Design and Characterization of a Micro-Fabricated Graphene-Based MEMS Microphone

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Abstract—We fabricate a MEMS microphone that incorporates a graphene-based membrane that vibrates in response to acoustic forcing. We employ a novel fabrication process, where a graphene/PMMMA bilayer membrane is transferred over a cavity on a separate chip before being affixed to the surface of another chip containing an electrode, resulting in the fabrication of a moveable capacitor with a membrane-to-electrode gap of 8 µm. The gap, which is less than half the size of other reported graphene membrane-based audio transducers, allows for the device to operate with low DC bias voltages of about 1 V and, when integrated with a custom-designed readout circuit, demonstrates a sensitivity to sound pressure between 0.1 mV/Pa and 10 mV/Pa across the range 100 Hz to 20 kHz. As well as a sensitivity that is comparable to previous work, the flat frequency response is stable when the sound pressure is varied between 70 dB_{SPL} and 80 dB_{SPL}, with the sensitivity value not varying by more than 0.2 mV/Pa.

Index Terms—MEMS, graphene, resonators, audio sensing.

I. INTRODUCTION

GRAPHENE has been the subject of an extensive body of research since its first successful synthesis over a decade ago [1], with reported work focusing on the exploitation of its unique electrical and mechanical properties, including its high Young’s modulus (up to 1 TPa) [2] and mechanical strength (up to 130 GPa). In addition, graphene possesses a low mass density of 2200 kg/m³, comparable to silicon, which is advantageous for creating suspended structures that can be utilized to sense pressure [3] or biological elements [4]. Additional applications of graphene include the field of photodetectors [5] and spintronics [6]. For MEMS devices, recent research that has been reported suggests the utilization of graphene in accelerometers [7] and has demonstrated a flow sensor with a graphene diaphragm [8].

For audio sensing applications such as microphones, graphene-based membranes promise several advantages over membranes fabricated from other commonly used materials, such as silicon. Graphene’s ability to form a one atom thick film [9] is potentially desirable for audio applications, as the resulting membrane, when compared to much thicker membranes made from other materials, will exhibit a larger mechanical response to a given sound pressure. A consequence of the increased mechanical sensitivity is a reduced requirement for amplification of any electrical output, which will result in lower self-noise for the integrated microphone system.

There is a large body of research concerning MEMS microphone technology, with the trend being towards miniaturization. For use in cochlear implants and hearing aids, a smaller size is advantageous with several examples of MEMS microphone devices based on silicon membranes in the literature [10], [11]. In addition, there is research into MEMS devices that are designed to detect certain frequencies by virtue of the shape and dimension of the microstructure [12]. Graphene-based membranes have been utilized as audio sensors within a professional microphone cartridge [13] and packaged within a hearing-aid sized device (3 mm × 4 mm) [14]. In the previously reported devices, the spacing between the suspended membrane and the fixed backplate has varied from 18 µm up to 3 mm, which necessitates large bias voltages, up to 200 V, to allow for capacitive sensing.

Previously reported research has demonstrated the successful fabrication of suspended graphene structures, with a thickness up to 5 atomic layers and dimensions of the suspended area up to 5 µm [15], [16]. Suspended membranes have been realized using mechanical exfoliation over trenches [15] or circular cavities [16] etched out of a layer of silicon dioxide. An additional study has demonstrated a suspended structure, fabricated for use as a nanoelectromechanical switch, created by depositing a graphene layer, using chemical vapor deposition, on top of an oxide layer that is then sacrificially etched [17].

The majority of previously reported research show graphene membranes smaller than 5 µm with the deflection detected using optical methods [15], [16]. Electrical detection of the vibration of a 1.5 µm × 5 µm graphene membrane has been demonstrated by measuring the capacitance between the membrane and the underlying substrate [17]. For use in audio applications, a larger area to thickness aspect ratio is required to yield large enough mechanical responses to sound pressure to facilitate an electrical output. The fabrication of large-scale graphene membranes represents a significant challenge, since contact between membrane and substrate must be avoided. Membranes with dimensions up to 3.5 mm have been fabricated for use as a nanoelectromechanical switch, created by depositing a graphene layer, using chemical vapor deposition, on top of an oxide layer that is then sacrificially etched [17].

