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Efficient termination of transcription by RNA polymerase I requires the 5′ exonuclease Rat1 in yeast

Aziz El Hage,1 Michal Koper,2 Joanna Kufel,2 and David Tollervey1,3

1Wellcome Trust Centre for Cell Biology, University of Edinburgh, Edinburgh EH9 3JR, United Kingdom; 2Institute of Genetics and Biotechnology, Faculty of Biology, University of Warsaw, 02-106 Warsaw, Poland

During transcription termination by RNA polymerase II on protein-coding genes, the nuclear 5′ exonuclease Rat1/Xrn2 degrades the nascent transcript downstream from the polyadenylation site and “torpedoes” the polymerase. We report that the activity of Rat1 is also required for efficient termination by RNA polymerase I (Pol I) on the rDNA. In strains lacking catalytically active Rat1 or its cofactor Rai1, Pol I reads through the major, “Reb1-dependent” terminator (T1) but stops downstream at the “fail-safe” terminator (T2) and replication fork barrier (RFB). The absence of both Rat1 and the RFB-binding protein Fob1 increased Pol I read-through of T2 and the RFB. We propose that cotranscriptional cleavage of the pre-rRNA by the endonuclease Rnt1 generates a loading site for the Rat1/Rai1 complex, which then degrades the nascent transcript. When Rat1 catches Pol I, which is predicted to be paused at T1, transcription is terminated.

[Keywords: Ribosome synthesis; rRNA synthesis; RNA polymerase I; exonuclease; transcription termination]

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Under good growth conditions each Saccharomyces cerevisiae cell will produce ~2000 mature ribosomes per minute, requiring the synthesis of some 14 Mb of pre-rRNA and 160,000 ribosomal proteins. The rDNA genes in S. cerevisiae form an array of 150–200 repeats located on chromosome XII, around one-half of which are actively transcribed. The high rate of ribosome synthesis means that these rDNA repeats must each give rise to >20 pre-rRNA transcripts per minute. Each rDNA repeat contains the 35S gene, transcribed by RNA polymerase I (Pol I), and the intergenic spacer regions [IGS1 and IGS2]. The 5S gene, which is independently transcribed by RNA Polymerase III [Pol III], separates IGS1 from IGS2 (see Fig. 1A). IGS1 contains the replication fork barrier (RFB) region extending from +282 to +418 from the 25S sequence [see Fig. 2A]. Fob1 protein binds to the RFB. These establish a DNA–protein structure that blocks DNA replication forks from moving in the opposite direction to the Pol I transcription machinery, preventing their collision [Takeuchi et al. 2003; Huang et al. 2006].

Analyses of Pol I transcription performed in vitro and in vivo in yeast showed that ~90% of transcripts terminate at the “Reb1-dependent” or “primary” terminator [herein referred to as T1] [see Fig. 2A]. This is located at a position ~93 nucleotides [nt] downstream from the 3′ end of the 25S sequence in the 3′-external transcribed spacer (3′-ETS) [Lang and Reeder 1993; Reeder and Lang 1994, 1997; Prescott et al. 2004]. Approximately 10% of Pol I molecules read through T1 and stop at the downstream “fail-safe” terminator (herein referred to as T2) [see Fig. 2A]. T2 was initially reported to lie at +210 from the 25S sequence [van der Sande et al. 1989], but subsequent analyses mapped it close to around position +250 [Reeder et al. 1999]. At T1, termination occurs 12–20 nt upstream of the binding site for the Reb1 protein, within a T-rich element that encodes the last 10–12 nt of the terminated transcript (Reeder and Lang 1997). In the mouse, the Reb1 homolog TTF1 cooperates with a transcript release factor called PTRF in conjunction with the T-rich DNA sequence to induce transcription termination and dissociate Pol I and the transcript from the template DNA [Jansa and Grummt 1999]. No equivalent transcript release factor has been identified in yeast, but in vitro and in vivo data suggested the existence of such factors [Reeder and Lang 1997; Tschochne and Milkerait 1997; Jansa and Grummt 1999]. At T2 in yeast, termination also occurs at or close to an extended, highly T-rich element [Reeder et al. 1999], but the specific protein factors involved have not yet been identified.

Deletion of the RPA12 gene, which encodes a small subunit of Pol I, leads to increased read-through of T1 [Prescott et al. 2004]. Rpa12 is homologous with the small RNA polymerase II [Pol II] subunit Rpb9, which is...
similarly implicated in transcription termination (Awrey et al. 1997). Inactivation of the chromatin remodeling ATPases Chd1, Isw1, and Isw2 also caused defects in transcription termination by both Pol I and Pol II (Alen et al. 2002; Jones et al. 2007). These observations pointed to similarities in the termination of transcription by Pol I and Pol II. Efficient transcription termination on mRNA genes requires the catalytic activity of the highly processive nuclear 5′−3′ exonuclease Rat1 [Xrn1 in humans] (Kim et al. 2004; West et al. 2004; Luo et al. 2006; Kaneko et al. 2007). Cotranscriptional cleavage by the polyadenylation machinery at the 3′ end of the pre-mRNA allows Rat1 to attack the free 5′ end of the downstream cleavage product. When Rat1 catches up with Pol II the transcription terminates. Rat1 is thus said to “torpedo” the polymerase.

