Real-time implementation of an elasto-plastic friction model applied to stiff strings using finite-difference schemes

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REAL-TIME IMPLEMENTATION OF AN ELASTO-PLASTIC FRICTION MODEL APPLIED TO STIFF STRINGS USING FINITE-DIFFERENCE SCHEMES

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ABSTRACT

The simulation of a bowed string is challenging due to the strongly non-linear relationship between the bow and the string. This relationship can be described through a model of friction. Several friction models in the literature have been proposed, from simple velocity dependent to more accurate ones. Similarly, a highly accurate technique to simulate a stiff string is the use of finite-difference time-domain (FDTD) methods. As these models are generally computationally heavy, implementation in real-time is challenging. This paper presents a real-time implementation of the combination of a complex friction model, namely the elasto-plastic friction model, and a stiff string simulated using FDTD methods. We show that it is possible to keep the CPU usage of a single bowed string below 6 percent. For real-time control of the bowed string, the Sensel Morph is used.

1. INTRODUCTION

In physical modelling sound synthesis applications, the simulation of a bowed string is a challenging endeavour. This is mainly due to the strongly non-linear relationship between the bow and the string, through a model of friction. Such friction models can be categorised as static or dynamic; models of the latter type have only recently seen a major effort. As opposed to static friction models, where friction depends only on the relative velocity of the two bodies in contact, dynamic models describe the friction force through a differential equation.

A recently popular dynamic model is the elasto-plastic model, first proposed in [1]. The model assumes that the friction between the two objects in contact is caused by a large ensemble of bristles, each of which contributes to the total friction force. The average bristle deflection is used as an extra independent variable for calculating the friction force. As shown in [2], the elasto-plastic model can be applied to a bowed string simulation and it exhibits a hysteresis loop in the force versus velocity plane due to this multivariable dependency. This is consistent with measurements performed using a bowing machine in [3]. The elasto-plastic model has been thoroughly investigated in a musical context by Serafin et al. in [2][4][5].

Regarding bowed string simulations, the first musical non-linear systems, including bowed strings, were presented by McIntyre, et al. in [6]. Smith published the first real-time implementation of the bowed string using a digital waveguide (DW) for the string and a look-up table for the friction model in [7]. Simultaneously, Florens, et al. published a real-time implementation using mass-spring systems for the string and a static friction model for the bow in [8].

The dynamics of musical instruments are generally described by systems of partial differential equations (PDEs). Specialised synthesis methods such as DWs [9] and modal synthesis [10] are derived from particular solutions. Mainstream time-stepping methods such as finite-difference time-domain (FDTD) methods were first proposed in [11][12][13], and developed subsequently [14][15]. In [16] the authors adapted the thermal model proposed by Woodhouse in [3] for real-time applications using a DW for the string implementation and a combination of the DW and FDTD methods for the bowing interaction. In [17][18], Desvages used FDTD methods for the implementation of the string in two polarizations and a static double exponential friction model introduced in [19]. This was, however, not implemented in real-time. To the best of the authors’ knowledge, the only known real-time implementation of any bow model applied to complete FDTD strings was presented in [20] where the soft exponential friction function presented in [14] was used. The current work can be considered an extension of this work.

We are interested in bridging the gap between highly accurate physical models and efficient implementations so that these models can be played in real-time. In this work, we present an implementation of the elasto-plastic friction model in conjunction with a finite-difference implementation of the damped stiff string. Furthermore, we show that it is possible to play the string in real-time using the Sensel Morph controller [21].

This paper is structured as follows. In Section 2, the elasto-plastic bow model in conjunction with a PDE model for a stiff string is described. Discretisation is covered in Section 3 and implementation details appear in Section 4. In Section 5, simulated results are presented and discussed. Some concluding remarks appear in Section 6.

