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A potent cytotoxic photoactivated platinum complex

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We show by x-ray crystallography that the complex trans, trans-[Pt(N\(_2\)]\(_2\)-(OH)\(_2\)-(NH\(_3\))(py)] (1) contains an octahedral Pt\(^{IV}\) center with almost linear azido ligands. Complex 1 is remarkably stable in the dark, even in the presence of cellular reducing agents such as glutathione, but readily undergoes photoinduced ligand substitution and photoreduction reactions. When 1 is photoactivated in cells, it is highly toxic: 13–80 x more cytotoxic than the Pt\(^{II}\) anticancer drug cisplatin, and ca. 15 x more cytotoxic toward cisplatin-resistant human ovarian cancer cells. Cisplatin targets DNA, and DNA platination levels induced in Hacat skin cells by 1 were similar to those of cisplatin. However, cisplatin forms mainly intrastrand cis-diguanine cross-links on DNA between neighboring nucleotides, whereas photoactivated complex 1 rapidly forms unusual trans azido/guanine, and then trans diguanine Pt\(^{II}\) adducts, which are probably mainly intrastrand cross-links between two guanines separated by a third base. DNA interstrand and DNA–protein cross-links were also detected. Importantly, DNA repair synthesis on plasmid DNA platinated by photoactivated 1 was markedly lower than for cisplatin or its isomer transplatin (an inactive complex). Single-cell electrophoresis experiments also demonstrated that the DNA damage is different from that induced by cisplatin or transplatin. Cell death is not solely dependent on activation of the caspase 3 pathway, and, in contrast to cisplatin, p53 protein did not accumulate in cells after photosensitization of 1. The trans diazido Pt\(^{IV}\) complex 1 therefore has remarkable properties and is a candidate for use in photoactivated cancer chemotherapy.

cytotoxicity | DNA binding | photochemistry | cisplatin

Traditional platinum-based anticancer compounds are a clinically successful group of therapeutic agents; however, their use is constrained by dose-limiting side-effects and the problem of acquired resistance (1). To avoid these problems, we are exploring the use of inert, nontoxic platinum complexes that can be activated locally in cancer cells with light (2). In general, exploring the use of inert, nontoxic platinum complexes that can be activated locally in cancer cells with light (2) is highly phototoxic and reacts with guanine derivatives and with DNA to give unusual Pt\(^{II}\) adducts not readily formed in the absence of light.

Results

Stability and Photochemistry. The all-trans geometry of 1 determined by x-ray crystallography is shown in Fig. 1. The extensive hydrogen bonding network in the crystals is shown in supporting information (SI) Fig. 5. Complex 1 (or 15N-1, 3 mM) was very stable in water and did not react with 5′GMP (2 mol equiv) in the absence of light over a period of 5 months as judged by NMR spectroscopy. Complex 1 was also relatively stable to reduced glutathione (2 mol equiv), with only ~5% of Pt\(^{IV}\) reduced to Pt\(^{II}\) after 21 d.

UVA irradiation (365 nm) of an aqueous solution of 1 gave a decrease in intensity of the azide-to-Pt\(^{IV}\) charge-transfer band at 289 nm (Fig. 2A), indicating loss of the Pt\(^{IV}\)–azide bonds. After irradiation of an aqueous solution of 15N–I, very little reduction to Pt\(^{II}\) was observed by 1D 1H and 2D 1H,15N HSQC NMR spectroscopy. Two of the Pt\(^{IV}\) photoproducts were identified as the tetrahydroxy complex trans-[Pt(OH)\(_4\)(15NH\(_3\))(py)] (2) and the monoazide-trihydroxy complex trans-[Pt(N\(_2\))2(OH)\(_2\)(15NH\(_3\))(py)] (3) (SI Fig. 6). Neither 1D 1H nor 2D 1H,1H COSY NMR spectroscopy gave evidence for release of pyridine from the platinum center. However, subsequent work showed that the presence of nucleophilic biomolecules has a major influence on the photoreaction pathways.