Xrn1, the second, mainly cytoplasmic, 5′−3′ exonuclease in yeast, can degrade many 5′-extended RNAs that would otherwise accumulate in the absence of Rat1, including 5′-extended pre-5.8S and 25S pre-rRNAs (Henry et al. 1994; Geerlings et al. 2000). Xrn1 is detected exclusively in the cytoplasm of wild-type cells, and artificial relocation of Xrn1 to the nucleus, by fusion with a strong nuclear localization signal, suppresses the lethality of the rat1-1 mutation (Johnson 1997; Luo et al. 2006). This implies that there normally can be little if any nuclear Xrn1, even in the absence of fully functional Rat1. Moreover, Xrn1 cannot replace Rat1 for its role in mRNA transcription termination in the rat1-1 mutant even when targeted to the nucleus (Luo et al. 2006).

During Pol I transcription, the RNase III-like endonuclease Rnt1 cleaves the nascent pre-rRNA across a stem−loop structure within the 3′ end of the 25S rRNA sequence (see Fig. 2B; Kufel et al. 1999). Rnt1 was detected at the site of rRNA transcription (Henras et al. 2004), and can interact physically with Pol I subunits including Rpa12 (Catala et al. 2008). Moreover, loss of
Rnt1 activity influences rDNA transcription and chromatin structure (Catala et al. 2008), consistent with cotranscriptional activity. A \( \text{rnt1}^{-} / H9004 \) strain showed increased read-through of T1 with most Pol I stopping at T2 (Reeder et al. 1999; Prescott et al. 2004), and read-through transcripts extending to T2 were also detected.

**Figure 2.** The 5’ ends of IGS1 transcripts map to the Rnt1 cleavage sites at +14/15 and +49/50 in the 3’-ETS. (A) Schematic of an rDNA repeat in \( S. \text{cerevisiae} \) as in Fig. 1A. Rnt1 cleaves the 35S pre-rRNA in the 3’-ETS in IGS1 at +14/15 and +49/50 relative to the 3’ end of the 25S rRNA sequence. Pol I transcription predominantly terminates at the “Reb1-dependent” terminator (T1) located at approximately +93 nt. Reb1 binds a few nucleotides downstream from +93. A “fail-safe” terminator (T2) is located at approximately +250, followed by the RFB, which binds Fob1 and extends from +282 to +418. Oligonucleotides used (see also Supplemental Table S2) are indicated by small horizontal bars above the rDNA. Probe 053 is located between T1 and T2 at position +180, probe 054 is located between T2 and the RFB at +264, and probe igs1-1F is located between the RFB and the 5S rRNA gene at +832. Horizontal arrows below the rDNA indicate the RNA species detected by primer extensions. (B–D) RNAs extracted from strains \( \text{PMET3-RAT1, xrn1}^{-} / H9004 \) and \( \text{PMET3-RAT1, xrn1}^{-} / H9004, \text{fob1}^{-} / H9004 \) as described in Figure 1B were processed for primer extension using oligonucleotides 053, 054, or igs1-1F. Products were resolved on 6% polyacrylamide/urea gels. Primer extension stops at +14/15 and +49/50 are labeled.
in rnt1-ts strains (Catala et al. 2008), indicating that co-
transcriptional cleavage is important for efficient termi-
nation at T1. Rnt1 cleavage generates products with 5’-
monophosphate termini, the preferred substrates for
Rat1 (Stevens and Poole 1995), which was reported pre-
viously to participate in the degradation of the 3’-cleav-
age product (Kufel et al. 1999), raising the interesting
possibility that Rat1 might also “torpedo” Pol I during
transcription termination.

Here we show that Rat1 plays a role in Pol I transcrip-
tion termination. When Rat1 is absent from the cells, Pol
I fails to efficiently terminate at T1 but mainly stops at
T2 and at the RFB. In the absence of both Rat1 and Fob1,
read-through of T2 and the RFB was substantially in-
creased. We further show that the catalytic activity of
Rat1 is essential for Pol I transcription termination to
occur, suggesting that Rat1 “torpedoes” the polymerase
on rDNA genes during termination, as is the case for Pol
II on mRNA-coding genes.

Results

Rat1 and Rai1, but not Xrn1, contribute to productive
5.8S rRNA maturation

The rat1-1 mutation is temperature-sensitive lethal and
leads to the accumulation of 5’-extended forms of the
5.8S rRNA at nonpermissive temperatures (Amberg et al.
1992). However, very little of the extended RNA was
detected in the rat1-1 single mutant (Henry et al. 1994).
This apparently poor penetrance of the rat1-1 mutation
led us to assess whether a stronger phenotype could be
generated by placing the RAT1 gene under the control of
a conditional MET3 promoter. Yeast cells carrying
PMET3-RAT1 were pregrown in SD medium lacking me-
thonine then shifted to SD + 5 mM methionine to in-
duce the mutation product (Kufel et al. 1999), raising the interesting
possibility that Rat1 might also “torpedo” Pol I during
transcription termination.

Here we show that Rat1 plays a role in Pol I transcrip-
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occur, suggesting that Rat1 “torpedoes” the polymerase
on rDNA genes during termination, as is the case for Pol
II on mRNA-coding genes.