2. ELASTO-PLASTIC BOW MODEL

Consider a linear model of transverse string vibration in a single polarization, where \( u(x,t) \) represents string displacement as a function of time \( t \geq 0 \), in s, and coordinate \( x \in [0, L] \) (in m) for some string length \( L \) (in m). Using the subscripts \( t \) and \( x \) to denote differentiation with respect to time and space respectively, a partial differential equation describing the dynamics of the damped stiff string is [14]

\[
u_{tt} = c^2 u_{xx} - \kappa^2 u_{xxxx} - 2\sigma_0 uu_t + 2\sigma_1 uu_x.
\]

(1)
Here, \( c = \sqrt{T/\rho A} \) is the wave speed (in m/s) with tension \( T \) (in N), material density \( \rho \) (in kg m\(^{-3}\)) and cross-sectional area \( A \) (in m\(^2\)). Furthermore, \( \kappa = \sqrt{EI/\rho A} \) is the stiffness coefficient (in m\(^3\)/s) with Young’s Modulus \( E \) (in Pa) and area moment of inertia \( I \) (in m\(^4\)). For a string of circular cross section we have radius \( r \) (in m), cross-sectional area \( A = \pi r^2 \) and area moment of inertia \( I = \pi r^4 / 4 \). Lastly, \( \sigma_0 \geq 0 \) (in s\(^{-1}\)) and \( \sigma_1 \geq 0 \) (in m\(^2\)/s) are coefficients allowing for frequency-independent and frequency-dependent damping respectively.

In our implementation we assume simply supported boundary conditions, which are defined as

\[
u = u_{xx} = 0 \quad \text{where} \quad x = 0, L. \tag{2}\]

Figure 1: Microscopic displacements of the bristles between the bow and the string. The bow moves right with a velocity of \( v_B \). a) The initial state is where the average bristle displacement \( z = 0 \). b) The bow has moved right relative to the string. The purely elastic, or presliding regime is entered (stick). c) After the break-away displacement \( z_{ba} \) more and more bristles start to break. This is defined as the elasto-plastic regime. d) After all bristles have ‘broken’, the steady state (slip) is reached and the purely plastic regime is entered.

As mentioned in the introduction, the elasto-plastic bow model assumes that the friction between the bow and the string is due to a large ensemble of bristles, each of which contributes to the total friction force. See Figure 1 for a graphical representation of this. The bristles are assumed to be damped stiff springs and can ‘break’ after a given break-away displacement \( z_{ba} \) and more bristles start to break. This is defined as the elasto-plastic regime. d) After all bristles have ‘broken’, the steady state (slip) is reached and the purely plastic regime is entered.

In the following we will use the definitions found in [1]. The force \( f \) is defined in terms of the relative velocity \( v \) (in m/s) and average bristle displacement \( z \) (in m) (see Figure 1) as

\[
f(v, z) = s_0 z + s_1 \dot{z} + s_2 v + s_3 w, \tag{4}\]

where

\[
v = u_t(x_B) - u_B, \tag{5}\]

where \( v_B(t) \) is an externally supplied bow velocity, \( s_0 \) is the bristle stiffness (in N/m), \( s_1 \) is the damping coefficient (in kg/s), \( s_2 \) is the viscous friction (in kg/s) and \( s_3 \) is a dimensionless noise coefficient multiplied onto pseudorandom function \( w(t) \) (in N) as done in [2] and adds noise to the friction force. Here, \( \dot{z} \) indicates a time derivative of \( z \), and is related to \( v \) through

\[
\dot{z} = r(z, v) = v \left[ 1 - \alpha(v, z) \frac{z}{z_{ss}(v)} \right], \tag{6}\]

where \( z_{ss} \) is the steady-state function

\[
z_{ss}(v) = \frac{\text{sgn}(v) [f_c + (f_s - f_c) e^{-(v/v_s)^2}]}{s_0}, \tag{7}\]

with Strieber velocity \( v_s \) (in m/s). Coulomb force \( f_c = f_N \mu_c \) and stiction force \( f_s = f_N \mu_s \) (both in N). Here, \( \mu_N \) and \( \mu_c \) are the dynamic and static friction coefficient respectively and \( f_N(t) \) is the normal force (in N) which is, like \( v_B(t) \), externally supplied. See Figure 2 for a plot of \( z_{ss} \).

