Thygesen K, Alpert JS, Jaffe AS, et al. Third universal definition of myocardial infarction. *J Am Coll Cardiol* 2012;60:1581-98.
24. Pinto-Plata VM, Livnat G, Girish M, et al. Systemic cytokines, clinical and physiological changes in patients hospitalized for exacerbation of COPD. *Chest* 2007;131:37-43.
25. Bozinovski S, Hutchinson A, Thompson M, et al. Serum amyloid a is a biomarker of acute exacerbations of chronic obstructive pulmonary disease. *American journal of respiratory and critical care medicine* 2008;177:269-78.
26. Wedzicha JA, Miravitlles M, Hurst JR, et al. Management of COPD exacerbations: a European Respiratory Society/American Thoracic Society guideline. *Eur Respir J* 2017;49.

Figure 1. Graphical representation of analytic method. All study participants with any follow-up time contribute to the analysis. Participants can have one of four possible patterns, as graphically shown below, from the top down: 1) No acute exacerbation of chronic obstructive pulmonary disease [AECOPD] (black bars) and no cardiovascular disease [CVD] events (as depicted by red bolts), 2) No AECOPD, but with CVD event, 3) AECOPD (as indicated by blue arrow/bar), but with no CVD event, and 4) AECOPD and CVD event. All participants with any follow-up time contribute to baseline hazard data for CVD events. Participants with AECOPD events contribute baseline hazard data for both baseline, exacerbation-free periods (black bars) and comparison data regarding post-AECOPD hazard data at 1-30 days after AECOPD (green bars), 31-90 days after AECOPD (yellow bars), 91 days-1 year after AECOPD (orange bars) and >1 year after AECOPD (grey bars). Data are censored at the time of a CVD event. Secondary analyses included: 1) only hospitalized AECOPD events, where participants who had a non-hospitalized AECOPD remained in the baseline period (**Table 2**), and 2) restriction to only the last two groups who experienced an AECOPD during the study (**Table 3**).

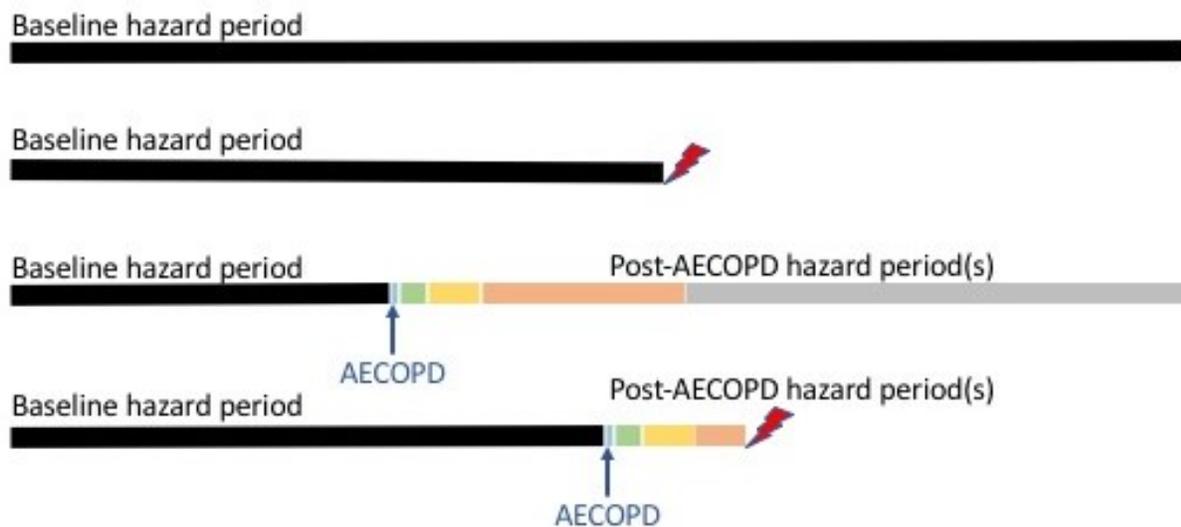


Figure 1.2. Hazard ratios (95% confidence intervals) for cardiovascular disease (cardiovascular death, myocardial infarction, stroke, unstable angina, and transient ischemic attack) following an acute exacerbation of chronic obstructive pulmonary disease.