After only 1 min of UVA irradiation of 15N–I in the presence of 2 mol equiv 5′-GMP, a new 2D 1H,15N HSQC NMR peak for a Pt\(^{II}\) species (4) appeared (Fig. 2B). Further irradiation led to the appearance of another new Pt\(^{II}\) peak (5). The Pt\(^{II}\) peaks were assigned as (SP-4-4)-[Pt(N\(_2\))(15NH\(_3\))(py)(5′-GMP)]\(^{1+}\) (4) δ\(^{1H}(15N)\) 4.15, –66.37, and trans-[Pt(15NH\(_3\))(py)(5′-GMP)]\(^{2+}\) (5) δ\(^{1H}(15N)\) 4.42, –65.63 (confirmed by their synthesis, see SI Text). The peak for 4 decreased in intensity upon further irradiation.
irradiation, consistent with light-induced loss of the second azido ligand, whereas the concentration of the bis-GMP adduct 5 increased (Fig. 2C). Platinum binding to N7 of 5'-GMP was confirmed both by the low-field $^1$H NMR shift of H8 (10), and by $^1$H NMR pH titration (absence of H8 chemical shift change associated with N7 protonation, pK$_a$ 2.5 (11) for both Pt$^{II}$ photoproducts 4 and 5, SI Fig. 7).

Remarkably, the photoreaction with $^{15}$N-1 and 5'-GMP was also induced by red laser light (647.1 nm) despite the low power ($\sim$15 mW), and very low absorbance at this wavelength ($\epsilon$$_{647}$ < 10 M$^{-1}$cm$^{-1}$; SI Fig. 8). Peaks corresponding to both 4 and 5 appeared after 2.5 h of irradiation.

Phototoxicity. Complex 1 reduced the viability of HaCaT keratinocytes, cisplatin-sensitive A2780, and cisplatin-resistant A2780cis human ovarian carcinoma cells in a dose-dependent manner when photoactivated with UVA (Fig. 3 and Table 1), but was not cytotoxic to HaCaT or A2780cis cells in the dark. A2780 cells were 80-fold more sensitive to photoactivated 1 compared with cisplatin under identical conditions, followed by keratinocytes (24-fold) and A2780cis cells (15-fold). Cisplatin itself showed no difference in potency regardless of whether it was irradiated. Complex 1 was also photoactive in SH-SY5Y neuroblastoma cells (IC$_{50}$ + UVA: 2.4 $\mu$M; IC$_{50}$ - UVA: 244 $\mu$M; data not shown).

When irradiated with visible light (λ$_{max}$ 420 nm), the complex was as potent as cisplatin under similar conditions (IC$_{50}$ 1 + 5 J/cm$^2$ TL03: 85.8 $\mu$M; Fig. 3D), despite very weak absorption by 1 in this part of the spectrum.

DNA Damage in Cells. When HaCaT cells were exposed to 5 J/cm$^2$ UVA, DNA damage, as measured by migration of DNA from the nucleus in the single cell gel electrophoresis assay, did not change compared with an unirradiated control (2.5 ± 0.4% and 3.1 ± 0.2% DNA in comet tail, respectively). Pretreatment with cisplatin significantly inhibited HaCaT cell DNA migration after H$_2$O$_2$ treatment in a dose-dependent manner by a maximum of ∼60% (SI Fig. 9). Transplatin also inhibited DNA migration, but was not cytotoxic to HaCaT cells under these conditions (data not shown).

When complex 1 was photoactivated, a similar dose-dependent decrease in DNA migration due to the formation of cross-links was expected. However, a significant decrease in DNA migration was noted only at doses of 12.2 $\mu$M or higher. This is at least twice the IC$_{50}$ value of the drug in these cells, and a highly phototoxic dose. In the dark, 1 had no effect on DNA migration except at the highest dose (24.4 $\mu$M).

Caspase Activity. The concentration of 1 used in these experiments was determined from the dose-response plots of phototoxicity and produced ∼10%, 50% and 90% cell-kill 24 h after irradiation. Caspase 3 and 7 activity could not be detected by the Caspase-Glo assay either immediately after photoactivation of 1, or 24 h after (corresponding to neutral red assay time point; SI Fig. 10). In contrast, cisplatin treatment resulted in an increase in luminescence corresponding to caspase activation.

PolyADP Ribose Polymerase and p53. Western blotting showed that polyADP ribose polymerase, a known substrate of caspase 3, was cleaved during treatment of A2780 cells with cisplatin, but not with photoactivated 1. Cleavage was observed only when high
doses of 1 were used and the cells were essentially dead (SI Fig. 11). It was evident that p53 is not detectable in cells 24 h after treatment with photoactivated 1, in contrast to cisplatin (SI Fig. 11).