Transcripts from IGS1 are stabilized in the absence
of Rat1 and Xrn1

If Rat1 plays a role in Pol I transcription termination
then its depletion should lead to increased transcription
extending beyond T1 into IGS1 (see Fig. 2A). To assess
this, we initially performed primer extension analyses
using primers complementary to sequences located
downstream from the Rnt1 cleavage sites beyond the
major T1 terminator. Probe 053 is located between T1
and T2, probe 054 is located between T2 and the RFB,
and probe igs1-1F is located between the RFB and the 5S
gene (Fig. 2A). Primer extension analyses were performed
on RNA recovered from PMET3-RAT1 strains before and
during Rat1 depletion. As the read-through transcripts
are degraded by Xrn1 in the PMET3-RAT1 strain (see
Supplemental Fig. S2), all of the following analyses were
performed in xrn1Δ background. Probes 053 and 054
showed that extended transcripts accumulate progres-
sively during Rat1 depletion, commencing 3 h after me-
thonine addition (Fig. 2B). These have 5’ ends corre-
sponding to the reported sites of Rnt1 cleavage sites at
+14/15 or +49/50 (Kufel et al. 1999), with the predomi-
nant stop at +49/50 (Fig. 2B).

To assess whether the RFB also acts as a Pol I termi-
nator, the gene encoding the RFB-binding protein Fob1
was deleted in the PMET3-RAT1, xrn1Δ double mutant
strain (Fig. 2C,D). The absence of both Rat1 and Fob1
lead to an increase in the levels of all RNAs that extend
through T2 (probe 054) [Fig. 2, cf. B and C, lanes 8–14] or both T2 and the RFB (probe igs1-1F) [Fig. 2D, cf. lanes 1–7 and 8–14]. The species detected with probe igs1-1F also have a size consistent with 5’ ends at the Rnt1 cleavage sites, but the products are too long [783/818 nt] for these sites to be clearly resolved [Fig. 2D]. Additional heterogeneous stops detected with probe igs1-1F [Fig. 2D, lanes 8–14] correspond to the approximate position of T2 and may represent premature primer extension stops due to the presence of the poly(U) tracts in this region.

These data indicate that during Rat1 depletion in the absence of Xrn1, RNAs accumulate that extend from the sites of cotranscriptional Rnt1 cleavage in IGS1 to beyond the major T1 terminator. The additional loss of Fob1 increased read-through of both T2 and the RFB, indicating that the RFB acts as an additional transcription terminator.

In order to analyze further the RNA species that accumulate during Rat1 depletion, we performed Northern analyses using primers 053, 054, and igs1-0F, which hybridizes at the 3’/H11032 end of IGS1 (Fig. 3A). Progressive accumulation of IGS transcripts was visible in the PMET3-RAT1, xrn1/H9004 double mutant strain commencing 3 h after methionine addition (Fig. 3B–D, lanes 1–7). Using primer 053, the major transcripts detected ranged from ~160 to ~300 nt (Fig. 3B, lanes 1–7). We labeled these species 1–3. Lower levels of longer transcripts were detected over ~400 nt in length (species labeled 4). The 5’ ends of the transcripts predominantly lie at the major Rnt1 cleavage site at +49/50 [see Fig. 2], so the 3’ ends of the corresponding RNAs detected by Northern hybridization lie at positions 50 nt further into IGS1 relative to the 3’ end of the 25S sequence. The major RNA species accumulated following Rat1 depletion would therefore be consistent with termination around T2 [+250, species 1 and 2] and the RFB [+350, species 3] [see also Fig. 3A, horizontal arrows].

In contrast to species 1 and 2, the longer transcripts (species 4) were detected also by primers 054 and igs1-0F [Fig. 3C,D, lanes 1–7], showing that these extend through T1, T2, and the RFB, as expected [see also Fig. 3A, horizontal arrows]. Species 2, which from their gel mobility (over ~200 nt) would be expected to extend beyond the position of oligo 054 downstream from T2, were detected with probe 053 but not probe 054 [Fig. 3, cf. B and C, lanes 1–7]. Pol I can undergo iterative slippage at poly(dT) coding sequences, such as those located at T2, leading to addition of nontemplated 3’ poly(U) tracts in vitro [Jeong et al. 1996]. This strongly suggests that transcripts 2 terminate around T2 but have been 3’-extended with poly(U).

We conclude that IGS1 transcripts accumulated in the absence of Rat1 and Xrn1 predominantly extend through
T1 to the region that encompasses T2 and the RFB, with lower levels of species extending beyond the RFB.

In the PMET3-RAT1, xrn1/H9004, fob1/H9004 triple mutant strain, the ~300-nt bands predicted to arise from termination at the RFB were absent (species 3 in Fig. 3B,C, cf. lanes 1–7 and 8–14), and species corresponding to termination around T2 were greatly reduced in length and abundance (species 1 and 2 in Fig. 3B, cf. lanes 1–7 and 8–14). This was accompanied by a large accumulation of longer transcripts extending through the IGS1 region (species 4 in Fig. 3B–D, lanes 8–14). Analyses on agarose gels revealed that these long species have heterogeneous 3’/H11032 ends, mainly located between the 3’ end of IGS1 and the start of IGS2 [Supplemental Fig. S3]. A very low level of transcripts extended through all of IGS1 and IGS2 to a position ~300 nt upstream of the transcription initiation site of the downstream 35S gene [van der Sande et al. 1989].

These data show that the RFB acts as a terminator for Pol I molecules that read through both T1 and T2, with additional terminators located mainly between the 3’ end of IGS1 and the beginning of IGS2.