Figure 2: A plot of the steady-state function \( z_{ss}(v) \) with a force of 5 N.

Furthermore, the adhesion map between the bow and the string is defined as

\[
\alpha(v, z) = \begin{cases} 0 & \text{if } \text{sgn}(v) = \text{sgn}(z) \\
\alpha_{ss}(v, z) & \text{if } z \leq z_{ss}(v) \\
1 & \text{if } z > z_{ss}(v) \end{cases}, \tag{8}\]

where the transition between the elastic and plastic behaviour is defined as

\[
\alpha_{ss} = 2 \left[ 1 + \text{sgn}(z) \sin \left( \frac{\pi - \text{sgn}(z) \frac{1}{2} \left( |z_{ss}(v)| + z_{ba} \right)}{|z_{ss}(v)| - z_{ba}} \right) \right]. \tag{9}\]

with break-away displacement \( z_{ba} \), i.e., where the bristles start to break (see Figure 1c). A plot of the adhesion map can be found in Figure 3.

One of the difficulties in working with this model is that, due to the many approximations, the notion of an energy balance, relat...
ing the rate of stored energy in the system to power input and loss is not readily available. Such energy methods are used frequently in the context of physical modeling synthesis and virtual analog modeling as a means of arriving at numerical stability conditions for strongly nonlinear systems, as is the present case. See, e.g., [14]. This means that we do not have a means of ensuring numerical stability in the algorithm development that follows. This does not mean, however, that an energy balance is not available. Such energy methods are used frequently in the context of physical modeling synthesis and virtual analog modeling as a means of arriving at numerical stability conditions for strongly nonlinear systems, as is the present case. See, e.g., [14]. This means that we do not have a means of ensuring numerical stability in the algorithm development that follows. This does not mean, however, that an energy balance is not available.

\[ \delta_{tt}\delta_{tt}u^n = c^2\delta_{xx}\delta_{xx}u^n - \kappa^2\delta_{xxxx}\delta_{xx}u^n - 2\sigma_0\delta_t u^n_l + 2\sigma_1(\delta_t - \delta_{x}x)u^n_l - J(x^n)\frac{f(v^n, z^n)}{\rho A}, \]  

where the relative velocity described in [5] can be discretised as

\[ v^n = I(x^n)\delta_t u^n - v^n_b. \]  

Here, \( I(x^n) \) and \( J(x^n) \) are weighting functions where the former interpolates the string displacement and velocity and the latter distributes the bowing term around time-varying bowing position \( x^n_b \) (see Figure 4 and [14] for more details on this). Furthermore,

\[ f(v^n, z^n) = s_0 z^n + s_1 r^n + s_2 v^n + s_3 w^n \]  

is the discrete counterpart of \( f \) where

\[ r^n = r(v^n, z^n) = v^n \left[ 1 - \alpha(v^n, z^n) \frac{z^n}{s_{\text{max}}(v^n)} \right] \]  

is the discrete counterpart of \( f \).

3. DISCRETISATION

Finite-difference schemes for the stiff string in isolation are covered by various authors [13, 14]. Equation (1) can be discretised at times \( t = nk \), with sample \( n \in \mathbb{N} \) and time-step \( k = 1/f_s \) (in s) with sample-rate \( f_s \) (in Hz) and locations \( x = lh \), where grid spacing \( h \) (in m) needs to abide the following condition [14]

\[ h \geq h_{\text{min}} = \frac{c^2k^2 + 4\sigma_1k + \sqrt{(c^2k^2 + 4\sigma_1k)^2 + 4\kappa^2L_k^2}}{2} \]  