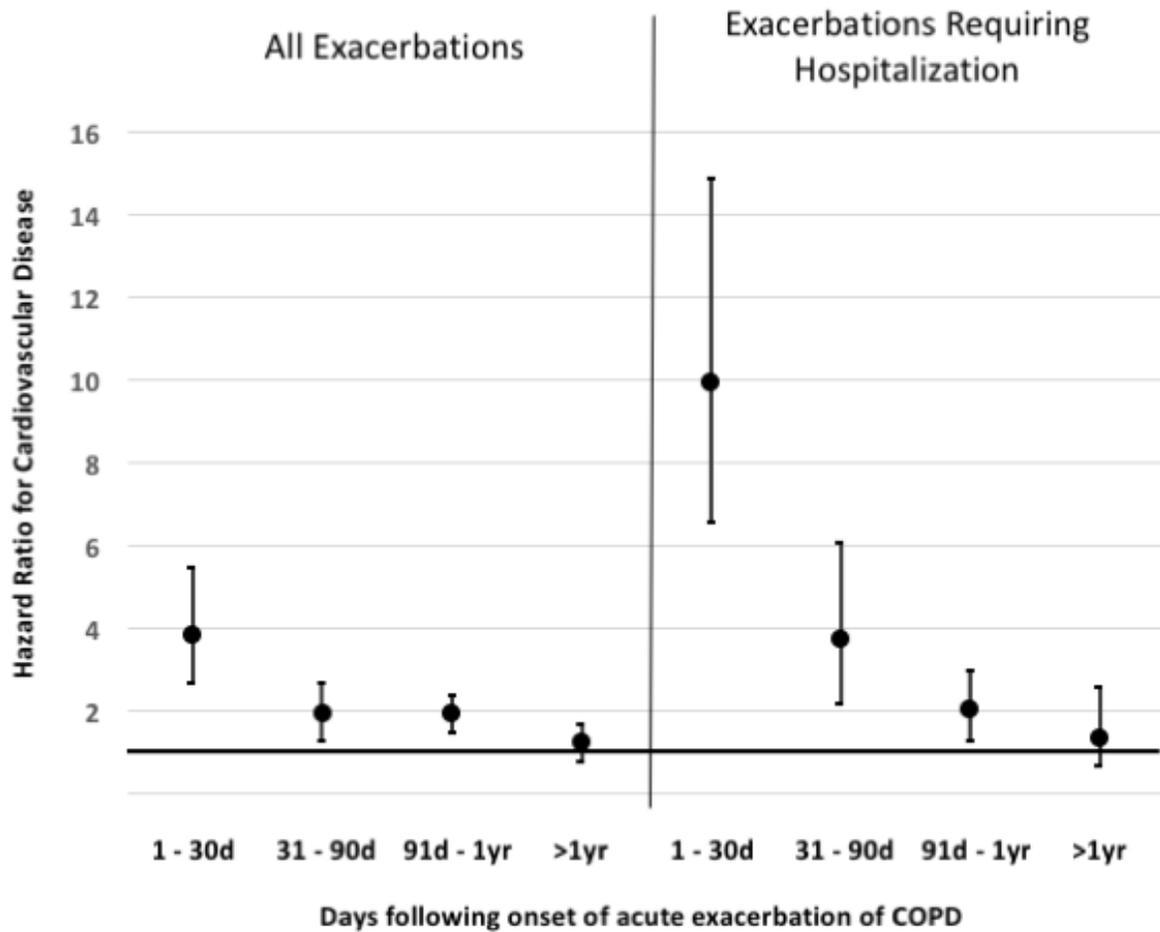


Table 1. Study participant characteristics. Reported as mean (SD) or n (%).

	Total (n = 16,485)
Age (years)	65 (8)
Female	4,196 (25%)
Race	
White	13,357 (81%)
Asian	2,724 (17%)
Other	404 (2%)
Body mass index (kg/m ²)	28 (6)
Current Smokers	7,678 (47%)
Smoking History (pack-years)	41 (24)
Systolic blood pressure, mmHg	135 (15)
Diastolic blood pressure, mmHg	80 (10)
Cardiac comorbidities	
Coronary artery disease	8,379 (51%)
Previous myocardial infarction	2,774 (17%)
Previous stroke	1,595 (10%)
Hypercholesterolemia	11,518 (70%)
Hypertension	14,851 (90%)
Diabetes mellitus	4,997 (30%)
Cardiac medications	
Antiplatelet	8,517 (52%)
Statin	10,721 (65%)
Beta-blocker	5,667 (34%)