To assess the requirement for Rai1 in degradation of the IGS transcripts, we analyzed total RNAs from wild-type, rai1/H9004, and PMET3-RAT1, xrn1/H9004, rai11/H9004 strains. Only modest effects of rai1/H9004 were detected on the accumulation of the IGS1 transcripts [Supplemental Fig. S1C]. Rat1 associates with the 3’-ETS region of the rDNA

Previous chromatin immunoprecipitation (ChIP) analyses detected Rat1/Xrn2 downstream from the poly(A) site on mRNA-coding genes (Kim et al. 2004; Luo et al. 2006; Kaneko et al. 2007), consistent with its role in Pol II termination. To assess whether Rat1 functions directly in Pol I termination, we monitored the distribution of Rat1 over the rDNA by ChIP [Fig. 4A]. Two peaks of Rat1 occupancy were localized to distinct regions, at the 5’ end of the 35S pre-rRNA-coding region and over the region of IGS1 that includes the T1, T2, and RFB terminators. In contrast, no association of Xm1-TAP was detected under the same conditions, as expected from its reported cytoplasmic localization [Johnson 1997]. As positive controls, two mRNA coding genes ADH1 [Fig. 4B] and CYC1 (data not shown) were also analyzed. ADH1 gave a strong Rat1-TAP ChIP signal, whereas CYC1 gene gave a lower signal, but higher than that seen for the rDNA IGS1 ChIP. These data are consistent with recruitment of Rat1 to transcripts derived from the 3’-ETS region of the 35S gene. The association with other regions of the rDNA may reflect the recruitment of Rat1 to other sites on the pre-rRNA. In contrast to Rat1, no ChIP signal above the background was detected for Rnt1-TAP (data not shown). The available data indicate that Rnt1 does bind to the pre-rRNA cotranscriptionally and is present at the site of transcription [Henras et al. 2004], but its transient association with the nascent transcript apparently is not captured by cross-linking in ChIP analyses.

Pol I termination is impaired when Rat1 or the cofactor Rai1 is absent

To determine whether Pol I termination is impaired in strains lacking Rat1, we performed transcription run-on analyses (TRO) using a previously described set of M13 phage probes [Prescott et al. 2004]. These cover the IGS1

![Figure 4](image-url)

Figure 4. Rat1 but not Xm1 can be cross-linked to the rDNA. ChIP analyses were performed for Rat1-TAP and Xm1-TAP over the rDNA [A] and ADH1 [B]. The promoter is depicted by an arrow. The "Rebl-dependent" terminator T1 [rDNA] and the poly(A) site [ADH1] are represented by lollipops. Horizontal bars above the rDNA or ADH1 denote the locations of products amplified by real-time PCR (see also Supplemental Table S3). QPCR values were corrected for background as described in the Materials and Methods. A value of 1 [Y-axis] indicates no signal above background. The mean of six independent experiments is shown with standard error for Rat1-TAP. The mean of two independent experiments is shown for Xm1-TAP.
region from the 3' end of 25S to 151 nt downstream from the RFB (probes r2–r7) [Fig. 5A]. In wild-type cells, the TRO signal significantly declines immediately after T1 (probe r3), with background levels further downstream (probes r4–r7) [Fig. 5B–E]. In P_{MET3-RAT1} cells grown under permissive conditions, the signals were similar to the wild type (0-h samples in Fig. 5B,C), whereas cells depleted for Rat1 (12-h samples in Fig. 5B,C) showed strongly increased TRO signals over the region extending from T1 to the RFB (probes r3–r5) with a smaller increase over the RFB region (r6 and r7). Cells lacking the Rat1 cofactor Rai1 also showed clearly increased read-through of T1 [Fig. 5D,E]. In contrast, seven TRO replicates using the xrn1Δ strain failed to show any significant read-through of T1 [data not shown]. Analyses of strains lacking Fob1 showed that there was no detectable read-through of T1 in the fob1Δ single mutant, but an increased TRO signal was observed over the RFB region (r6 and r7) in P_{MET3-RAT1}, fob1Δ relative to P_{MET3-RAT1} after 12 h of depletion [data not shown].

We conclude that the efficiency of Pol I transcription termination at the T1 terminator is reduced when Rat1 or the cofactor Rai1 is absent. The transcription read-through in the rai1Δ strain is in contrast to the lack of clear RNA stabilization [Supplemental Fig. S1C], confirming that the failure in RNA degradation is not causal in the termination defect. These data resemble the effects of Rat1, Rai1, and Xrn1 on 5' processing of 5.8S [Fig. 1]. Rat1 and Rai1 are required for efficient termination, whereas Xrn1 can degrade the IGS transcripts that would otherwise accumulate in the absence of Rat1 but does not participate in productive termination.

**Pol I accumulates over the IGS1 region in the absence of Rat1**

To confirm that the TRO data reflect transcription by RNA Pol I, we also monitored Pol I distribution over the IGS region in the presence or absence of Rat1 by ChIP using an epitope-tagged Pol I subunit [Rpa34-13MYC] [Fig. 6] or an-

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**Figure 5.** TRO shows read-through of the major Pol I terminator in strains lacking Rat1 or Rai1. (A) rDNA schematic showing the positions of M13 phage TRO probes depicted as horizontal bars above the rDNA sequence [see also Prescott et al. 2004]. Labels are as in Figure 2A. (B,C) TRO analysis of wild-type and P_{MET3-RAT1} strains. (B) Representative TRO profiles are shown for the isogenic wild type grown in the presence of methionine (5 mM) and for P_{MET3-RAT1} cells grown in the absence (0 h) or presence of methionine for 12 h to allow depletion of Rat1. (pro) Promoter; (a) ACT1-positive control; (p) negative control. (C) Quantifications of TRO signals were corrected for background hybridization (probe p) and uracil content, and normalized to probe r2, which was arbitrarily set to 1. The mean of three independent experiments is shown with standard deviation. Lower signals over the regions encoding the 18S and 25S rRNAs were observed previously (see the Supplemental Material in Jones et al. 2007) and may reflect quenching of the signals by the highly abundant rRNAs. (D–E) TRO analysis of wild-type and rai1Δ strains (BY4741 background) grown in minimal medium at 30°C. D as B, E as C. Similar data [not shown] were observed with W303-1a background.
tibodies directed against Rpa190 [data not shown]. Similar results were obtained for the two Pol I subunits.