and grid points \( l \in [0, \ldots, N] \), where \( N = \text{floor}(L/h) \) and \( N + 1 \) is the total number of grid points. It is important to note that the closer \( h \) is to \( h_{\text{min}} \), the more accurate the scheme will be. Approximations for the derivatives found in (1) are described in the following way [14]:

\[ u_t \approx \delta_t u^n_l = \frac{1}{2k}(u_{l+1}^{n+1} - u_l^{n-1}), \]  

\[ u_{tt} \approx \delta_{tt}u^n_l = \frac{1}{k^2}(u_{l+1}^{n+1} - 2u_l^n + u_{l-1}^{n-1}), \]  

\[ u_{xx} \approx \delta_{xx}u^n_l = \frac{1}{h^2}(u_{l+1}^{n+1} - 2u_l^n + u_{l-1}^{n-1}), \]  

\[ u_{xxx} \approx \delta_{xxx}u^n_l = \frac{1}{h^3}(u_{l+1}^{n+1} - 2u_l^n + u_{l-1}^{n-1} - u_{l+1}^{n-1} - 2u_l^{n-1} + u_{l-1}^{n-1}), \]  

\[ u_{xxxx} \approx \delta_{xxxx}u^n_l = \frac{1}{h^4}(u_{l+2}^{n+1} - 4u_{l+1}^{n+1} + 6u_l^n - 4u_{l-1}^{n+1} + 6u_{l-1}^{n+1} - u_{l-2}^{n+1}), \]

with grid function \( u^n_l \) denoting a discretised version of \( u(x, t) \) at the \( n \)th time step and the \( l \)th point on the string. Note that in (11d), the backwards time difference operator is used to keep (12) explicit and thus computationally cheaper to update. Using the approximations shown in (11), (3) can be discretised to

\[ v^n = I(x^n)\delta_t u^n - v^n_b. \]  

At the bowing point we need to iteratively solve for two unknown variables: the relative velocity between the bow and the string \( v^n \) and the mean bristle displacement \( z^n \) of the bow at sample \( n \). We can solve (12) at \( x^n_b \) using (13) and identity (14)

\[ \delta_{tt}\delta_{tt}u^n = \frac{2}{k} \left( \delta_t u^n_l - \delta_{t-1}u^n_l \right) \]

resulting in

\[ I(x^n_b)J(x^n_b)f(v^n, z^n)/\rho A + \frac{2}{k} + 2\sigma_0 v^n + b^n = 0, \]  

Figure 3: A plot of the adhesion map \( \alpha(v, z) \) plotted against \( z \) when the signs of \( v \) and \( z \) are the same. The different regions of the map are shown with the coloured areas and correspond to Figure 1 according to: yellow - a) & b), orange - c) and red - d).

![Plot for \( \alpha(v, z) \) if \( \text{sgn}(v) = \text{sgn}(z) \)](image-url)
where

\[ b^n = \frac{2}{k} v^n_b - \frac{2}{k} \int (x^n_b) \delta_{i_1} v^n_i - c^2 I(x^n_b) \delta_{x} v^n_i + \kappa^2 I(x^n_b) \delta_{xx} v^n_i + 2 \gamma v^n_b - 2 \gamma I(x^n_b) \delta_{x} v^n_i + 2 \gamma \sigma v^n_b \]

and can be pre-computed as its terms are not dependent on \( v^n \) or \( z^n \). Recalling (4), this can be rewritten to

\[ I(x^n_b) J(x^n_b) \left( \alpha_0 z^n + \gamma_1 v^n + \gamma_2 w^n + \gamma_3 u^n \right) \]

\[ + \left( \frac{2}{k} + 2 \gamma \right) v^n + b^n = 0. \]