Diuretic	6,148 (37%)
Post-Bronchodilator FEV ₁ (L)	1.70 (0.40)
% Predicted post-bronchodilator FEV ₁	59.7 (6.1)
Pre-study COPD inhaler therapy	
Long-acting β-agonist	5,769 (35%)
Long-acting muscarinic antagonist	2,550 (15%)
Inhaled corticosteroid	5,486 (33%)
Pre-study exacerbations in 12 months before study	
0	10,021 (61%)
1	4,020 (24%)
2+	2,444 (15%)

Abbreviations: COPD=chronic obstructive pulmonary disease; FEV₁=forced expiratory volume in 1 second.

Table 2. Hazard ratios for cardiovascular disease (CVD) event (cardiovascular death, myocardial infarction, stroke, unstable angina, and transient ischemic attack) following an acute exacerbation of chronic obstructive pulmonary disease (AECOPD). Analysis shown for all exacerbations (top) and for exacerbations requiring hospitalization (bottom).

Period	Number of Participants in Period	Observed Follow-up in Period (Patient-Years)	Number of Participants with adjudicated CVD event	Hazard Ratio (95% CI)
All Exacerbations				
Baseline, AECOPD-free	16,477	21,624	487	<i>-reference-</i>
1 – 30 days	4,639	363	32	3.8 (2.7 to 5.5)
31 days – 90 days	4,235	658	29	1.9 (1.3 to 2.7)
91 days – 1 year	3,779	2,267	91	1.9 (1.5 to 2.4)
>1 year	2,179	1,744	41	1.2 (0.8 to 1.7)
Exacerbations Requiring Hospitalization				
Baseline, AECOPD-free	16,476	25,595	605	<i>-reference-</i>
1 – 30 days	1,243	90	24	9.9 (6.6 to 14.9)
31 – 90 days	998	152	15	3.7 (2.2 to 6.1)
91 days – 1 year	862	487	24	2.0 (1.3 to 3.0)
>1 year	447	330	11	1.3 (0.7 to 2.6)

Covariates included: AECOPD period (baseline free of AECOPD or other post-AECOPD periods as in Figure 1), treatment assignment arm, age, sex, body mass index (BMI), region, race, ethnicity, ischemic and vascular indicators (e.g. previous treatment of coronary or vascular disease), cardiovascular disease/risk indicators (with CVD; with CV risk), smoking status, previous exacerbation history, and percent predicted post-bronchodilator FEV₁

8 participants were excluded from the 'All Exacerbations' analysis due to experiencing an AECOPD and CVD event on the same day; 9 were excluded from the 'Exacerbations Requiring Hospitalization' analysis due to experiencing an AECOPD and CVD event on the same day. 183 participants were excluded from the calculation of the Hazard Ratios in both analyses because they did not have all model covariates.

Table 3. Secondary analysis restricted to only those study participants who experienced an AECOPD event during the study. Hazard ratios for CVD events following an AECOPD. Due to small numbers in this restricted analysis, the post-AECOPD periods of 31-90 days and 90 days-1 year were combined.

Period	Number of Participants in Period	Observed Follow-up in Period (Patient-Years)	Number of Participants with adjudicated CVD event	Hazard Ratio (95% CI)
Baseline, AECOPD-free	4,696	3,695	55	<i>-reference-</i>
1 – 30 days	4,639	363	32	6.4 (4.1 to 10.2)
31 days – 1 year	4,235	2,926	120	3.0 (2.1 to 4.4)
>1 year	2,179	1,744	41	1.8 (1.1 to 3.1)

Covariates included: AECOPD period (baseline free of AECOPD or other post-AECOPD periods as in Figure 1), treatment assignment arm, age, sex, body mass index (BMI), region, race, ethnicity, ischemic and vascular indicators (e.g. previous treatment of coronary or vascular disease), cardiovascular disease/risk indicators (with CVD; with CV risk), smoking status, previous exacerbation history, and percent predicted post-bronchodilator FEV₁

8 participants were excluded due to experiencing an AECOPD and CVD event on the same day. 67 participants were excluded from the calculation of the Hazard Ratios because they did not have all model covariates.