In wild-type cells [data not shown] or in \(P_{METH3}\)-RAT1 cells grown under permissive conditions (0-h sample in Fig. 6B) a peak of Pol I association with the rDNA was seen with the igs1.3 primers, around the position of the T1 terminator (see Fig. 6A for locations of primers). This association decreased over the igs1.2 primers located over T2 and the RFB and reached background levels over the igs1.1 primers located further downstream in IGS1. Note that the close proximity of T2 and the RFB prevents clear discrimination between Pol I associated with these sites by ChIP. Following depletion of Rat1 [12-h sample in Fig. 6B] the peak of Pol I association was displaced from the igs1.3 primers [T1] to igs1.2 [T2/RFB region], consistent with transcription read-through of T1. The peaks of Pol I association were observed in the same positions using the \(P_{METH3}\)-RAT1, xrn1\(/\)H9004 strain (Fig. 6C), although the intensity of the peaks at 12 h of depletion showed a large variation between different experiments. We conclude that the presence or absence of Xrn1 does not clearly affect Pol I transcription termination.

To assess the ability of the RFB to act as a transcription terminator, ChIP analyses were performed on a \(P_{METH3}\)-RAT1, fob1\(\Delta\) strain [Fig. 6D]. Under permissive conditions for Rat1 expression, the absence of Fob1 did not affect Pol I occupancy across the IGS regions, consistent with TRO data [data not shown]. However, following Rat1 depletion, the fob1\(\Delta\) strain showed increased Pol I occupancy \(3'\) to the RFB [igs1.1, 5S and igs2.2 primers].

Together, these data demonstrate that Rat1 is required for normal transcription termination by RNA Pol I. Xrn1 does not contribute substantially to termination, but the RFB acts as a terminator when Rat1 is absent and Fob1 is present.

**The catalytic activity of Rat1 is required for Pol I termination**

A Rat1 mutant that lacks catalytic activity cannot complement Pol II termination defects on mRNA-coding genes in rat1-1 strains at nonpermissive temperatures [Kim et al. 2004]. To determine whether this is also the case for Pol I, the catalytically inactive mutant Rat1\(_{D235A}\) [Kim et al. 2004] was expressed from a plasmid (kindly provided by Steven Buratowski, Harvard University) in the \(P_{METH3}\)-RAT1 strain. As controls, the empty vector and a plasmid expressing wild-type Rat1 were also used. As expected, expression of the wild-type Rat1 allowed growth of the \(P_{METH3}\)-RAT1 strain in the presence of 5 mM methionine, whereas no growth was seen in strains carrying the empty vector or expressing Rat1\(_{D235A}\) [Fig. 7A]. This shows that the catalytic activity is required for the essential function of Rat1. The plasmid-expressed proteins carry a triple-FLAG tag [Kim et al. 2004], and Western blotting showed that the wild-type Rat1 and Rat1\(_{D235A}\) have similar expression levels [Fig. 7B]. Northern analysis for the 5.8S rRNA confirmed that wild-type Rat1, but not Rat1\(_{D235A}\), complemented the phenotype of Rat1 deletion for 5.8S\(S\) and 5.8S\(L\) synthesis [Fig. 7C].

The ability of Rat1\(_{D235A}\) to support Pol I termination was tested by TRO [Fig. 7D–F] and Pol I ChIP [Fig. 7G–I]. In TRO analyses, Rat1 depletion for 12 h strongly enhanced read-through over probes r3–r7 [Fig. 7D]. This increase was suppressed by plasmid expression of wild-type Rat1 [Fig. 7E] but not by expression of Rat1\(_{D235A}\) [Fig. 7F]. In ChIP analyses, depletion of Rat1 displaced the Pol I peak from primers igs1.3 [T1] to igs1.2 [T2/RFB] [Fig. 7G]. This displacement was suppressed by plasmid expression of wild-type Rat1 [Fig. 7H] but not by expression of Rat1\(_{D235A}\) [Fig. 7I].

These data show that catalytically inactive Rat1 does not function in 5.8S\(S\) rRNA maturation or in Pol I termination at T1. This resembles the phenotypes of strains lacking Rai1, which show reduced Rat1 activity [Fig. 5; Supplemental Fig. 1]. These data strongly support the proposed function of Rat1 as a “torpedo” for Pol I.