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fabricated and characterized [18]–[20] using laser Doppler vibrometry and interferometry, requiring the use of large and bulky equipment. If complete electro-acoustic transduction can be integrated with millimeter-scale graphene membranes, it could pave the way for a new generation of audio transducers.

This paper presents the design, fabrication and characterization of a MEMS microphone device incorporating a graphene-based membrane. The overall dimensions of the fabricated device are 7 mm × 7 mm × 0.78 mm. A graphene/poly(methyl methacrylate) bilayer has been used for the membrane, which has a total thickness of 200 nm, six times thinner than the 1.2 µm seen in previous studies [14]. The fabricated membrane has a diameter of 3.5 mm, which results in a very high (17500) aspect ratio of diameter to thickness, but with a smaller diameter than other work [13], [14]. Despite the ultra-large diameter to thickness aspect ratio, the fabrication method that has been used allows for the gap between the membrane and a fixed electrode to be as small as 8 µm. The response of the membrane to audio signals has been detected through capacitive transduction, with the vibration-induced signal amplified with a custom-made MOSFET, demonstrating the ability of the graphene-based membrane to be integrated with current CMOS technology. We have characterized the audio frequency response of the device and it has been shown to be comparable to previously reported research [11], [14], while utilizing a novel and unique fabrication method.

Section II details background theory of microphones and graphene membranes; section III outlines the design and fabrication of the graphene-based membrane microphone device; section IV outlines the experimental procedure for characterizing the device; section V discusses the measurement results.

II. BACKGROUND

A. Microphones

A microphone is a device that converts varying levels of sound pressure into an electrical signal. An example is the condenser microphone, which operates using the capacitive conduction technique with a conductive membrane, which vibrates in response to an acoustic sound pressure, acting as one plate of a capacitor. Bias voltages are applied to the membrane and the fixed bottom electrode, in order that the change in capacitance can be detected by electronic circuitry.

The performance of a microphone is defined in terms of the lowest sound pressure level (SPL) that can be detected. The lowest detectable SPL is determined by the self-noise, which is the output signal produced by a microphone in the absence of any sound pressure. For lower sound pressure levels, the signal-to-noise ratio will be lower and it will be harder to distinguish the sound signal from the self-noise.

The definition for SPL is given by

\[
SPL = 20 \times \log_{10} \left( \frac{\bar{p}}{p_0} \right) \tag{1}
\]

where \(\bar{p}\) is the root-mean-square (RMS) sound pressure and \(p_0\) is the reference RMS sound pressure of 20 µPa, which is the threshold of human hearing. Therefore, an RMS sound pressure of 20 µPa is equivalent to an SPL of 0 dB_SPL.

Another important performance metric of a microphone is the response of the output signal as a function of the sound pressure frequency, across the range of human hearing from 20 Hz to 20 kHz. A flat response across as large a frequency range as possible is desired typically by microphone users to enable accurate sound recording. However, some microphones are designed to have increased sensitivity at frequencies higher than 1 kHz, to improve the recording of talking or singing. A great deal of research and development has been undertaken by microphone manufacturers to optimize the frequency response of microphones to suit particular applications.

The trend towards miniaturization has made MEMS microphones attractive for use in mobile devices, with the current generation of devices demonstrating a lowest detectable SPL of about 30 dB_SPL and a flat frequency response with a sensitivity variation of less than 1 dB, with respect to the value at 1 kHz, across the range 200 Hz to 1 kHz [21].

B. Graphene-Based Membranes

Graphene is a two-dimensional material that consists of a single layer of carbon atoms in a hexagonal lattice. It has been demonstrated previously that creating thin films of graphene down to a thickness of a single-atom is possible [9] and that, coupled with its low mass density, a suspended membrane should respond to a sound pressure with a large vibration amplitude, resulting in high sensitivity.

In order to utilize a layer of graphene as an audio transducer, it is necessary to create a bilayer structure by laminating the graphene with a supporting material, such as poly(methyl methacrylate) (PMMA), which will make the membrane less susceptible to damage in harsh operating environments. Also, using a supporting layer provides protection for the graphene layer during the process of transferring the membrane to the substrate surface and allows for the suspension of larger areas. As has been reported previously [19], graphene/PMMA bilayers have been suspended successfully over cavities with dimensions up to 3.5 mm.