To obtain the values of \( v^n \) and \( z^n \), multivariate Newton-Raphson (NR) is used. If (19) is defined to be \( g_1 = g_1(v^n, z^n) \) and

\[ g_2(v^n, z^n) = v^n - a^n = 0, \]

where

\[ a^n = (\mu_{-1})^{-1} \delta_{i_1} z^n \]

(21)

we obtain the following iteration

\[ \frac{v^n_{i+1}}{z^n_{i+1}} = \left[ \begin{array}{c} v^n_i \\ z^n_i \end{array} \right] - \left[ \begin{array}{cc} \frac{\partial g_1}{\partial v} & \frac{\partial g_1}{\partial z} \\ \frac{\partial g_2}{\partial v} & \frac{\partial g_2}{\partial z} \end{array} \right]^{-1} \left[ \begin{array}{c} g_1 \\ g_2 \end{array} \right], \]

(22)

where \( i \) is the iteration number capped by 50 iterations, and the convergence threshold is set to \( 10^{-7} \).

4. IMPLEMENTATION

In this section, we will elaborate on the implementation; the parameters used and the system architecture. The real-time implementation of the discrete-time model shown in the previous section has been done using C++ together with the JUCE framework [22]. The application is shown in Figure 5. The parameters we used can be found in Table 1, most of which are based on implementation of the discrete-time model shown in the previous section.

The first finger the Sensel registers is linked to the following parameters: the normal force of the bow \( f_N \) (finger pressure), the bowing velocity \( v_b \) (vertical finger velocity) and bowing position \( x_b \) (horizontal finger position). The parameters are limited by the following conditions: \( 0 \leq f_N \leq 10, \ -0.3 \leq v_b \leq 0.3 \) and

\( 0 < x_b < L \). The second finger acts as a stopping finger on the string. As done in [20], for a string stopped at location \( x_1 \in [0, L] \) and \( l_t = \text{floor}(x_1/h) \) we use

\[ u_{i_1} = \begin{cases} 0, & l = l_t - 1 \lor l = l_t \\ (1 - \alpha_1 u_{i_1}), & l = l_t + 1 \\ u_{i_1}, & \text{otherwise} \end{cases} \]

(23)

where \( \alpha_1 = x_1/h - l_t \) and \( \epsilon = 7 \) is a heuristic value that has been found to most linearly alter pitch between grid points.

4.3. System Architecture

Implementation of the scheme shown in (12) starts by expanding the operators shown in (11) and solving for the state at the next sample \( x^n_{i_1} \) for elements of \( \mathbf{u} \) where \( x^n_{i_1} \) is required to conclude whether these conditions are identical for the elasto-plastic model.

Figure 5: The elasto-plastic bowed string application. The bow is shown as a yellow rectangle, moves on interaction and its opacity depends on the finger force. The state \( \mathbf{u}^n \) is visualised using the cyan curve and stopping-finger position is shown as a yellow circle. The grey lines show the ‘frets’ corresponding to semi-tones as a visual reference for the stopping position and do not influence the model.

Figure 6: The Sensel Morph: an expressive touch sensitive controller used for controlling the real-time elasto-plastic bowed string implementation.
controlling the application, the violin string class that performs the simulation and the main application class that moderates between these and the auditory and visual outputs. The black arrows indicate instructions that one of these components can give to another and the hollow arrows indicate data flows. Moreover, the arrows are accompanied by coloured boxes, depicting what thread the instruction or data flow is associated with and at what rate this runs.

The graphics thread has the lowest priority, is denoted by the green boxes and runs at 15 Hz. The redraw instruction merely retrieves the current string state or data flow is associated with and at what rate this runs.

The thread checking and receiving data from the Sensel runs at 150 Hz and the hollow arrows indicate data flows. Moreover, the arrows are accompanied by coloured boxes, depicting what thread the instruction or data flow is associated with and at what rate this runs.

The thread checking and receiving data from the Sensel runs at 150 Hz and the blue boxes denote the parameters that the user interacts with (bowing force, velocity and position) are also updated at this rate.