**Discussion**

In this study, we demonstrate that the 5'-to-3' exonuclease Rat1 plays a role in Pol I transcription termination in
S. cerevisiae. The model illustrated in Figure 8 resembles the torpedo model described for mRNA transcription termination (Kim et al. 2004; West et al. 2004). The 35S pre-rRNA is cleaved cotranscriptionally by Rnt1 across a stem–loop structure, at positions +14/15 and +49/50, 3′ to the 25S rRNA. These cleavages form the entry sites for Rat1, which attacks the nascent RNA downstream from the cleavage sites. Rat1 potentially catches up with the polymerase, which is predicted to be paused at the major, “Reb1-dependent” T1 terminator [Reeder and Lang 1997]. Cotranscriptional degradation by Rat1 might trigger the release of Pol I from the DNA template through the destabilization of the 8- to 9-nt RNA/DNA hybrid and/or the disruption of RNA–protein interactions implicated in the stabilization of Pol I complexes. Previous analyses suggested the existence of a transcript release factor for Pol I termination when Rat1 is depleted. [A] pMET3-RAT1 strains transformed with plasmid without insert (vector), with RAT1 (wild type [WT]), or with rat1[D235A] were tested for growth at 30°C on SD-methionine or SD + 5 mM methionine. [B] Western blot for detection of tagged Rat1 or Rat1[D235A] with HA. Nop1 detection was used as a loading control. [C] Northern analysis of 5.8S rRNA maturation. RNAs were extracted as described in Figure 1B. Total RNA was resolved on an 8% polyacrylamide/urea gel. Mature 5.8Ss and 5.8Sr rRNAs were detected with probe 017 (see Fig. 1A; Supplemental Table S2). [D–F] TRO analysis over the rDNA repeats in strains PMET3-RAT1 + vector [D], PMET3-RAT1 + p-RAT1 [E], and PMET3-RAT1 + p-rat1 (D235A) [F]. Primers and quantifications are as in Figure 5. The mean of three independent experiments is shown with standard deviation. [G–I] Profiles of Pol I cross-linking over the intergenic region of rDNA repeats in strains PMET3-RAT1 + vector [G], PMET3-RAT1 + p-RAT1 [H], and PMET3-RAT1 + p-rat1 (D235A) [I]. Primers and quantifications are as described in Figure 6. A representative graph of two independent experiments is shown.

Figure 7. The catalytically inactive mutant Rat1[D235A] does not correct defects in 5.8S rRNA maturation and Pol I termination when Rat1 is depleted. [A] pMET3-RAT1 strains transformed with plasmid without insert (vector), with RAT1 (wild type [WT]), or with rat1[D235A] were tested for growth at 30°C on SD-methionine or SD + 5 mM methionine. [B] Western blot for detection of tagged Rat1 or Rat1[D235A] with HA. Nop1 detection was used as a loading control. [C] Northern analysis of 5.8S rRNA maturation. RNAs were extracted as described in Figure 1B. Total RNA was resolved on an 8% polyacrylamide/urea gel. Mature 5.8Ss and 5.8Sr rRNAs were detected with probe 017 (see Fig. 1A; Supplemental Table S2). [D–F] TRO analysis over the rDNA repeats in strains PMET3-RAT1 + vector [D], PMET3-RAT1 + p-RAT1 [E], and PMET3-RAT1 + p-rat1 (D235A) [F]. Primers and quantifications are as in Figure 5. The mean of three independent experiments is shown with standard deviation. [G–I] Profiles of Pol I cross-linking over the intergenic region of rDNA repeats in strains PMET3-RAT1 + vector [G], PMET3-RAT1 + p-RAT1 [H], and PMET3-RAT1 + p-rat1 (D235A) [I]. Primers and quantifications are as described in Figure 6. A representative graph of two independent experiments is shown.

However, dispensable for pre-rRNA transcript degradation following Rnt1 cleavage. Conversely, Xrn1 was able to degrade the cleaved IGS1 transcripts in the absence of Rat1, but did not participate in transcription termination. Xrn1 is reported to be cytoplasmic exclusively [Johnson 1997], and it may therefore be unable to interact with nuclear termination factors and/or fail to degrade the pre-rRNA cotranscriptionally. We speculate that increased Pol I read-through in the rat1Δ strain may reflect slowed loading or reduced processivity of Rat1 in the absence of its cofactor, preventing it from catching the polymerase within this short time window. This model was strengthened by the observation that catalytically inactive Rat1[D235A] fails to complement Pol I termination defects seen on depletion of wild-type Rat1. These features resemble pre-rRNA processing, where loss of either Rat1 or Rai1 impairs processing of the 5′-extended precursor to 5.8S rRNA. This leads to a decrease in 5.8Ss rRNA relative to the alternative 5.8Sr species, consistent with a short time window for productive processing to 5.8Ss. In contrast, Xrn1 degrades 5′-extended 5.8Sr RNA species that would otherwise accumulate in the absence of Rat1 activity, but does not contribute to 5.8Ss maturation.
In ChIP analyses, levels of Rat1 over the rDNA 3′-ETS region were lower than over the 3′ regions of the Pol II genes ADH1 and CYC1. In the case of Pol II termination, Rat1 interacts with CTD-binding protein Rtt103 and other 3′-end processing factors [Kim et al. 2004; Luo et al. 2006]. This might strengthen the cross-linking of Rat1 to the nascent transcript, bound to the transcribing polymerase, bound to the DNA. The relatively low Rat1 ChIP signal over the rDNA IGS1 region is also likely to reflect its very short residency times, consistent with the very rapid and efficient rRNA processing pathway. Pol I requires only 1–2 sec to transcribe the 44 nt from the major Rnt1 cleavage site (+50 relative to 25S sequence) to T1 (+93). The “Rebl-dependent” terminator may pause Pol I [Reeder et al. 1999; Prescott et al. 2004], allowing more time for termination to occur, but the high rate of rDNA transcription (around one transcript is completed every 3 sec on each active rDNA repeat) suggests that the time available will still be very limited. Note also that ChIP signals derived from rDNA transcription units will be diluted by the nontranscribed rDNA repeats, which account for half of the rDNA array.