In this work, a conductive graphene layer, supported by a layer of PMMA, forms the top moveable plate of a capacitor, so that capacitive transduction can be utilized to measure the frequency and amplitude of sound pressure induced membrane vibration. Utilizing a bilayer during the fabrication process allows for a membrane to be suspended above a lower electrode with a gap of less than 10 µm, which minimizes the bias voltages that are needed to enable electrical readout.

C. Capacitive Transduction

In order to sense electrically the vibration frequency and amplitude of a MEMS structure, e.g. a membrane, capacitive transduction can be employed. The measurement mechanism is based on the moveable MEMS structure acting as one plate of a parallel-plate capacitor, with the change in distance between the structure and an underlying fixed electrode resulting in a change in the capacitance. The capacitance, \(C\), between two parallel plates is given by

\[
C = \frac{\varepsilon A}{d} \tag{2}
\]
where \( \varepsilon \) is the permittivity of the material between the plates, \( A \) is the area of the parallel plates and \( d \) is the gap between them. For an audio device with a circular membrane suspended above a fixed electrode, it can be considered to be a parallel-plate capacitor, provided that the electrode is located under the center and its area is small, relative to the membrane. Therefore, when the membrane deforms in response to sound pressure, the area of the membrane above the lower electrode can be considered to be a parallel-plate moving with an out-of-plane vibration, with equation (2) used to describe the change in capacitance. If electrical connections are made to each plate of the capacitor, bias voltages can be applied such that the change in capacitance will produce an output signal from an appropriately designed readout circuit.

An important consideration for capacitive sensing is the pull-in voltage, which is the membrane-electrode voltage at which the membrane comes into contact with the bottom electrode \([22], [23]\). The use of a PMMA supporting layer, rather than just a purely graphene membrane, should result in a lower displacement during device operation, preventing pull-in from occurring. In addition to designing the bottom electrode so that it is relatively small, bias voltage values must be set so that the membrane vibrates in the linear regime. If the electrostatic attractive force is too high, then the membrane will behave in a non-linear fashion in response to sound pressure. The gap size between the membrane and the electrode, in addition to the selected DC bias voltages, will be a trade-off between the need to detect the mechanical response to sound pressure and the prevention of pull-in.

III. DESIGN AND FABRICATION OF DEVICE

A. Fabrication Process

The fabrication process for the microphone device is shown in the schematic of Fig. 1 and is performed in two stages. First, the substrate is prepared from a starting material of a 75 mm silicon wafer with a thickness of 380 \( \mu \text{m} \). A 77 nm layer of silicon dioxide has been deposited using plasma-enhanced chemical vapor deposition (PECVD) (Fig. 1a). Next, a 500 nm layer of aluminum has been sputtered and patterned using a lift-off process (Fig. 1c), creating the lower electrode that the graphene membrane will be suspended over. To create a cavity above the lower electrode, an 8.8 \( \mu \text{m} \) layer of silicon dioxide has been deposited with PECVD. A 3 \( \mu \text{m} \) layer of photoresist has been spin-coated and patterned using a lift-off process (Fig. 1c), creating the lower electrode that the graphene membrane will be suspended over. To create a cavity above the lower electrode, an 8.8 \( \mu \text{m} \) layer of silicon dioxide has been deposited with PECVD. A 3 \( \mu \text{m} \) layer of photoresist has been spin-coated and patterned using photolithography. The cavity has been created by etching the oxide using a 10:9 solution of \( \text{NH}_4\text{F:CH}_3\text{COOH} \) (Fig. 1e). A further 500 nm layer of aluminum has been sputtered and patterned to provide electrical connections to the membrane after the transfer. In addition, vias have been etched to provide connection pads to the bottom metal electrode (Fig. 1g). Lastly, the 75 mm wafer is diced into 7 mm \( \times \) 7 mm chips, each containing a single cavity.