The highest priority thread is the audio thread denoted by the orange boxes and runs at 44,100 Hz. The violin string class gets updated at this rate and performs operations in the order shown in Algorithm 1.

### Table 1: Parameter values.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Symb. (unit)</th>
<th>Value (notes)</th>
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</thead>
<tbody>
<tr>
<td>Material Density</td>
<td>$\rho$ (kg m$^{-3}$)</td>
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</tr>
<tr>
<td>Radius</td>
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<tr>
<td>String length</td>
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<tr>
<td>Wave speed</td>
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<td>$2f_0/L$</td>
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<td>Young’s modulus</td>
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<tr>
<td>Freq. indep. damping</td>
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</tr>
<tr>
<td>Freq. dep. damping</td>
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</tr>
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<td>Coulomb friction</td>
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</tr>
<tr>
<td>Static friction</td>
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<td>Bow position</td>
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<td>Striebeck velocity</td>
<td>$v_s$ (m/s)</td>
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<tr>
<td>Bristle stiffness</td>
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<tr>
<td>Bristle damping</td>
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<td>Viscous friction</td>
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<tr>
<td>Noise coefficient</td>
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<tr>
<td>Break-away disp.</td>
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<tr>
<td>Sample rate</td>
<td>$f_s$ (Hz)</td>
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<tr>
<td>Time step</td>
<td>$k$ (s)</td>
<td>$1/f_s$</td>
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</tbody>
</table>

### 5. RESULTS AND DISCUSSION

Figure 8 shows the output waveforms for a string with $f_0 = 440$ Hz at different points along the string. The bowing parameters are $f_N = 5$ N and $v_b = 0.1$ m/s. The figure shows the traditional Helmholtz motion, which is the characteristic motion of a bowed string.

To test whether the implementation exhibits a hysteresis loop, the force vs. relative velocity plane was visualised. In Figure 8, this plot can be found for which the same parameters have been used. The figure shows values for 500 samples around $t = 0.5f_0$. As can be seen from the figure, the hysteresis loop is achieved and is similar to the one observed in [19]. The group of values around $v = 0$ are due to the sticking behaviour, and the others (the loop on the left) to the slipping behaviour.

For testing the speed of the algorithm, a MacBook Pro with a 2.2 GHz Intel Core i7 processor was used. The algorithm was tested using different frequencies according to the violin tuning of empty strings: $f_0 = 196.0$ (G3), 293.66 (D4), 440.0 (A4) and 659.26 (E5) Hz corresponding to $N = 95, 71, 49$, and 33 grid points respectively. The results can be seen in Table 2. When the total number of strings is smaller than 4, always the lowest frequency strings are used.

From Table 2, it can be observed that for one string, the CPU usage is $< 6\%$ with the graphics thread disabled. This is a great result, given the fact that both the bow and the string model are computationally complex. Empirical investigation shows that the NR algorithm converges after ca. 3–4 iterations and the capping of 50 iterations never has to be used. A single string (but also more) could thus safely be used as an audio plugin in parallel to others without the user having to worry about auditory dropouts.

![Figure 7](image-url)  
**Figure 7:** The system architecture. See Section 4.3 for a thorough explanation.

![Figure 8](image-url)  
**Figure 8:** Output waveforms of the simulation at different positions along the string where $N$ denotes the number of points of the string ($f_0 = 440$ Hz, $f_N = 5$ N and $v_b = 0.1$ m/s).
In this paper, we presented a real-time implementation of an elasto-plastic friction model with applications to a bow exciting a string, discretised using a finite-difference approach. With a single string we are able to keep the CPU usage down to <6% making for an efficient implementation that could be used in parallel with other virtual instruments or plugins.

Future work includes parameter design and including an instrument body for more realistic sounding results, as well as listening tests to verify the perceivable differences between simpler friction models versus the elasto-plastic model.

7. ACKNOWLEDGMENTS

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8. REFERENCES