Our ChIP data localized Rat1 to both IGS1 and the 5′ region of the 35S rDNA, possibly reflecting looping of the rDNA as proposed previously [Johnson and Warner 1989; Kulkens et al. 1992]. This might arise through oligomerization of Reb1, since this binds the rDNA at T1 in IGS1 and just upstream of the Pol I promoter in IGS2 [Reeder and Lang 1997]. Such loops have been detected recently between the 5′ and 3′ ends of protein-coding genes (O’Sullivan et al. 2004; Ansari and Hampsey 2005). However, Rat1 also functions in the 5′ maturation of the 5.8S and 25S rRNAs, and in the rapid degradation of other excised pre-rRNA spacer fragments [Henry et al. 1994; Petfalski et al. 1998; Geerlings et al. 2000]. It is therefore also possible that Rat1 is loaded cotranscriptionally onto the nascent pre-rRNA to promote efficient pre-rRNA processing, as has been reported for other ribosome synthesis factors [Gallagher et al. 2004]. Moreover, processing in ITS1, where Rat1 functions in 5.8S synthesis, and processing in IGS1 are reported to be coupled [Allmang and Tollervey 1998], suggesting further interactions.

In addition to the Rat1/Rebl complex, other factors presumably play roles in Pol I termination. The small Pol I subunit Rpa12 can interact directly with Rnt1 [Catala et al. 2008] and the absence of Rpa12 or Rnt1 leads to Pol I read-through over IGS1 [Reeder et al. 1999; Prescott et al. 2004; Catala et al. 2008]. The absence of Rpa12 might interfere directly with cotranscriptional cleavage by Rnt1, preventing loading of the Rat1/Rebl complex. However, nascent IGS1 transcripts were not observed in rpa12Δ strains in Miller spreads [Prescott et al. 2004], suggesting that degradation of the transcripts is ongoing. Other possible models for read-through in rpa12Δ strains include a failure of the defective Pol I to pause at termination sites or alterations in Pol I conformation that render it more refractory to the torpedo activity of Rat1/Rebl. Notably, efficient termination of mRNA synthesis requires both a change in Pol II conformation downstream from the cleavage/polyadenylation site and the degradation of the 3′ transcript by Rat1/Rebl [Luo et al. 2006; Rosonina et al. 2006].

In the absence of Rat1, Pol I reads through the major T1 terminator but stops at T2 and the RFB. The absence of the RFB-binding protein Fob1 alone had no effect on termination at T1, but in the absence of both Rat1 and Fob1 a substantial fraction of Pol I transcribed the entire IGS1 region. Thus, in addition to its key roles in rDNA metabolism, the RFB serves as an extra barrier for Pol I transcription. T2 and the “Fob1-dependent” terminator
might cooperate to ensure that any Pol I complexes that escape T1 do not collide with rDNA replication forks moving toward the RFB in opposite orientation to Pol I during S phase [Takeuchi et al. 2003].

The loss of Fob1 from the Rat1-depleted strain also reduced but did not abolish termination at T2. The sequence of T2, which is extremely dT-rich, probably leads to slippage of Pol I, with reiterative synthesis leading to formation of long poly(U) tracts as seen previously in vitro [Jeong et al. 1996]. It is therefore possible that T2 possesses some intrinsic termination activity. Elongation of Pol I through T2 [and elsewhere downstream in IGS1 and IGS2] might also be impaired by formation of R-loops, generated by interactions between the IGS1 transcripts, which may not be packaged by the ribosome synthesis machinery, and the rDNA [Tous and Aguilera 2007].

Other factors that potentially contribute to termination at T2 include the Nrd1–Nab3 complex [M. Koper and J. Kufel, unpubl.] or the TRAMP and exosome complexes. These function in the termination and degradation of noncoding RNAs that are transcribed through the RFB/T2 region by Pol II in the opposite orientation to Pol I [Houseley et al. 2007; Vasiljeva et al. 2008]. The non-coding RNAs are generated at levels very much lower than the pre-rRNA, but might also influence Pol I termination at T2 and the RFB via effects on chromatin structure.

In mammals, the Pol I terminator is an 18-base-pair (bp) sequence motif called the Sal box that is repeated 10 times [sites T1–T10] downstream from the 3′ end of the pre-rRNA coding region. The first eight Sal boxes are recognized by TTF-1, which mediates both RNA Pol I termination [Kuhn and Grummt 1989] and rDNA replication fork stalling [Gerber et al. 1997]. However, TTF-1 blocks rDNA replication only when bound to Sal box T2 [Gerber et al. 1997], which lies 122 nt away from the major site of transcription arrest at Sal box T1, physically separating these processes. Yeast Reb1 is homologous with TTF-1, since the C-terminal halves of both proteins share homology with the DNA-binding domain of the proto-oncoprotein c-Myb [for review, see Reeder and Lang 1997], whereas Fob1 is functionally related to TTF-1 in blocking replication fork progression. Thus, in both systems the major site of Pol I termination and the RFB are physically separated, although there are mechanistic differences. No RNase III cleavage site has yet been identified at the 3′ end of the mammalian 28S rRNA, but a 3′ exonuclease trims the pre-28S after release of RNA Pol I [Kuhn and Grummt 1989]. The release factor implicated in Pol I termination in mammals is PTERF, which is unrelated to Rat1 [Jansa and Grummt 1999]. The involvement of mammalian Xrn2 in Pol I termination has not been addressed, but these observations suggest that it may not have the same role as yeast Rat1.