**Platination of DNA in Cells.** The Pt content of DNA isolated from HaCaT cells treated with 24.4 μM complex 1 and irradiated (UVA, 5 J/cm²) was determined (by ICP-MS) to be 0.35 ± 0.05 ng Pt/μg DNA, a level similar to that after treatment with four-times higher dose of cisplatin [0.60 ± 0.39 (range 0.21–0.98) ng Pt/μg DNA; see SI Text].

**DNA Binding.** Two sets of samples of CT DNA were treated with 1. One set was irradiated with UVA light immediately after addition of 1, the other kept in the dark. The nonirradiated samples contained no bound Pt even after 7 h, whereas the amount of Pt bound to DNA in the irradiated samples increased with time (SI Fig. 12). After 1 min, 50% of the Pt was bound and this reached a plateau after ~5 h of continuous irradiation (87% bound). When 1 was irradiated for 2 h and then added to DNA, the amount of bound Pt plateaued after only ~90 min and was significantly lower (~33%). Interestingly, when 1 was irradiated for 2 h, left in the dark for 2 h and then added to DNA, considerably less (26%) was bound to DNA even after 7 h (data not shown).

**Transcription Mapping of DNA Adducts in Vitro.** Experiments on in vitro RNA synthesis by T7 RNA polymerase were carried out using a linear 212-bp DNA fragment (SI Fig. 13A), treated with 1 (in the dark or under irradiation conditions) for 5 h. The major stop sites produced by the irradiated template treated with 1 (SI Fig. 13B, lane Irrad) were similar to those produced by transplatin (SI Fig. 13B, lane Transplatin); no stop sites were produced by templates treated with 1 in the dark (SI Fig. 13B, lane DDark). The stop sites produced by the irradiated template treated with 1 and transplatin were less regular and appeared mainly at single guanines and cytosines (i.e., at the preferential DNA binding sites of transplatin and several antitumor analogues of this PtII complex; refs. 12–14) and to a considerably less extent also at adenine sites.

**Characterization of DNA Adducts.** Ethidium bromide is a fluorescent probe used to characterize perturbations induced in DNA by bifunctional adducts of several Pt compounds (15, 16). The cross-links formed in double-helical DNA by a Pt complex prevent ethidium intercalation so that fluorescence is decreased in comparison with unplatinated double-helical DNA. The decrease of fluorescence caused by the adducts of photoactivated 1 was markedly more pronounced than that caused by the DNA adducts formed by cisplatin or transplatin in the dark at equivalent [R (SI Fig. 14).

Thiourea labilizes monofunctionally bound transplatin and its analogs from DNA (17–19), whereas bifunctional adducts are resistant (17). Thiourea displaced ~16 and 13% of photoactivated 1 from DNA after 1 and 5 h, respectively, of reaction of 1 with DNA under irradiation conditions. It can be concluded that,

**Table 1. IC₅₀ values (μM) of complex 1 and cisplatin with and without UVA irradiation**

<table>
<thead>
<tr>
<th></th>
<th>IC₅₀ value, μM*</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>HaCaT</td>
</tr>
<tr>
<td>365 nm Dark</td>
<td>61.1 (5.6–6.6)</td>
</tr>
<tr>
<td>365 nm</td>
<td>&gt;244.3¹ (NA)</td>
</tr>
<tr>
<td>365 nm</td>
<td>144.0 (124–166)</td>
</tr>
<tr>
<td>365 nm Dark</td>
<td>173.3 (153–196)</td>
</tr>
</tbody>
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NA, not applicable.

*Goodness of fit monitored by R² value and 95% confidence intervals (parentheses). Curves with R² < 0.8 rejected. Each value is mean of two to three independent experiments. Viability of cells treated with UVA light alone: 93.5 ± 7.6% (HaCaT); 96.1 ± 14.6% (A2780) and 84.3 ± 7.7% (A2780cis).

¹> indicates IC₅₀ outside concentration range used.
of typical experiment. (Upper) Photograph of the ethidium-stained gel. (Lower) Autoradiogram of the gel showing incorporation of \([{\alpha}^{32}\text{P}]\text{dCMP}\). (B) Incorporation of \([{\alpha}^{32}\text{P}]\text{dCMP}\) into DNA modified by cisplatin or transplatin (12) (SI Fig. 16). For all quantifications, representing mean values of three separate experiments, incorporation of radioactive material was corrected for the relative DNA content in each band. Radioactivity associated with incorporation of \([{\alpha}^{32}\text{P}]\text{dCMP}\) into DNA modified by cisplatin was taken as 100%.