The second stage of the fabrication is to prepare a frame that the membrane will be suspended upon. Starting with another 75 mm silicon wafer with a thickness of 380 \( \mu \text{m} \) (Fig. 1b), a 7 \( \mu \text{m} \) layer of photoresist has been spin-coated and patterned using photolithography before having cavities etched through the wafer using deep reactive ion etching (DRIE) (Fig. 1d). The wafer has been diced into 5 mm \( \times \) 5 mm chips. Separately, a sheet of membrane material has been prepared by CVD depositing a multilayer of graphene on a 200 nm layer of PMMA (performed by Advanced Graphene Products Sp. z o.o.). A 5 mm \( \times \) 5 mm piece of the graphene/PMMA sheet has been cut out using a razor blade and carefully positioned on
the frame. The frame has been coated with a small amount of deionized water to promote adhesion of the membrane. After the transfer has been performed (Fig. 1f), the frame is placed on a hotplate at 80 °C for 5 minutes to evaporate any DI water and at 110 °C for 10 seconds to induce an expansion of the PMMA, ensuring as flat a membrane as possible.

The complete device has been assembled by first inverting the frame with the graphene/PMMA membrane (Fig. 1h). In order to affix the frame to the substrate chip and to provide an electrical connection from the graphene layer to the bonding pads, droplets of silver adhesive have been applied to the substrate chip using a precision fluid dispensing system. The droplets have been dispersed around the cavity on the metal tracks leading to bonding pads. The frame has then been positioned on top of the substrate chip, with the cavity of the frame aligned with the cavity on the substrate chip. Pressure has been applied to ensure adhesion of the two chips and to bring the gap between the membrane and the bottom electrode down to 8.8 µm, confirmed with optical microscopy, as will be explained in section III-C. The completed device has overall dimensions of 7 mm × 7 mm × 0.78 mm.

B. Device Layout

The top and side view of a completed device are shown in Fig. 1i. The device consists of a circular graphene/PMMA membrane with a diameter of 3.5 mm suspended above a circular aluminum electrode with a diameter of 1.2 mm. The electrode has been positioned beneath the center of the membrane in order to maximize the capacitance change that will be induced for a given vibration amplitude, which will maximize the output signal. To allow for wire-bond connections to be made to the device, metal tracks have been designed that connect the membrane and the bottom electrode to 200 µm × 200 µm aluminum pads that have been positioned 400 µm from the perimeter of the chip. The overall size of the chip is 7 mm × 7 mm, which has been designed to be comparable to other MEMS devices used in hearing aid applications.

From the fabrication process and the designed dimensions, (2) has been used to calculate the capacitance, $C$, between the membrane and the bottom electrode as follows

$$C = \frac{\varepsilon A}{d}$$

$$= \frac{8.85 \times 10^{-12} \times \pi \times (600 \ \mu m)^2}{8 \ \mu m}$$

$$= 1.3 \ pF$$

The 1.13 mm$^2$ area of the capacitor created by the membrane and the bottom electrode should allow for the detection of membrane deflection caused by sound pressure. The capacitance value of 1.3 pF is larger than previously reported devices, as a consequence of the larger area and narrower gap between the membrane and the fixed electrode, allowing for smaller bias voltages to be used. For this work, a readout circuit including a custom-made MOSFET has been designed and constructed and will be detailed in section IV.

C. Inspection of Completed Device

An optical microscope image of a completed device is shown in Fig. 2. In addition, scanning electron microscopy (SEM) with a TESCAN VEGA3 has been used to produce the image in Fig. 3. The bottom electrode is visible in the optical image due to the transparency of the membrane to visible light, while the SEM image shows the membrane held in place by the frame.

The silver adhesive that has been used to secure the frame to the chip has been positioned such that when the frame and chip have been pressed together, no adhesive enters the cavity below the membrane. As can be seen in Fig. 2, the adhesive under the frame is also present outside of the frame on the chip, with the conductive adhesive making a connection between the membrane and the aluminum tracks that lead to bonding pads. The connection has been tested by measuring the resistance between pads on opposite sides of the chip and a conductive path through the membrane has been confirmed. The successful suspension of the membrane above the bottom electrode has been tested by ensuring electrical isolation between the pads connected to the membrane and the bottom electrode. In addition, optical microscope inspection
Fig. 3. SEM image of the fabricated microphone device.

Fig. 4. Topography of membrane determined using white-light interferometry. Values are defined as the height difference from the mean.

of the device has determined that there is a gap of 8.8 µm between the membrane and the bottom electrode.