The model proposed here for transcription termination by Pol I shows striking similarities to current models for Pol II termination on protein-coding genes. These, too, invoke cotranscriptional cleavage, providing an entry site for Rat1/Rai1 or Xrn2 [Kim et al. 2004; West et al. 2004; Kaneko et al. 2007], which then catch the polymerase at downstream pause sites [Gromak et al. 2006]. The results reveal potentially important links between the transcriptional and post-transcriptional steps in rRNA synthesis, and between ribosome biogenesis and mRNA synthesis.

Materials and methods

Strains, plasmids, and growth conditions

Yeast strains and plasmids used in this study are listed in Supplemental Table S1. The P_METS-RAT1 strain was constructed by one-step PCR strategy using plasmid pTLN28fl [van Nues and Beggs 2001]. xrn1Δ, rai1Δ, or fob1Δ deletions [template plasmids pFA6a-kanMX6/NatMX6] and the C-terminal 13MyC construct [template plasmid pFA6a-13MYC::Hph, a generous gift from R. Grainger] were performed as described [Longtine et al. 1998].

Growth and handling of S. cerevisiae were by standard techniques. For Rat1 depletion, cells [P_METS-RAT1 strains] were grown at 30°C to OD_660 ~0.3–0.4 in SD medium lacking methionine, then were transferred to the same prewarmed medium supplemented with methionine [5 mM]. The growth was continued for several hours and maintained in exponential phase by dilution with prewarmed SD medium with methionine.

RNA analyses

RNA extractions, Northern hybridization, and primer extension were as described [Thomson and Tollervey 2005]. Standard 1.2% agarose/glyoxal gel or 6% or 8% acrylamide/9.3 M urea gels were used to separate high- or low-molecular-weight RNAs. Primers for RNA analysis are listed in Supplemental Table S2. To detect RAT1 mRNA [3.02 kb], a random priming probe was prepared according to Strip-EZTM (Ambion) using primers Rat1mRNA-F and Rat1mRNA-R [Supplemental Table S2]. Northern hybridization was done overnight at 42°C using ULTRAHyb buffer [Ambion], and stringent washes were done at 58°C with SSC 0.2× SDS 0.1%.

Western blots

Total protein extracts and Western blot analysis were performed using standard procedures. Nop1p was detected with a mouse anti-Nop1 antibody [kindly provided by J. Aris, University of Florida]. Rat1-HA3 tag was detected with mouse anti-HA sc-7392 antibody [Santa Cruz Biotechnology].

ChIP

Yeast cells [75 mL of 0.5–0.7 A_600/mL; 12.5 mL per immunoprecipitation] were cross-linked with formaldehyde (1% final) for 15 min (TAP-tagged proteins) or 30 min [Pol I], quenched with glycine [137.5 mM] for 10 min, and washed with ice-cold PBS 1×. Cells were resuspended with 350 μL of lysis buffer [50 mM HEPES-KOH at pH 7.5, 140 mM NaCl, 1 mM EDTA at pH 8, 1% Triton X-100, 0.1% w/v sodium deoxycholate, plus CIP 1× [Roche Protease inhibitor cocktail tablets containing EDTA]], mixed with 500 μL of glass beads [Sigma, G8772], and vortexed [Vortex Genie 2T, Scientific Industries] for 45 min at full speed at 4°C. Glass beads were removed and cross-linked chromatin was recovered by centrifugation at full speed for 10 min at 4°C [supernatant discarded]. Six-hundred microliters of
was subtracted from $\Delta Ct = 2^{\Delta Ct}$. 

\[ \Delta Ct = \frac{Ct_{IP} - Ct_{IP_{Background}}}{Ct_{Background} - Ct_{Input DNA}} \]

Values for ChIPs were determined using the formula $\Delta Ct = 2^{\Delta Ct}$. “Ct IP” is the cycle number for immunoprecipitate, and “Ct Background” is the cycle number for external control (isogenic nontagged strain in the case of TAP-tagged proteins in Fig. 4) or the cycle number for internal control (without antibody in the case of Pol I in Figs. 6, 7). $\Delta Ct$ Background = Ct Background - Ct Input DNA. In the case of Pol I ChIPs (Figs. 6, 7), $\Delta Ct$ Background was subtracted from $\Delta Ct$ for each DNA primer set.

**TRO analysis**

TRO assay was performed essentially as described [Birse et al. 1997] with the following modifications. Fifty milliliters of cells were harvested, washed, and permeabilized in 0.5% sarkosyl for 20 min at 4°C. Cells were resuspended in transcription buffer with the addition of 2 mM DTT and 1.33 mM each ATP, GTP, and CTP. Transcription was allowed to proceed for 5 min at 30°C in the presence of 30–60 µCi of $[^{35}P]UTP$ (3000 Ci/mM; Hartmann Analytic). Cells were then washed with AE buffer (NaAc 50 mM, EDTA 10 mM) and RNA was extracted using GTC/phenol and the zirconia-silica beads method. RNA was partially hydrolyzed with 0.2 M NaOH for 5 min at 4°C and neutralized by addition of 0.4 vol of 0.5 M Tris-HCl. Single-stranded M13 phage constructs were used for hybridization as described [Prescott et al. 2004]. Probes (5 µg per slot) were immobilized on Bright-Star nylon membrane [Ambion] using Bio-Dot Microfiltration Apparatus (Bio-Rad) and UV-cross-linked. Filters were hybridized overnight at 42°C in 5x SSC, 50% formamide, 0.05% SDS, 10x Denhardt’s solution. Autoradiographs were visualized using FujiFilm FLA-7000 scanner and quantified using FujiFilm Multi Gauge version 3.0 software.

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