Discussion

Stability and Photochemistry of \(\text{I}\). Complex \(\text{I}\) is remarkably stable in the dark, and reacted only very slowly with reduced glutathione over a period of several weeks. Such stability is important for a potential photochemotherapeutic agent, so that it can reach target sites before photoactivation. Two of the main photoproduc-
short time, results in the formation of DNA adducts that inhibit DNA migration in the comet assay. However, transplatin is not toxic to HaCaT or A2780 cells under our experimental conditions, nor is it therapeutically active. Thus, the technique cannot distinguish between types of cross-link unless additional enzymes are incorporated into the experimental design.

Photoactivated 1 did not replicate the activity of cisplatin when it was tested in the single-cell gel electrophoresis assay. There was limited inhibition of DNA migration. Despite this, photoactivated 1 is undoubtedly much more toxic than cisplatin; therefore, these data suggest a difference in mechanism of action.

Stabilization of p53 after genotoxic insult has also been used as a marker of DNA damage. We found that in A2780 cells, reported as having wild-type p53, the protein was stabilized and accumulated in the presence of cisplatin but not with photoactivated 1 during the time frame of our experiment.

**Caspase Activity.** The data suggest that cell death caused by photoactivation of 1 is not solely dependent on activation of the caspase 3 pathway. Irradiation of complex 1 did not stimulate caspase 3 activity in any of the cell lines, in contrast to cisplatin. In addition, polyADP ribose polymerase was cleaved during cisplatin treatment, but not by photoactivated 1, unless the dose was very high. We found that the level of p53 was stabilised in the presence of cisplatin but not with photoactivated 1 (SI Fig. 11). Taken together, the cell data suggest differences in the behavior of photoactivated 1 compared with cisplatin. The complex is relatively unreactive to cells in the dark, but when irradiated it is very toxic, killing cells (including cisplatin-resistant cells), irrespective of their p53 status, by a mechanism that appears different from cisplatin.

**DNA Binding and Cross-Linking.** The rate of Pt binding to double-helical DNA treated with 1 and irradiated continuously by UVA was relatively high compared with the binding of nonirradiated cisplatin or transplatin (27). The binding experiments also indicate that the photoreactions result in irreversible coordination of 1 to double-helical DNA, which also facilitates sample analysis. Hence, it was possible to prepare the samples of DNA modified by 1 at a preselected value of r.

Platinum binding to DNA resulting from treatment of CT DNA with 1 under conditions of continuous irradiation reached a plateau after ~5 h. However, not all Pt in the reaction mixture bound to DNA (SI Text). This observation might mean that the rate of binding of irradiated 1 to DNA was slower than the rate of transformation of photoactivated 1 into unreactive products. We tested this hypothesis: 1 was irradiated for 2 h and then added to DNA (see above). The results support the view that free 1 in the reaction mixture with DNA can be transformed by UVA light into species incapable of reacting with DNA.

The transcription mapping indicates that 1 binds to DNA under irradiation conditions at sites similar to those of transplatin, i.e., less regularly than cisplatin and mainly at single guanines and cytosines (i.e., at the preferential DNA binding sites of transplatin and several antitumor analogues of this Pt(II) complex; refs. 12–14), and to a considerably less extent also at adenine sites. Considerable evidence suggests that the antitumor efficacy of bifunctional platinum compounds is the result of the formation of various types of inter- and intranstrand CLs; however, their relative efficacy remains unknown. The results of this work are consistent with the view that 1 forms on DNA under irradiation conditions only a few interstrand cross-links (~6%). Thus, it is reasonable to suggest that photoactivated 1 forms mainly intranstrand cross-links on DNA, or possibly mainly monofunctional adducts. The monofunctional adducts of photoactivated 1 close to become bifunctional lesions with a considerably higher rate than those of transplatin (only ~10% monofunctional adducts of transplatin incubated with DNA in the dark for 1–5 h evolve to bifunctional lesions; refs. 17 and 19). The ethidium fluorescence data suggest that the conformational distortion induced in DNA by the adducts of irradiated 1 spans more base pairs around the platination sites than the adducts of cisplatin. The transplatin monofunctional adducts result in enhanced formation of more delocalized bifunctional adducts, such as 1,3-intracross-links. The latter view is corroborated by the results of the TU experiments and by the fact that photoactivated complex 1 forms trans diguanine Pt(II) adducts more readily than transplatin.