D. White-Light Interferometry

In addition to the optical and scanning electron microscopy inspection of the device, white-light interferometry (Zygo) has been performed to measure the topography of the membrane to determine the success of the fabrication process. The result of a scan is shown in Fig. 4 and shows that the difference between the highest and lowest point of the membrane is 1.3 µm. The variation in membrane height that has been measured is most likely a result of the manual method employed to transfer the membrane to the frame. However, the small variation, relative to the membrane diameter, that has been achieved is sufficient to ensure suspension of the membrane above the underlying electrode.

From the white-light interferometry measurement data, the graph of Fig. 5 showing the profile of the membrane across the diameter has been extracted. It can be seen that, so long as the frame is at least 1.5 µm above the substrate, the membrane is unlikely to come into contact with the bottom electrode. For this device, the membrane height above the bottom electrode has been already determined optically to be 8.8 µm, so it can be concluded that the membrane is suspended.

The fully characterized device has been secured in a chip carrier and wire-bonded to create electrical connections to the membrane and the bottom electrode, ready for audio testing.

IV. EXPERIMENTAL PROCEDURE

After wire-bonding, the chip carrier has been secured in a socket on a printed circuit board, allowing for connections to be made to voltage sources and to readout circuitry.

The membrane and the bottom electrode form a variable capacitor, $C_M$, which has the static value calculated in (3). The two plates are connected to a DC voltage, $V_C$, and to the gate terminal of a MOSFET that forms part of the readout circuit, as shown in Fig. 6. The MOSFET has been fabricated on a separate chip and has been connected in series with a resistor, $R_D$, so that a voltage divider is created between the supply voltage, $V_{DD}$, and ground. The gate voltage, $V_G$, of the MOSFET is determined by the bias voltage, $V_C$, as follows [24]

$$V_G = V_C - \frac{Q_0}{C_M}$$  \hspace{1cm} (4)

where $Q_0$ is the total charge on the connected terminals of the MOSFET gate and the bottom electrode on the microphone chip. From (2), the capacitance, $C_M$, is related to the deflection, $x$, of the membrane as follows

$$C_M = \frac{\varepsilon A}{d - x}$$  \hspace{1cm} (5)

where $d$ is the initial gap between the membrane and the bottom electrode. If (5) is substituted into (4), $V_G$ can be expressed as

$$V_G = V_C - \frac{Q_0 d}{\varepsilon A} + \frac{Q_0 x}{\varepsilon A}$$  \hspace{1cm} (6)
If a sound pressure at a constant frequency, $\omega_{SP}$, is directed at the membrane, the deflection, $x$, as a function of time is given by

$$x = x_{peak} \sin(\omega_{SP}t) \quad (7)$$

where $x_{peak}$ is the maximum deflection of the membrane during oscillation. (7) is then substituted into (6), resulting in

$$V_G = V_C - \frac{Q_0d}{\varepsilon A} + \frac{Q_0x_{peak}}{\varepsilon A} \sin(\omega_{SP}t) \quad (8)$$

which describes the response of $V_G$ as a function of time. A value of 380 $\Omega$ has been used for the drain resistor, $R_D$, and $V_{DD}$ has been set to 13.05 V. $V_C$ has been fixed at 1 V, which results in a $V_G$ of 0.8 V, turning on the MOSFET with $V_D = 9.18$ V. There will be a resulting voltage drop of 0.2 V across $C_M$. As the membrane vibrates in response to a sound pressure, the value of $C_M$ will vary, which will in turn vary the voltage drop across $C_M$. The value of $V_G$ will vary in response and the resulting change in the drain current will give an AC signal on top of the DC value of $V_D$.

The drain terminal of the MOSFET has been connected to a passive band-pass filter with the component values specified in Fig. 6. The lower and upper cut-off frequencies of the filter are 159 Hz and 16.8 kHz, respectively, so that electrical noise above and below the audio band are eliminated from the output signal. The output from the readout circuit has been connected to a low-noise audio interface (Zoom F4 Multi-Track Field Recorder) that amplifies the signal by 20 dB, giving an output voltage, $V_{OUT}$.

In order to characterize the response of the device to sound pressure at frequencies across the audio band, the microphone chip has been secured in an audio chamber along with the readout circuit. A signal generator producing an AC sinusoidal signal has been connected to the loudspeaker so that a sound pressure is produced. The resulting output signal from the readout circuit is amplified by the audio interface, the output of which ($V_{OUT}$) has been connected to a spectrum analyzer. The performance of the device has been characterized for different frequencies across the audio range and for each frequency, averaging has been performed to eliminate noise and allow for the amplitude of the output voltage signal to be measured. All measurements have been performed at room temperature. Temperature variation could affect device performance by influencing the stiffness of the membrane as different materials expand and contract; and could form a possible area of study in the future.

V. MEASUREMENT RESULTS AND DISCUSSION

The experimental set-up that has been detailed in the previous section has been used to characterize the response to sound pressure of the graphene-based membrane microphone device shown in Fig. 2. The loudspeaker has been set to produce an RMS sound pressure of 0.2 Pa (80 dB$_{SPL}$). The sound pressure level has been measured with a sound level meter (Cirrus Research Optimus Red CR:162C) positioned at the bottom of the funnel, to validate the sound pressure that the device is subjected to. At the same time as the loudspeaker is producing a sound pressure, the output RMS voltage from the audio interface, $V_{OUT\text{(RMS)}}$, has been extracted and the sensitivity, $S_p$, in mV/Pa, to sound pressure has been calculated as

$$S_p = \frac{V_{OUT\text{(RMS)}}}{\bar{p}} \quad (9)$$

where $\bar{p}$ is the RMS sound pressure level in Pa. Also, the sensitivity value relative to 1 V/Pa can be expressed in dBV.
The measurement has been performed across the frequency range of 100 Hz to 20 kHz in increments of 100 Hz up to 1 kHz and in increments of 1 kHz between 1 kHz and 10 kHz, with the sound pressure being maintained at 0.2 Pa (80 dB SPL). Then, the entire series of measurements across the frequency range has been repeated for sound pressure values of 0.112 Pa (75 dB SPL) and 0.063 Pa (70 dB SPL). Fig. 8 shows the extracted sensitivity values plotted against the audio frequency for all three sound pressures.

It can be seen that the system exhibits a sound pressure sensitivity between 0.1 mV/Pa and 10 mV/Pa, or between −80 dBV and −40 dBV, across the frequency range from 100 Hz to 20 kHz. It can be seen from Fig. 8 that the frequency response is consistent, not varying by more than 0.2 mV/Pa, for the three different sound pressures that have been used. For audio frequencies of 6 kHz and higher, the minimum sound pressure that has been detected successfully using the experimental set-up is 0.063 Pa (70 dB SPL). For frequencies across the range 100 Hz to 20 kHz, sound pressures down to 0.112 Pa (75 dB SPL) have been detected successfully.

The values of sensitivity for graphene-based membrane microphone devices previously reported have ranged from −70 dBV up to −20 dBV [13], [14]. In addition to possessing comparable sensitivity to other work, the device reported in this paper exhibits sensitivity that is fairly constant, remaining between 0.1 mV/Pa and 10 mV/Pa, from 100 Hz up to 20 kHz. Also, this work reports a more constant sensitivity for different sound pressures compared to previous work [14]. In addition, the device in this work has been shown to operate with significantly lower DC bias voltages, about 1 V, applied to the membrane and fixed electrode.

VI. CONCLUSION

In this paper, the design, fabrication and characterization of a graphene-based membrane operating as a MEMS microphone have been reported. The device has been fabricated using a novel process, featuring a graphene/PMMA bilayer membrane that has been transferred over a cavity on a separate chip before being affixed to the surface of another chip containing an electrode. The process resulted in the successful fabrication of a 7 mm × 7 mm × 0.78 mm device with a membrane-to-electrode gap of 8.8 μm, allowing for the device to operate with low DC bias voltages of about 1 V. The measured sensitivity across the frequency range 100 Hz up to 20 kHz is fairly constant, remaining between 0.1 mV/Pa and 10 mV/Pa. Lastly, the values of sensitivity do not vary by more than 0.2 mV/Pa when the sound pressure is increased from 70 dB SPL to 80 dB SPL.

REFERENCES

Fig. 8. Response of the graphene-based membrane MEMS microphone system sensitivity as a function of audio frequency.


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Dr. Cheung has an international reputation for her contribution in the development and application of micro- and nano- fabrication. More recently, her research focuses on microresonators and microelectromechanical systems. She has published over 200 scientific articles, 1 patent and 1 book in related topics.