**DNA Repair Synthesis.** DNA adducts of photoactivated 1 induced a much lower level of repair synthesis than the adducts of transplatin or cisplatin (Fig. 4) suggesting less efficient removal from DNA and enhanced persistence of the adducts of the photoactivated 1 in comparison with the adducts of transplatin or cisplatin. Thus, one of the important factors contributing to the high cytotoxicity of photoactivated 1 appears to be a resistance of its DNA adducts to DNA repair.

**Conclusions**

The Pt(IV) diazido complex trans, trans, trans-[Pt(N3)2(OH)2\((\text{NH}_3)\text{(py)\]} (1) is unreactive in the dark but a potent photoxic when photoactivated. Photoactivated 1 forms G adducts both with model G derivatives and with plasmid DNA. DNA–protein cross-links also form readily, and DNA repair synthesis on plasmid DNA platinated by photoactivated 1 is markedly lower than for transplatin. Cell death was not solely dependent on activation of the caspase 3 pathway, and, in contrast to cisplatin, p53 protein did not accumulate in cells after photosensitization of 1. Therefore the trans diazido Pt(IV) complex 1 has an unusual mechanism of action that differs significantly from that of cis complexes. After the initial lack of exploration of the anticancer activity of (nonphotoactivated) trans Pt complexes because of the inactivity of transplatin, this is now a highly active field of research (28). It is apparent from the work described here that photoactivated trans Pt(IV) complexes can exhibit remarkable cytotoxic properties. Complex 1 is a candidate for use in photoactivated cancer chemotherapy.

**Materials and Methods**

**NMR Spectroscopy.** NMR spectra were recorded at 298 K on a Bruker spectrometer (1H: 500.13 MHz; 15N: 50.7 MHz). Samples were prepared in 90% H2O/10% D2O with 1H shifts referenced internally to dioxane (3.6764), and 15N externally to 100% HCl (1 M) in HCl (1.5 M, δ 0). All data were processed with XWIN-NMR software (version 3.6, Bruker).

**Photochemistry.** Photoreactions of aqueous solutions of complex 1 after UV irradiation were followed by UV-visible spectroscopy (0.05 mm) or 1D 1H and 2D [1H,15N] HSQC NMR spectroscopy (3 mM). For the latter, the pH was adjusted to 5 with HClO4, and readjusted to 7 ± 0.2 after each irradiation to ensure slow exchange of NH protons on (PtIV).

**Phototoxicity.** Phototoxicity was assessed using the neutral red phototoxicity assay. This is an industry standard test recommended by both the Food and Drug Administration (FDA; ref. 24) and the European Agency for the Evaluation of Medicinal Products (EMEA). The test is designed to compare the toxicity of a drug plus light, compared with the drug alone. This also reflects the conditions used for light-activated drugs. Typically, a clinical light treatment lasts for 20–60 min. Complexes were dissolved in Earle’s balanced salt solution and sterile-filtered (0.22 μm) before being applied to cells. All experiments were carried out in a specially adapted photobiology laboratory, with ambient light levels ~1 lux (Solatell). Cells were seeded at a density of ~7 × 104 cells per cm2 and left to adhere overnight. After washing cells with PBS, test compounds were added in Earle’s solution and incubated for 1 h at 37°C (95% air/5% CO2). After this time, cells were irradiated (5 J cm−2) with UVA. Following irradiation, the salt solution was removed, the cells thoroughly washed, and then returned to the incubator in complete growth medium. Phototoxicity was determined 24 h later using neutral red uptake (29, 30). The amount of
photoproducts which bind to DNA are stable, by flameless atomic absorption spectroscopy. To determine whether the Glo 3/7 kit (Promega) according to the manufacturer’s instructions.

Caspase Activity. Caspase activity was monitored using a luminescent Caspase-Glo 3/7 kit (Promega) according to the manufacturer’s instructions.

Single-Cell Gel Electrophoresis. Single-cell gel electrophoresis (comet assay) was performed as described in ref. 21.

DNA Repair Synthesis. Repair DNA synthesis of cell-free extract (CFE) was assayed using pUC19 and pBR322 plasmids. A similar amount of undamaged pBR322 of a slightly different size was included in the reactions to show the background incorporation into undamaged plasmid. This background incorporation was subtracted from that for platinated pUC19.

For details of chemicals and biochemicals, synthesis and characterization of complexes, and methods and techniques used, see SI Text.

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